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Department of Utilities
Engineering Services Division

CITY OF SACRAMENTO
CALIFORNIA

1395 35th Avenue
Sacramento, CA 95822-2911
phone (916) 264-1400
fax (916) 264-1497/1498

August 15, 2000

APPROVED
SEP 05 2000
OFFICE OF THE
CITY CLERK

CO 2000-148

City Council
Sacramento, California

Honorable Members in Session:

SUBJECT: LAND PARK COMBINED SEWER RELIEF PROJECT (PN:XM35): APPROPRIATE FUNDS AND AWARD CONTRACT TO MAULDIN-DORFMEIER CONSTRUCTION, INC., FOR AN AMOUNT NOT TO EXCEED \$2,386,240, AND APPROVE THE RESOLUTION TO ESTABLISH A RESERVE AND TO DEDICATE REVENUE FOR THE REPAYMENT OF A LOAN FROM THE STATE REVOLVING FUND FOR DESIGN AND CONSTRUCTION OF THE LAND PARK COMBINED SEWER RELIEF PROJECT (PN:XM35)

LOCATION AND COUNCIL DISTRICT:

The project is located in the Land Park area of Sacramento north of the Sacramento Zoo, south of 11th Avenue and east of Riverside Boulevard. (See attached map). (District 4)

RECOMMENDATION:

Staff recommends that the City Council appropriate funds and award a contract to Mauldin-Dorfmeier Construction, Inc., for an amount not to exceed \$2,386,240, and approve the resolution to establish a reserve and to dedicate revenue for the repayment of a loan from the state revolving fund for design and construction of the Land Park Combined Sewer Relief Project (PN:XM35).

CONTACT PERSON:

Gary A. Reents, Engineering Services Manager, 264-1433
Rick Batha, Senior Engineer, 264-1448

FOR COUNCIL MEETING OF: September 5, 2000



CITY OF SACRAMENTO
DEPARTMENT
OF UTILITIES

Making a Difference in Your Neighborhood

City Council
August 15, 2000
Land Park Combined Sewer Relief Project (PN:XM35)

SUMMARY:

Bids were received from two (2) contractors and opened by the City Clerk on July 26, 2000. The low bidder was Mauldin-Dorfmeier Construction, Inc., with a bid of \$2,386,240. Mauldin-Dorfmeier Construction, Inc., met City ESBE goals and made a satisfactory MBE/WBE good faith effort. It is therefore proposed to award the contract to Mauldin-Dorfmeier Construction Inc.

In addition, City staff has secured a loan commitment from the State Revolving Fund (SRF) program for the design, administration, and construction of the Land Park Combined Sewer Relief Project (PN:XM35). As a condition of loan approval, the SRF program policies require the establishment of a wastewater capital reserve and a dedicated source of revenue for repayment of the loan.

COMMITTEE/COMMISSION ACTION:

None.

BACKGROUND INFORMATION:

The project is part of the Combined Sewer System Improvement Plan (CSSIP) approved by the City Council in October 1995 to reduce street flooding and outflows of combined sewage to the Sacramento River and to obtain rescission of the Combined Sewer System Cease and Desist Order. The City has constructed or begun construction on major elements of the plan including reconstruction of the system's two pump stations, Sump 1 and Sump 2, and construction of major storage facilities for flooding relief at 42nd and R Streets and UC Davis Medical Center.

The proposed project is an additional element of the CSSIP and consists of construction of approximately 4500 linear feet of replacement combined sewer from the Zoo Administration offices in 15th Avenue to Land Park Drive, in Land Park Drive to 14th Avenue, in 14th Avenue to 12th Avenue, in 12th Avenue almost to Riverside Boulevard, 400 lineal feet in 13th Avenue, in Bartey Drive from Cavanaugh Way to 13th Avenue, 400 lineal feet in West Land Park Drive, 500 lineal feet in 13th Street, and in William Land Park between 13th Avenue and 11th Avenue. The project has been designed to reduce flooding in all of the above-mentioned streets and park areas.

Bids were received from two (2) contractors as follows:

- Mauldin-Dorfmeier Construction, Inc. \$2,386,240
- Sierra National Construction \$2,627,130

The engineer's estimated construction cost was \$2,000,000. The estimated completion date is March 2001.

City Council
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Land Park Combined Sewer Relief Project (PN:XM35)

On July 26, 2000, the State Water Resources Control Board approved the City's application for a loan from the State Revolving Fund. Funds will become available as expenses are incurred. Repayment of the loan will begin one year after the Land Park Combined Sewer Relief Project is completed. The repayment period is 20 years.

In January 1998, the City Council approved the designation of the CSS Major Project Reserve (PN:XD44) as reserve until full repayment is made to the State Revolving Fund and dedicated revenue from the Sewer Fund and the Storm Drainage Fund to repayment of any and all State Revolving Fund loans on State Board Project No. C-06-4685-110.

ENVIRONMENTAL CONSIDERATIONS:

Consistent with the California Environmental Quality Act, the City has prepared a Initial Study/Mitigated Negative Declaration for the Land Park Combined Sewer Relief Project. On April 4, 2000 the Sacramento City Council ratified the Initial Study/Mitigated Negative Declaration.

FINANCIAL CONSIDERATIONS:

The estimated total cost to design, administer, and construct this project is approximately \$2,750,000. The current budget for the project is \$201,743. In order to award the contract and complete the project staff recommends that \$2,550,000 be appropriated to the project, \$637,500 from the Sewer Fund Contingency Reserve, and \$1,912,500 from the Storm Drainage Fund Contingency Reserve.

State Revolving Fund guidelines allow application for \$2,845,485 based upon current estimates. The procedural guidelines of the State Revolving Fund stipulate that the borrower establish a reserve equal to five percent (5%) of the amount borrowed and maintain that reserve until the loan is repaid. The City is authorized under State Revolving Fund program guidelines to set aside the reserve at the rate of one-half of one percent (0.5%) annually until the full amount of reserve has been attained. Appropriations are requested annually as part of the annual Capital Improvement Program. State Revolving Fund program requirements stipulate that the City dedicate a revenue source for repayment of the loan, and the attached resolution satisfies that requirement.

POLICY CONSIDERATION:

The CSSIP is consistent with past City Council direction and satisfies requirements that led to the rescission of the Cease and Desist Order issued by the Regional Water Quality Control Board. Consultant procurement and bidding will be consistent with Sacramento City Code, Chapter 3.60 and 3.64.

City Council
August 15, 2000
Land Park Combined Sewer Relief Project (PN: XM35)

ESBD CONSIDERATIONS:

This project included participation goals of 20% for emerging and small business enterprises as required by Ordinance 99-007 and Resolution 99-055, relating to ESBD participation goals and policies, adopted by the City Council on February 9, 1999. The responsive low bidder, Mauldin-Dorfmeier Construction, Inc., exceeded the ESBE goals with a participation level of 21%.

MBE/WBE CONSIDERATIONS:

State Revolving Fund program requirements stipulate that the City must include Minority Business Enterprise and Women Business Enterprise Goals as a condition of loan approval. The goals as set forth by the State Water Resources Control Board are 20% MBE and 10% WBE. In addition to the goals, the bidder to be considered a responsive bidder, shall complete all five affirmative steps listed in the state guidelines for positive effort. The responsive low bidder, Mauldin-Dorfmeier Construction, Inc., satisfactorily met the affirmative steps required and had MBE participation of 21% and WBE participation of 0%.

Respectfully submitted,



Gary A. Reents
Engineering Services Manager

RECOMMENDATION APPROVED:

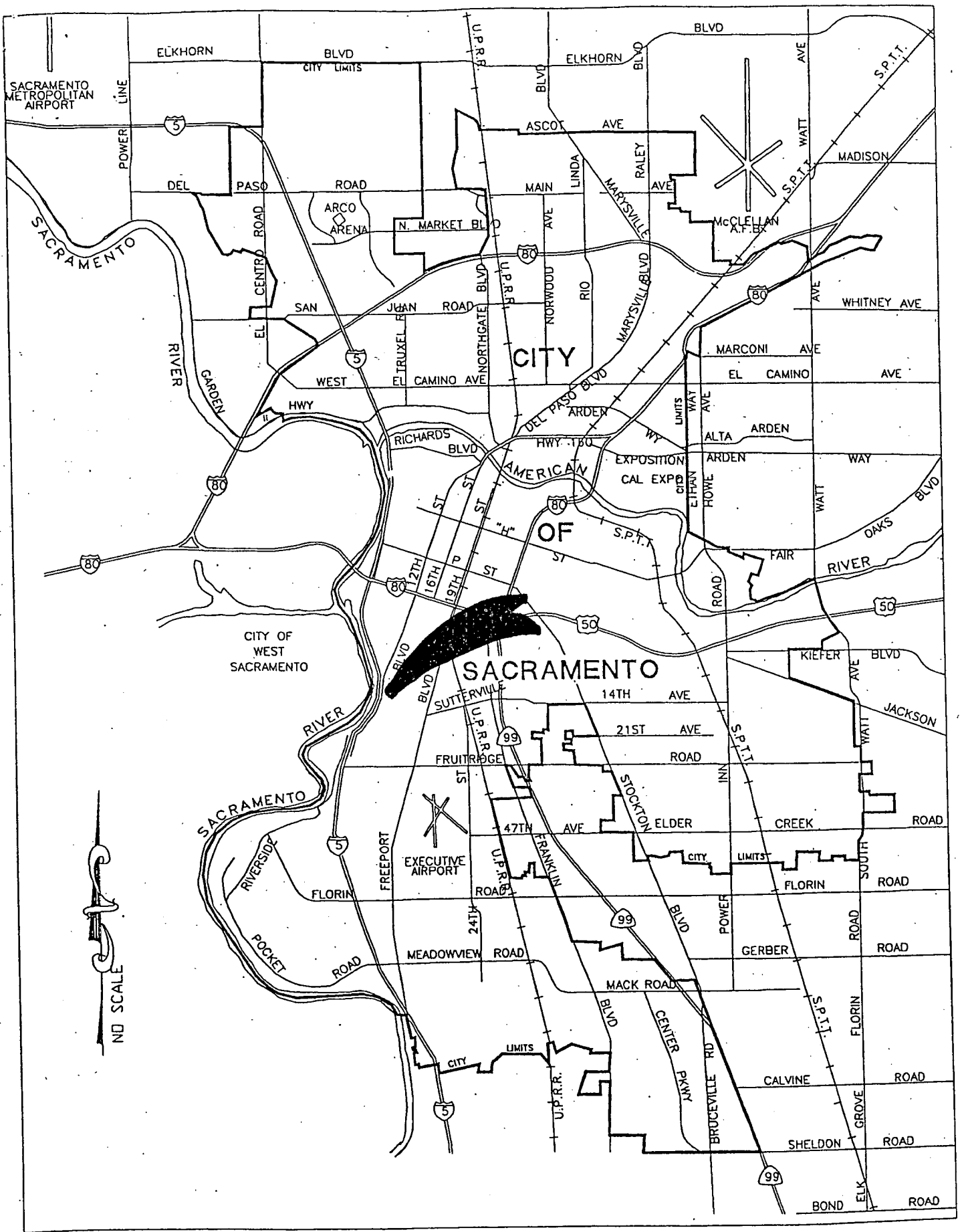


f _____
Robert P. Thomas
City Manager

APPROVED:

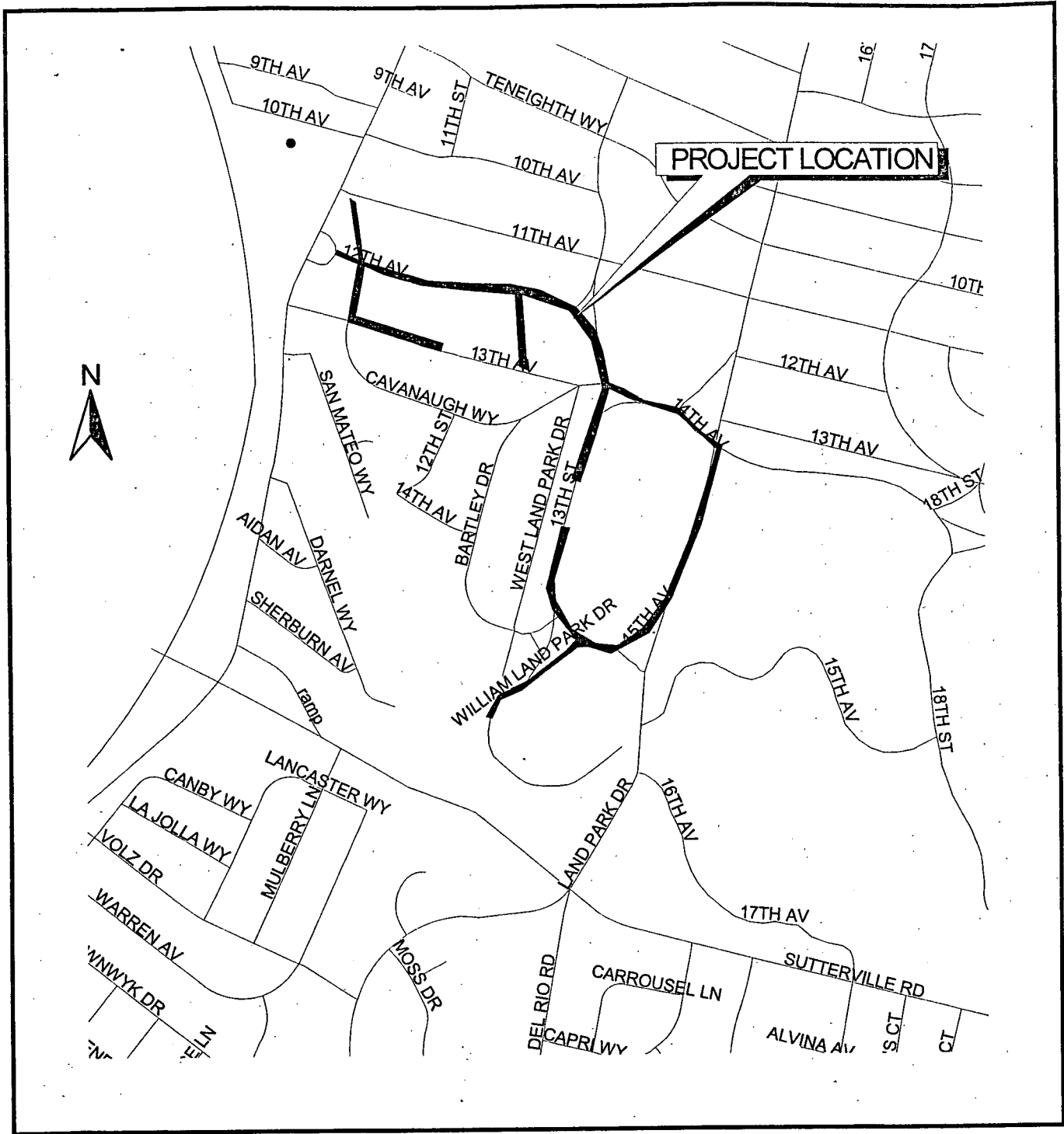


for _____
James G. Sequeira
Director of Utilities

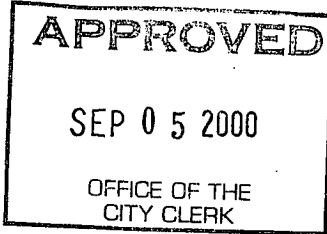


NO SCALE

VICINITY MAP



SOUTH LAND PARK DR. SEWER REPLACEMENT



RESOLUTION NO. 2000-579

ADOPTED BY THE SACRAMENTO CITY COUNCIL

ON DATE OF _____

RESOLUTION TO ESTABLISH A RESERVE AND TO DEDICATE REVENUE FOR THE REPAYMENT OF A LOAN FROM THE STATE REVOLVING FUND FOR DESIGN AND CONSTRUCTION OF THE LAND PARK COMBINED SEWER RELIEF PROJECT (PN:XM35), APPROPRIATING FUNDS, AND AUTHORIZING THE CITY MANAGER AND CITY CLERK TO SIGN AND EXECUTE A CONTRACT WITH MAULDIN-DORFMEIER CONSTRUCTION, INC., FOR AN AMOUNT NOT TO EXCEED \$2,386,240

BE IT RESOLVED BY THE SACRAMENTO CITY COUNCIL THAT:

1. The City designates the CSS Major Project SRF Reserve (PN: XD44) as reserve until full repayment is made to the State Revolving Fund of any amounts loaned. The City will appropriate annually to this project an amount not less than one half of one percent (0.5%) of any amounts loaned from the State Revolving Fund until the amount in the project is equal to five percent (5%) of the total amounts loaned. The project will be administered in accordance with the terms of the "Policy for Implementing the State Revolving Fund for Construction of Wastewater Facilities" adopted by the State Water Resources Control Board on January 18, 1996.
2. The City of Sacramento hereby dedicates revenue from the Sewer Fund and the Storm Drainage Fund to repayment of any and all State Revolving Fund loans on Project No. C-06-4685-110. This dedicated source of revenue shall remain in effect until such loan or loans are fully discharged, unless modification or change of such dedications is approved in writing by the State Water Resources Control Board.
3. Funds in the amount of \$2,550,000 are appropriated to the Land Park Combined Sewer Relief Project as follows:

FOR CITY CLERK USE ONLY

RESOLUTION NO.: _____

DATE ADOPTED: _____

<u>Fund</u>	<u>Agency</u>	<u>Org</u>	<u>Object</u>	<u>Current Budget</u>	<u>Revised Budget</u>	<u>Increase/ Decrease</u>	<u>Accounting Reference</u>
414	500	XM35	4820	\$50,436	\$687,936	\$637,500	A
425	500	XM35	4820	\$151,307	\$2,063,807	\$1,912,500	B
414	710	7012	4999	\$5,297,000	\$4,659,500	(\$637,500)	C
425	710	7012	4999	\$10,901,000	\$8,988,500	(\$1,912,500)	D
EB Total:				\$16,399,743	\$16,399,743	\$0	

4. The City Manager and City Clerk are authorized to sign and execute a contract with Mauldin-Dorfmeier Construction, Inc., for the Land Park Combined Sewer Relief Project (PN: XM35) for an amount not to exceed \$2,386,240.

MAYOR

ATTEST:

CITY CLERK

FOR CITY CLERK USE ONLY

RESOLUTION NO.: _____

DATE ADOPTED: _____

Contractor's Name: SIERRA NATIONAL CONST. INC
 (Please print)

FILED
JUL 26 2000
 By The
 Office of The City Clerk

CITY OF SACRAMENTO

SEALED PROPOSAL

(MUST BE SIGNED BY BIDDER)

The Sealed Proposal will be received not later than **Wednesday, July 26, 2000**, at the Office of the City Clerk, City Hall Room 304, Sacramento, California and opened at **2:00 PM**, or as soon thereafter as business allows, on **July 26, 2000** in the Council Chamber, City Hall, 915 I Street, Sacramento, California.

TO THE HONORABLE CITY COUNCIL:

The undersigned hereby proposes and agrees to furnish any and all required labor, material, transportation, and services for

LAND PARK COMBINED SEWER RELIEF PROJECT
(XM35)

SEP 05 2000

in the City and County of Sacramento, California.

TOTAL BID: two million six hundred twenty seven thousand (\$2,627,130).
one hundred thirty and no/100 dollars

The work herein described is to be performed in strict conformity with the Plans, City of Sacramento Standard Specifications (Resolution No. 89-216) and these Special Provisions, all as on file in the Office of the City Clerk, at the following unit prices.

Item No.	Description	Estimated Quantity	Unit	Unit Price	Total
1	Preconstruction Photographs	1	JOB	\$ 500 -	\$ 500 -
2	Mobilization	1	JOB	\$ 58,000 -	\$ 58,000 -
3	Park Crossing	1	JOB	\$ 10,000 -	\$ 10,000 -
4	60" Dia. Combined Sewer Pipe, to Place	1,950	LF	\$ 310.00	\$ 604,500.
5	48" Dia. Combined Sewer Pipe, to Place	620	LF	\$ 223 -	\$ 138,620 -
6	36" Dia. Combined Sewer Pipe, to Place	820	LF	\$ 149 -	\$ 122,180 -
7	30" Dia. Combined Sewer Pipe, to Place, Existing Pipe to Remove	1,140	LF	\$ 136 -	\$ 155,040
8	27" Dia. Combined Sewer Pipe, to Place, Existing Pipe to Remove	590	LF	\$ 130 -	\$ 76,700 -
9	24" Dia. Combined Sewer Pipe, to Place, Existing Pipe to Remove	100	LF	\$ 130 -	\$ 13,000 -
10	15" Dia. Combined Sewer Pipe, to Place	560	LF	\$ 120 -	\$ 67,200 -
11	12" Dia. Combined Sewer Pipe, to Place	1,610	LF	\$ 75 -	\$ 120,750 -
12	6" Dia. Combined Sewer Pipe, to Place			\$ 60 -	\$ 6000 -

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 SEP 05 2000
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 OFFICE OF THE
 CITY CLERK

13	10" Dia. Drain Inlet Leads, to Install	2,260	LF	\$ <u>75 -</u>	\$ <u>169,500</u>
14	Existing Drain Inlet, to Remove	45	EA	\$ <u>500 -</u>	\$ <u>22,500</u>
15	Existing Maintenance Hole, to Remove	6	EA	\$ <u>800 -</u>	\$ <u>4,800 -</u>
16	Modified Type "B" Drain Inlet, to Construct	21	EA	\$ <u>1,500 -</u>	\$ <u>31,500</u>
17	Modified Type "B" Drain Inlet - Hood in Granite Curb, to Construct	35	EA	\$ <u>2,900 -</u>	\$ <u>101,500</u>
18	Modified Ditch Box, to Construct		EA	\$ <u>1,800 -</u>	\$ <u>1,800 -</u>
19	Maintenance Hole No. 3A, to Construct	12	EA	\$ <u>2,900 -</u>	\$ <u>34,800 -</u>
20	Maintenance Hole No. 4, to Construct	13	EA	\$ <u>6,000 -</u>	\$ <u>78,000 -</u>
21	Saddle Maintenance Hole, to Construct	7	EA	\$ <u>9,800 -</u>	\$ <u>68,600 -</u>
22	Saddle Maintenance Hole on 114" pipe, to Construct	1	EA	\$ <u>14,000 -</u>	\$ <u>14,000 -</u>
23	6' Dia. Maintenance Hole, to Construct	2	EA	\$ <u>9,000 -</u>	\$ <u>18,000 -</u>
24	7' Dia. Maintenance Hole, to Construct	1	EA	\$ <u>12,000 -</u>	\$ <u>12,000 -</u>
25	Maintenance Hole Base to Reconstruct	2	EA	\$ <u>1,000 -</u>	\$ <u>2,000 -</u>
26	Existing 4" or 6" Dia. Sewer Service, to Replace	4	EA	\$ <u>1,200 -</u>	\$ <u>4,800 -</u>
27	Pipe Ends, to Plug	4	EA	\$ <u>50 -</u>	\$ <u>200 -</u>
28	Existing Maintenance Hole, to Abandon	17	EA	\$ <u>700 -</u>	\$ <u>11,900</u>
29	Existing Pipe, to Grout	1	LS	\$ <u>7,300 -</u>	\$ <u>7,300 -</u>
30	Pavement Planing	12,000	SF	\$ <u>2 -</u>	\$ <u>24,000</u>
31	Asphalt Concrete Pavement, to Construct	12,000	SF	\$ <u>350</u>	\$ <u>42,000</u>
32	Asphalt Concrete Pavement, to Replace	40,000	SF	\$ <u>450</u>	\$ <u>180,000</u>
33	Handicap Ramp, to Construct	6	EA	\$ <u>3,000 -</u>	\$ <u>18,000</u>
34	Granite Curb, to Remove and Replace	100	LF	\$ <u>100 -</u>	\$ <u>10,000</u>
35	Concrete Gutter and Sidewalk, to Replace	400	SF	\$ <u>32 -</u>	\$ <u>12,800</u>
36	Unsuitable Material, to Remove and Replace	10,000	TON	\$ <u>30 -</u>	\$ <u>300,000</u>
37	Unstable Trench Bottom to Stabilize	1,100	TON	\$ <u>50 -</u>	\$ <u>55,000</u>
38	Trench Sheet Piling Shoring and Bracing and Install		JOB	\$ <u>30,000</u>	\$ <u>30,000</u>

FILED

JUL 26 2000
 By [Signature]
 Office of the City Clerk

SEP 05 2000

TOTAL BID: \$ 2,627,130
 (to be forwarded to page #1)

SEP 05 2000

JUL 26 2000

By The Office of the Clerk

If awarded the contract, the undersigned shall execute said contract and furnish the necessary bonds within ten (10) days after the notice of award of said contract and begin work within fifteen (15) days after the signing of the contract by the Contractor and the City or the Notice to Proceed has been prepared, whichever is applicable.

In determining the amount bid by each bidder, City shall disregard mathematical errors in addition, subtraction, multiplication and division that appear obvious on the face of the Proposal. When such a mathematical error appears on the Proposal, the City shall have the right to correct such error and to compute the total amount bid by said bidder on the basis of the corrected figure or figures.

When the unit price of an item is required to be set forth in the Proposal, and the total for the item set forth separately does not agree with a figure derived by multiplying the item unit price times the Engineer's estimate of the quantity of work to be performed for said item, the item unit price shall prevail over the sum set forth as the total for the item unless, in the sole discretion of the City, such a procedure would be inconsistent with the policy of the City's bidding procedures. The total paid for each such item of work shall be based upon the item unit price and not the total price.

Should the Proposal contain only a total price for an item and the item unit price is omitted, the City shall determine the item unit price by dividing the total price of the item by the Engineer's estimate of the quantity of work to be performed for the item of work.

If the Proposal contains neither the item price nor the total price for the item, then it shall be deemed incomplete and the Proposal shall be disregarded.

It is understood that this bid is based upon completion of the work within a period of **eighty-five (85) working** days commencing on the date specified in the Notice to Proceed.

The amount of liquidated damages to be paid by the Contractor for failure to complete the work by the completion date (as extended, if applicable) shall be **one thousand dollars (\$1,000.00) for each calendar day**, continuing to the time at which the work is completed. Such amount is the actual cash value agreed upon as the loss to the City resulting from the default of the Contractor.

The undersigned represents and warrants that he/she has examined the location of the proposed work and is familiar with the conditions at the place where the work is to be done. The undersigned further represents that he/she has reviewed and understands the Plans, Special Provisions, and other contract documents, and the undersigned is satisfied with all conditions for the performance of the work.

The undersigned has carefully checked all of the above figures and understands that the City of Sacramento will not be responsible for any errors or omissions on the part of the undersigned in making up this bid.

This proposal shall not be withdrawn for the time periods specified in Section 3-2 of the City of Sacramento Standard Specifications for award of contract to respective low bidders. This proposal is submitted in accordance with Chapter 58 of the Sacramento City Code and Sections 1, 2, and 3 of the City of Sacramento Standard Specifications.

In accordance with Standard Specification Section 3-2, the City shall award this contract to the lowest responsible bidder, if such award is made, within forty-five (45) working days after opening of the Proposals. The City reserves the right to reject any and all bids.

BID OPENED
 EMPLOYEE INITIALS
 MARK ONE BOX FOR EACH ITEM ONLY
BID SECURITY
 NONE REQUIRED
 PROPERLY SIGNED
BID DEPOSIT TYPE
 BID BOND
 CALIF. BANK CASHIER'S CHECK
 CERTIFIED CHECK
 CASH
 CALIF. BANK MONEY ORDER
AFTER AWARD OF BID
 SECURITY RETURNED
 SECURITY ACCEPTED
 EMPLOYEE INITIALS

BID DEPOSIT ENCLOSED IN THE FOLLOWING FORM:

\$ _____ not less than ten (10) percent of amount bid.

____ CERTIFIED CHECK

____ MONEY ORDER

____ CASHIERS'S CHECK

X BID BOND

FILED

JUL 26 2000

By The
Office of The City Clerk

CONTRACTOR

Addendum No. 1 X SIERRA NATIONAL CONSTRUCTION, INC

Addendum No. 2 X By: [Signature]

Addendum No. 3 X Title: PRESIDENT

Addendum No. 4 _____ Address: 2705 SIERRA VIEW TRAIL

CARMICHAEL, CA 95608

Telephone No. 916-481-6792

(Federal Tax ID # or Social Security #)
Under penalty of perjury, I certify that the Taxpayer Identification Number and all other information provided here are correct.

68-0039679

Valid Contractor's License No. 464549, Classification A/B is held by the bidder.

Expiration date 10/30/00. Representations made herein are true and correct under penalty of perjury.

PN: XM35

CERTIFICATION OF NONSEGREGATED FACILITIES

Environmental Protection Agency Region IX
75 Hawthorne Street
San Francisco, California 94105

FILED
JUL 26 2000
By The
Office of The City Clerk

CERTIFICATION OF NONSEGREGATED FACILITIES

(Applicable to federally assisted construction contracts and related subcontracts exceeding \$10,000 which are not exempt from the Equal Opportunity Clause.)

The federally assisted construction contractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments, and that he will not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained. The federally assisted construction contractor agrees that a breach of this certification is violation of the Equal Opportunity Clause in this contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact federally assisted construction contractor agrees that (except where he has obtained identical certifications from proposed subcontractors for specified time period) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from provisions of the Equal Opportunity Clause, and that he will retain such certification in his files.

7/26/00

Signature

Date

KIP SKIDMORE PRES.

Name and Title of Signer (Please Type)

Note: The penalty for making false statement in offers is prescribed in 18 U.S.C. 1001

NONCOLLUSION AFFIDAVIT
PUBLIC CONTRACTS CODE SECTION 7106

NONCOLLUSION AFFIDAVIT

TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

State of California
County of SACRAMENTO ss

KIP SKIDMORE ; being first duly sworn, deposes and says that he or she is

PRESIDENT of SIERRA NATIONAL CONST. the party making the foregoing bid, that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference, with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof the effectuate a collusive or sham bid.

By [Signature]

FILED
JUL 26 2000

personally known to me OR proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signatures(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

Subscribed and sworn to before me on July 25, 2000

[Signature]
(Notary Public)

ILLA A. SKIDMORE
COMM. # 1163635
NOTARY PUBLIC-CALIFORNIA
SACRAMENTO COUNTY
COMM. EXP. DEC. 2, 2001

ILLA A. SKIDMORE
COMM. # 1163635
NOTARY PUBLIC-CALIFORNIA
SACRAMENTO COUNTY
COMM. EXP. DEC. 2, 2001

DESIGNATION OF SUBCONTRACTORS

The bidder shall list the names of all subcontractors who perform work, provide labor, or render service to the bidder in an amount in excess of one-half (0.5) of one (1) percent of the total bid, or in the case of bids for construction of streets and highways, including bridges, in an amount in excess of one-half (0.5) of one (1) percent of the total bid or ten thousand dollars (\$10,000), whichever is greater. Failure to properly complete and submit this form or the inclusion of false information may render the bid non-responsive.

Name of Bidder* SIERRA NATIONAL		Project Number XM35	2,627,130. Amount of Bid	7/26/00 Date
Name of Subcontractor	Description of Subcontract Work	Value of Subcontract Work		
		(Dollars)	(Percent)	
ARIZA CONST.	PAVING / GRINDING / PROJ. MGMT	550,000 -	2.1%	
VICKERS	SAWCUTTING	15,000 -	.6%	
AERCO PACIFIC	LANDSCAPING	14,000	.6%	
<p>* The apparent low bidder must submit a list of California contractors license numbers for all listed subcontractors no later than ten (10) days after bid opening.</p> <p>Explanation of Terms</p>				

FILED
 JUL 26 2000
 Office of the City Clerk

FILED
 JUL 26 2000
 Office of the City Clerk

CONTRACT PROVISIONS OF THE
STATE WATER RESOURCES CONTROL BOARD (SWRCB)
DIVISION OF CLEAN WATER PROGRAMS
RELATIVE TO THE UTILIZATION OF
MINORITY BUSINESS ENTERPRISE (MBE) AND
WOMEN BUSINESS ENTERPRISE (WBE)
ON CLEAN WATER PROGRAM CONSTRUCTION CONTRACTS IN CALIFORNIA

FILED

JUL 26 2000

By The
Office of The City Clerk

This document and attachments shall be included by the owner as a contract provision for all construction contracts exceeding \$10,000.

Compliance with the requirements of this document and attachments satisfies the MBE/WBE requirements of the U. S. Environmental Protection Agency and the SWRCB. This document supersedes any conflicting requirements.

Failure to take the five (5) affirmative steps listed under Requirements, Section A, prior to bid opening and to submit "Minority Business Enterprise/Women Business Enterprise Information" (Attachment B) with the bid shall cause the bid to be rejected as a non-responsive bid.

DEFINITIONS

BONA FIDE MINORITY BUSINESS ENTERPRISE (MBE) MEANS:

An MBE that has submitted a "Minority Business Enterprise/Women Business Enterprise Self Certification" (Attachment A) to, and been accepted as, a bona fide MBE by the owner. In addition, the following requirements apply:

1. An independent business concern which is at least 51% owned and controlled by minority group member(s) (see definition of Minority Group Member). Ownership and control can be measured by:
 - a. Responsibility for performance of contract work.
 - b. Management responsibility.
 - c. At least 51% share of profits and risk.
 - d. Other data (such as voting rights) that may be related to ownership and/or control.
2. In cases where a firm is owned and controlled by a minority woman or women, the percentage may be credited towards MBE participation or as WBE participation, or allocated, but may not be credited fully to both.

BONA FIDE WOMEN BUSINESS ENTERPRISE (WBE) MEANS:

A WBE that has submitted Attachment A to, and been accepted as, a bona fide WBE by the owner. In addition, the following requirements apply:

1. An independent business which is at least 51% owned by a woman or women who also control and operate it.
 - a. Ownership. Determination of whether a business is at least 51% owned by a woman or women shall be made without regard to community property laws. For example, an otherwise qualified WBE which is 51% owned by a married woman in a community property state will not be disqualified because her husband has a 50% interest in her share.

Similarly, a business which is 51% owned by a married man and 49% by an unmarried woman will not become a qualified WBE by virtue of his wife's 50% interest in his share of the business.

b. Control and operation. "Control" means exercising the power to make policy decisions and "operate" means being actively involved in the day-to-day management of the business.

JOINT VENTURE (JV)

A business enterprise formed by a combination of firms under a JV agreement. To qualify as a bona fide MBE/WBE, the minority-owned/woman or women owned and controlled firms in the JV must:

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1. Satisfy all requirements for bona fide MBE/WBE participation in their own rights.

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In cases where a minority woman or women-owned firm participates in a JV, the percentage may be credited towards MBE participation or as WBE participation, or allocated, but may not be credited fully to both.

2. Share a clearly defined percentage of the ownership, management responsibilities, risks, and profits of the JV. Only this percentage will be credited towards the MBE/WBE goal.

MINORITY GROUP MEMBERS

A minority group member is an individual who is a citizen of the United States and one of the following:

1. Native Americans consist of American Indian, Eskimo, Aleut, and native Hawaiian.
2. Black Americans consist of U.S. citizens, other than Hispanic, having origins in any of the black racial groups of Africa.
3. Asian Pacific Americans consist of U.S. citizens having origins in any of the original peoples of the Far East, Southeast Asia, and the Indian subcontinent. This area includes, for example, China, Japan, Korea, the Philippines, Vietnam, Samoa, Guam, the U.S. Trust Territories of the Pacific, Northern Marianas, Laos, Cambodia, and Taiwan. The Indian subcontinent takes in the countries of India, Pakistan, Bangladesh, Sri Lanka, Sikkim, and Bhutan.
4. Hispanic Americans consist of U.S. citizens with origins from Puerto Rico, Mexico, Cuba, or South or Central America. Only those persons from Central and South American countries who are of Spanish origin, descent, or culture should be included in this category. Persons from Brazil, Guyana, Surinam or Trinidad, for example, would be classified according to their race and would not necessarily be included in the Hispanic category. In addition, this category does not include persons from Portugal, who should be classified according to race.

BROKERAGE MEANS

Buying and selling for others on commission or other fee basis without maintaining a warehouse or other similar inventory storage facilities (supplier and wholesale arrangements may also fall into this business enterprise category).

CONSTRUCTION MEANS

Erection, building, alteration, remodeling, improvement or extension of buildings, structures, or other property.

REQUIREMENTS

A. Positive Effort Documentation

The bidder must take affirmative steps prior to bid opening to assure that MBEs and WBEs are used whenever possible as sources of supplies, construction and services. Failure to take such steps prior to bid opening and to submit Attachment B with the bid shall cause the bid to be rejected as non-responsive. Affirmative steps shall be as follows:

1. Including qualified MBEs and WBEs on solicitation lists.

The bidder must document that it requested assistance from the Small Business Administration and the Office of Minority Business Enterprise MBE/WBE assistance centers and that this request for assistance was received by the MBE/WBE assistance centers at least five (5) working days prior to the need for referrals (see affirmative step no. 5).

2. Assure that MBEs and WBEs are solicited whenever they are potential sources.

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The bidder must document that it has provided invitations to MBE/WBE bidders at least seven (7) working days prior to the need of a bid response.

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The bidder must document that invitations were sent to at least three (3) ^{By The} ~~(or more)~~ ^{Office of The City Clerk} MBE/WBE contractors/suppliers for each item of work referred by the MBE/WBE assistance center(s). The invitations must adequately specify the item(s) for which sub-bids were requested. The documentation of the bidder's effort to show positive efforts must indicate a real desire for a positive response; i.e., certified letter with return receipt requested or telephone call documentation. A regular letter or an unanswered telephone call is not adequate positive effort.

The bidder must submit to the owner documentation consisting of a list of all sub-bidders for each item of work that MBEs or WBEs were solicited including dollar amounts for both MBE/WBE and non-MBE/WBE sub-bidders.

3. Divide total requirements, when economically feasible, into small tasks or quantities to permit maximum participation of MBEs and WBEs.

A bidder must document that it gave consideration to dividing the contract into small proprietary portions (paving, electrical, landscaping, etc.). If this was not done, documentation must be submitted explaining why it could not be done.

4. Establish delivery schedules, where the requirements of the work permit, which will encourage participation by MBEs and WBEs.

The bidder must document that it gave consideration to establishing a project schedule which would allow MBEs and WBEs to bid the work as subcontractors or suppliers. If this is not done, document reasons why the project schedule, or portions thereof, cannot be modified so as to accommodate interested MBEs and WBEs.

5. Use of the services and assistance of the Small Business Administration and the Office of Minority Business Enterprise of the U. S. Department of Commerce, as appropriate.

The bidder must present documentation that contact was made with both of the offices listed below. Their services are provided at no cost to the bidder. Do not write. The internet web site contains local SBA and MBDA centers. The local center may provide the bidder with a listing of MBE/WBE firms. If a list was not provided, bidder must explain why it was not and describe what MBE/WBE solicitation list was used.

Small Business Administration:
<<http://www.sba.gov>>

Government Contracting Office
455 Market Street, Suite 600
San Francisco, CA 94105
Telephone: (415) 744-8429

Office of Minority Business
Enterprise: Regional Office
U.S. Dept. of Commerce
<<http://www.mbda.gov>>

Minority Business Development
Agency (MBDA)
San Francisco, CA 94105
Telephone: (415) 744-3001

In addition, the bidder is encouraged to procure supplies and services from labor surplus area firms.

B. Other Requirements

1. The apparent successful low bidder must submit documentation showing that, prior to bid opening, all required positive efforts were made. This documentation must be received by the owner within ten (10) working days following bid opening (except Attachment B which is to be submitted with the bid). Failure to submit Attachment B with the bid will cause the bid to be rejected as non-responsive.
2. If the apparent successful low bidder has rejected or considered as non-responsible and/or non-responsive any low MBE or WBE sub-bidder, a complete explanation must be provided to the owner.
3. Each MBE/WBE firm to be utilized must complete the Attachment A, which is to be with the documentation submitted to the owner by the apparent successful low bidder.
4. If additional MBE/WBE subcontracts become necessary after the award of the prime contract, Attachment B must be provided to the owner by the Prime Contractor within ten (10) working days following the award of each new subcontract.
5. Any deviation from the information contained in Attachment B shall not result in a reduction of MBE/WBE participation without prior approval of the owner.
6. When brokerage type arrangements are utilized, only the amount of commission or fee will be eligible for MBE/WBE consideration.
7. Failure of the apparent low bidder to perform the five affirmative steps prior to bid opening and/or to submit Attachment B with its bid will lead to its bid being declared non-responsive by the owner. The owner may then award the contract to the next low responsive, responsible bidder meeting the requirements of these contract provisions. The owner also may rebid the contract.

C. State's "Fair Share" Objectives

The SWRCB has established the following fair share objectives for this construction contract:

20 % of the total bid dollar amount of this contract for MBE.

10 % of the total bid dollar amount of this contract for WBE.

(The owner may contact the SWRCB, Division of Clean Water Programs, Design Review Unit at (916) 227-4588 for questions on the state's fair share objectives.)

D. Local/Regional Objectives

The owner may have established local/regional objectives and those requirements may be attached if appropriate.

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-DEFINITIONS-

MINORITY BUSINESS ENTERPRISE/WOMEN'S BUSINESS ENTERPRISE (MBE/WBE)

An MBE or WBE is a business which is at least 51 percent owned and controlled by minority group members or by woman or women. Owners must exercise actual day-to-day management.

MINORITY GROUP MEMBERS

(a) American Indians

Persons having origins in any of the original peoples of North America. To qualify in this group, a person must be a citizen of the United States and meet one or more of the following:

- (1) Be at least one-fourth Indian descent (as evidenced by registration with the Bureau of Indian Affairs).
- (2) Characteristic Indian appearance and features.
- (3) Characteristic Indian name.
- (4) Recognition in the community as an Indian.
- (5) Membership in a tribe, band or group of American Indians (recognized by the Federal Government), as evidenced by a tribal enrollment number or similar indication.

(b) Black Americans

U.S. citizens, other than Hispanic, having origins in any of the black racial groups of Africa.

(c) Asian Americans

U.S. citizens having origins in any of the original peoples of the Far East, Southern Asia, the Indian subcontinent or the Pacific Islands. This area includes, for example, China, Japan, Korea, the Philippine Islands and Samoa. The Indian subcontinent takes in the countries of India, Pakistan, Bangladesh, Sri Lanka, Nepal, Sikkim, and Bhutan.

(d) Hispanic Americans

U.S. citizens of Mexican, Puerto Rican, Cuban, or other Spanish culture or origin, regardless of race. Only those persons from Central and South American countries who are of Spanish origin, descent, or culture should be included in this category. Persons from Brazil, Guyana, Surinam or Trinidad, for example, would be classified according to their race and would not necessarily be included in the Hispanic category. In addition, the category does not include persons from Portugal, who should be classified according to race.

(e) American Eskimos and American Aleuts

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ATTACHMENT B
MINORITY BUSINESS ENTERPRISE/WOMEN BUSINESS ENTERPRISE INFORMATION
 SWRCB DIVISION OF CLEAN WATER PROGRAMS

LOAN RECIPIENTS NAME CITY OF SACRAMENTO		CONTRACT NO. OR SPECIFICATION NO. XM 35	
PROJECT DESCRIPTION RECONSTRUCTION OF COMBINED SEWER FACILITIES		PROJECT LOCATION LAND PARK CITY OF SACRAMENTO	
PRIME CONTRACTOR INFORMATION			
NAME AND ADDRESS (Include ZIP Code, DOB, & SSN) SIERRA NATIONAL CONST. INC 2705 SIERRA VIEW TRAIL CARMICHAEL, CA 95608		<input type="checkbox"/> MBE	<input type="checkbox"/> WBE
PHONE 916-481-6792		AMOUNT OF CONTRACT 2,162,130.00	
MBE/WBE INFORMATION			
<input checked="" type="checkbox"/> MBE		<input type="checkbox"/> WBE	
<input checked="" type="checkbox"/> SUBCONTRACTOR		<input type="checkbox"/> SUPPLIER/SERVICE	
<input type="checkbox"/> JOINT VENTURE		<input type="checkbox"/> BROKER	
AMOUNT OF CONTRACT 550,000		NAME AND ADDRESS (Include ZIP Code, DOB, & SSN) ARIZA CONSTRUCTION 9158 NAYOS WAY ELK GROVE, CA 95758	
WORK TO BE PERFORMED PAVING / GRINDING / PROJECT MGMT		PHONE 916-429-8863	
<input type="checkbox"/> MBE		<input checked="" type="checkbox"/> WBE	
<input type="checkbox"/> SUBCONTRACTOR		<input checked="" type="checkbox"/> SUPPLIER/SERVICE	
<input type="checkbox"/> JOINT VENTURE		<input type="checkbox"/> BROKER	
AMOUNT OF CONTRACT 275,000		NAME AND ADDRESS (Include ZIP Code, DOB, & SSN) CONTRACTORS CONST. PRODUCTS 3660 MAINST #6 COTTONWOOD, CA 96022	
WORK TO BE PERFORMED PIPE PRODUCTS		PHONE 530-528-9570	
<input checked="" type="checkbox"/> MBE		<input type="checkbox"/> WBE	
<input checked="" type="checkbox"/> SUBCONTRACTOR		<input type="checkbox"/> SUPPLIER/SERVICE	
<input type="checkbox"/> JOINT VENTURE		<input type="checkbox"/> BROKER	
AMOUNT OF CONTRACT 15,000 -		NAME AND ADDRESS (Include ZIP Code, DOB, & SSN) VICKERS CONCRETE SAWING 392 E. GISH RD. SAN JOSE CA 95112	
WORK TO BE PERFORMED SAWCUTTING		PHONE 408-573-9797	
<input type="checkbox"/> MBE		<input type="checkbox"/> WBE	
<input type="checkbox"/> SUBCONTRACTOR		<input type="checkbox"/> SUPPLIER/SERVICE	
<input type="checkbox"/> JOINT VENTURE		<input type="checkbox"/> BROKER	
AMOUNT OF CONTRACT		PHONE	
WORK TO BE PERFORMED			
TOTAL MBE AMOUNT: \$ 565,000 -		TOTAL WBE AMOUNT: \$ 275,000	
ACTUAL MBE PARTICIPATION: <u>21.5%</u>		ACTUAL WBE PARTICIPATION: <u>10.5%</u>	
MBE GOAL: 20% <u>20%</u>		WBE GOAL: 10% <u>10%</u>	
SIGNATURE OF PERSON COMPLETING FORM: <u><i>Budman</i></u>			
TITLE: PRESIDENT		PHONE: 916-481-6792 DATE: 7/26/00	

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FORM 4700-B (rev 2/98)

DRUG-FREE WORKPLACE POLICY AND AFFIDAVIT

BID MAY BE DECLARED NONRESPONSIVE IF THIS FORM (COMPLETED) IS NOT ATTACHED.
Pursuant to City Council Resolution CC90-498 dated 6/26/90 the following is required.

The undersigned contractor certifies that it and all subcontractors performing under this contract will provide a drug-free workplace by:

1. Publishing a "Drug-Free Workplace" statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition.
2. Establishing a Drug-Free Awareness Program to inform employees about:
 - a. The dangers of drug abuse in the workplace.
 - b. The contractor's policy of maintaining a drug-free workplace.
 - c. Any available drug counseling, rehabilitation, and employee assistance program.
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
3. Notify employees that as a condition of employment under this contract, employees will be expected to:
 - a. Abide by the terms of the statement.
 - b. Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace.
4. Making it a requirement that each employee to be engaged in the performance of the contract be given a copy on the "Drug-Free Workplace" statement.
5. Taking one of the following appropriate actions, within thirty (30) days of receiving notice from an employee or otherwise receiving such notice, that said employee has received a drug conviction for a violation occurring in the workplace:
 - a. Taking appropriate disciplinary action against such an employee, up to and including termination; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement or other appropriate agency.

* I certify that any person employed by this company, corporation, or business has not been convicted of any criminal drug statute violation on any job site or project within three years of the date of my signature below.

EXCEPTION: NONE YES _____ NO _____
Date Violation Type Place of Occurrence Was Employed by this Firm
If additional space is required use back of this form.

*The above statement will also be incorporated as a part of each subcontract agreement for any and all subcontractors selected for performance on this project.

IN THE EVENT THIS COMPANY, CORPORATION, OR BUSINESS IS AWARDED THIS CONSTRUCTION CONTRACT, AS A RESULT OF THIS BID; THE CONTRACTOR WITH HIS/HER SIGNATURE REPRESENTS TO THE CITY THAT THE INFORMATION DISCLOSED IN THIS DOCUMENT IS COMPLETE AND ACCURATE. IT IS UNDERSTOOD AND AGREED THAT FALSE CERTIFICATION IS SUBJECT TO IMMEDIATE TERMINATION BY THE CITY.

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The Representations Made Herein On This Document Are Made Under Penalty Of Perjury.

CONTRACTOR'S NAME: SIERRA NATIONAL CONST. INC
BY: [Signature] PRES Date: 7/26/00
Signature Title

Effects of violations: a. Suspension of payments under this contract. b. Suspension or termination of the contract. c. Suspension or debarment of the contractor from Receiving any contract from the City of Sacramento for a period not to exceed five years.
FM 681 7/10/90

ESBE REQUIREMENTS
(City Contracts no Federal Funds Used)

On February 9, 1999, the Sacramento City Council adopted an Emerging and Small Business Development (ESBD) program to provide enhanced opportunities for the participation of small business enterprises (SBEs) and emerging business enterprises (EBEs) in the City's contracting and procurement activities. The ESBD program establishes an annual emerging and small business enterprise (ESBE) participation goal for the City's contracts, and authorizes City departments to require minimum ESBE participation levels in individual contracts so that the annual ESBE participation goal can be met. Under City Code section 58.08.802, when the bid specifications for a City contract establishes a minimum participation level for ESBEs, **no bidder on the contract shall be considered a responsive bidder unless its bid meets the minimum ESBE participation level required by the bid specifications.**

The City has established a minimum **20% participation level** for ESBEs on this contract. Pursuant to City code Section 58.08.802, no bidder on this contract shall be considered a responsive bidder unless its bid meets or exceeds this minimum participation level.

Bidders shall include copies of their Certification as a SBE or EBE and the SBE or EBE Certifications for each subcontractor, trucker, material supplier, or other business entity listed on the forms submitted within two (2) working days of submitting the sealed proposal. **Failure to submit the required ESBE information will be grounds for finding the bid non-responsive.**

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II. ESBE CERTIFICATION

- A. A SBE designated in the bid must be certified as such by the State of California, Department of General Services or by the City, as defined herein, prior to the time bids are received.
- B. An EBE designated in the bid must be certified as such by the City, as defined herein, prior to the time bids are received.

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III. DETERMINATION OF ESBE PARTICIPATION LEVEL

- A. The percent of ESBE participation shall be determined based on the dollar amount of the work to be performed by a certified ESBEs designated in the bid, relative to the total dollar amount of the bid, except as provided otherwise below.
- B. To receive credit for participation, a ESBE must perform a commercially useful function; i.e., must be responsible for the execution of a distinct element of the work and must carry its responsibility by actually performing, managing, or supervising the work.
- C. Suppliers: Credit for a ESBE vendor of materials or supplies is counted as one hundred (100) percent of the amount paid to the vendor for the material.
- D. Truckers: Credit for trucking by ESBEs will be (100) percent.

IV. ESBE REQUIREMENTS OF SUCCESSFUL BID/PROPOSAL

- A. ESBE RECORDS - The Contractor shall maintain records of all subcontracts with certified ESBE subcontractors and records of materials purchased from certified ESBE vendors/suppliers for one (1) year after receiving final payment from the City. Such records shall show the name and business address of each ESBE subcontractor or vendor/supplier and the total dollar amount actually paid each ESBE subcontractor or vendor/supplier.

Upon completion of the contract, a summary of these records shall be prepared, certified correct by the Contractor's authorized representative and furnished to the City. The Contractor shall provide such other information, records, reports, certifications or other documents as may be required by City, to determine compliance with any provision of the ESBD program or these specifications.

- B. REPORTING REQUIREMENTS AND SANCTIONS - Failure to provide specific information, records, reports, certifications, or any other documents required for compliance with these specifications shall be considered noncompliance with the contract. If the Contractor fails to correct a deficiency within fifteen (15) days after notification, a deduction may be made from the contract amount. The deduction shall be ten (10) percent of the estimated value of the work done during the month, not to be less than \$1,000 nor exceed \$10,000 and shall be deducted from the next progress payment.
- C. PERFORMANCE OF ESBE SUBCONTRACTORS AND SUPPLIERS - The ESBEs listed by the Contractor shall perform the work and supply the materials for which they are listed unless the Contractor has received prior written authorization from the City to perform the work with other forces or to obtain the material from other sources. Reasons for requesting such authorization would include:
1. The listed ESBE fails to execute a written contract based upon the general terms, conditions, plans, and specifications for the project.
 2. The listed ESBE becomes bankrupt or insolvent.
 3. The listed ESBE subcontractor fails to meet the bond requirements of the Contractor.
 4. The work performed by the listed subcontractor is unsatisfactory and/or is not in accordance with the plans and specifications, or the subcontractor fails to perform his/her obligations under the subcontractor contract.
 5. It would be in the best interest of the City. The Contractor shall not be entitled to any payment for such work or materials unless it is performed or supplied by the listed SBE or EBE or other forces (including those of the Contractor) authorized in writing, by the City.
- D. SUBCONTRACTOR SUBSTITUTION - No substitution of an ESBE subcontractor shall be made at any time without compliance with the Subcontracting Listing Law and the written consent of the City. If a ESBE subcontractor is unable to perform successfully and is to be replaced, the Contractor will be required to make good faith efforts to replace the original ESBE subcontractor with another certified ESBE subcontractor. The new ESBE subcontractor must be certified at the time of substitution.

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V. DEFINITIONS**A. Emerging Business Enterprise (EBE)**

The City shall certify EBEs utilizing the small business certification criteria and standards of the State of California, General Services Department, Office of Small Business Certification and Resources, that were in effect on December 1, 1998, provided that the size standard, industry by industry, shall be set at 50% of the State small business certification criteria and standards that were in effect on December 1, 1998.

B. Small Business Enterprise (SBE)

The City shall certify SBEs utilizing the small business certification criteria and standards of the State of California, General Services Department, Office of Small Business Certification and Resources. The City will also accept State certified SBEs.

C. CONTRACTOR

The individual, partnership, corporation, joint venture or other legal entity entering into a contract with the City of Sacramento.

D. SUBCONTRACTOR

The individual, partnership, corporation, or other legal entity entering into a contract with the prime contractor to perform a portion of the work.

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CITY OF SACRAMENTO SUBCONTRACTOR AND ESBE PARTICIPATION VERIFICATION

To be eligible for award of this contract, the bidder shall list any business entity used to attain the ESBD goal. Additionally, all other subcontractors who perform work, labor or render service in an amount in excess of one-half (0.5) of one percent of the total bid amount shall be listed. In the case of bids for the construction of streets and highways, including bridges, subcontractors whose subcontracted value exceeds one-half (0.5) percent of one percent of the total bid or ten thousand dollars (\$10,000), whichever is greater, shall be listed. The inclusion of false information will render the bid non-responsive. READ THE ABOVE REQUIREMENT CAREFULLY.

Name of Prime Contractor: SIERRA NATIONAL Total Estimated Bid Amount: \$ 2,627,130 DATE: 7/26/08

Business Entity or Subcontractor Name	Indicate EBE or SBE	Items of work and/or Description of Work or Service Subcontracted or Materials to be provided to complete contract	Estimated Dollar Value of Work/Service Provided
ANIZA CONST	SBE	PAVING/GRINDING	550,000 -
VICKENS	SBE	SAWCUTTING	15,000 -
CONTRACTORS CONST PRODUCTS	SBE	PIPE PRODUCTS	275,000 -
AERCO PACIFIC	SBE	LANDSCAPING	14,000 -

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CERTIFICATION STATEMENTS ARE DUE BY THE CLOSE OF BUSINESS TWO DAYS AFTER BID OPENING