



PLANNING AND BUILDING DEPARTMENT

CITY OF SACRAMENTO

Planning Division

1231 I STREET ROOM 300 SACRAMENTO, CA 95814-2998

PLANNING 916-264-5381 FAX 916-264-5328

March 10, 2004

City Council Sacramento, California

Honorable Members in Session:

SUBJECT: RESIDENTIAL INFILL LOT PROGRAM, M03-194, M03-195

LOCATION AND COUNCIL DISTRICT: Citywide, D - All

**RECOMMENDATION:** Staff recommends that City Council approve the attached resolutions directing staff to move forward with amendments to the Infill Fee Reduction Program and issue a Request For Proposal to prepare the City Sponsored House Plans.

CONTACT PERSONS: Lucinda \

Lucinda Willcox, Infill Coordinator, 264-5052 Aaron Sussman, Assistant Planner, 808-7931

FOR COUNCIL MEETING OF: March 30, 2004 (evening)

**SUMMARY:** This report reviews two programs to address residential infill lots in the City's older single-family neighborhoods. It reports on the status of the Infill Fee Reduction program and recommends some technical changes to the program. It also outlines recommendations for developing a program to develop City Sponsored House Plans.

**COMMITTEE/COMMISSION ACTION:** The Development Oversight Commission reviewed these programs in connection with the Infill Development Program Work Plan.

**BACKGROUND INFORMATION:** The Infill Fee Reduction Program and the City Sponsored Infill House Plans were identified as programs of the Infill Strategy adopted by the City Council on May 14, 2002, to address the large number of individual vacant lots in many of the City's older residential neighborhoods.

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Analysis of vacant infill land in the City determined that of the approximately 5,000 vacant parcels in the city, two-thirds of these are parcels smaller than 10,000 square feet. Not only do these parcels represent a substantial portion of the City's overall infill development potential, but these vacant lots are concentrated in some of the City's older and more challenged neighborhoods. Such vacant lots often cause blight and attract negative activities such as illegal dumping or other illegal activities.

There remain financial challenges involved with constructing small residential infill projects in the City of Sacramento's older neighborhoods, due to potentially significant infrastructure costs, subdivision fees, irregular lot sizes, inability to benefit from economies of scale, and lower sales and rental prices than newer areas of the city.

The Infill Strategy identified Target Residential Neighborhoods (Attachment B) include older residential neighborhoods with significant numbers of individual and small vacant residential lots. These include redevelopment areas, Community Development Block Grant (CDBG) eligible areas, and other transitional neighborhoods. Within these areas, there are approximately 1,600 acres of vacant land representing more than 3,000 potential housing units.

**Infill Fee Reduction Program-** The Infill Fee Reduction Program was adopted by City Council on December 10, 2002 and funded at \$150,000 per year for the last two fiscal years.

The Infill Fee Reduction Ordinance reduces the per-unit fees for eligible residential projects. Eligible projects include projects of four units or less comprised of single-family homes, duplexes, or townhomes that are located in the Target Residential Neighborhoods.

Fees paid at the time of issuing a building permit by a typical infill single family home include fees associated with building permit review (e.g., plan check and inspection), a variety of taxes and development impact fees (e.g., for parks, schools, water, sewer facilities), and other utility connections (e.g., water tap). These typically are about \$14,000-\$16,000 per unit. Additional fees or payments are required if a lot is split of further subdivided. Under the program development and impact fees for qualified projects are written-down by up to \$5,000 per unit.

Since the programs inception, staff has approved fee reductions for 76 housing units, and has exhausted funding for the current fiscal year. In February 2004 staff surveyed those who had taken advantage of the program to get feedback on the programs effectiveness. The survey response indicated that the program is considered very beneficial to local infill developers, property owners and community members (Attachment A). Overall feedback indicated that the program was generally easy to use, provided for more investment into a project, was a useful tool for challenged areas, and encouraged additional infill development. In some cases, infill builders reported that the Infill Fee Reduction program was the catalyst to dividing property (i.e., lot splits) to build additional housing units.

Assuming the program is funded again in FY 04-05, staff proposes some technical revisions to the Infill Fee Reduction Ordinance, to clarify the requirements, maximize benefit, and make it more efficient to administer. The proposed changes are:

- Require units to connect to public sewer and water to be eligible for the program.
- Clarify that accessory units do not qualify for the program.
- Develop a flat fee reduction amount.
- Provide reductions only to lots without prior uses or who have split lots.

Staff proposes to bring back amendments by June for City Council approval.

**City Sponsored Infill House Plans-** The City Sponsored Infill House Plan program was identified in the Infill Strategy as a pilot program in an effort to promote the development of high quality single family infill housing.

In infill neighborhoods, new single-family homes require either staff level or over the counter design review. In redevelopment areas, proposals are also reviewed by the Project Area Committee (PAC's) or Redevelopment Advisory Committee (RAC's). The existing process in a design review area takes 3 to 6 weeks to complete at staff level. The building permit process takes an additional two to three weeks.

A program for "model" or "pre-approved" house plans for infill lots to streamline the process for development of high quality single family homes in the City's older neighborhoods and redevelopment areas. Each plan set will have three different elevations to allow for the variety in exteriors, similar to larger "model" home project in the City's new growth areas. There will be plan sets that will be designed for different design review areas, based on individual adopted design guidelines. The program will be reviewed periodically for its effectiveness.

The City would contract with an architect or design team to develop plans. These plans will be "pre-approved" through the design review process, and building plan check process and after approval would be available for purchase at an established fee. This program will also require city code amendments to eliminate the noticing requirement if projects are pre-approved. This will expedite the process for pre-approved residential projects. The plan sets will be reviewed by the community including the RAC's and PAC's before the plans are formally adopted by City Council.

Staff researched to see if other communities have enacted any similar program. The City of Santa Cruz recently developed a program for Accessory Dwelling Units. Santa Cruz developed prototype plans of second units that have various designs and use a variety of materials. Additionally Santa Cruz developed an Accessory Dwelling Unit Manual (Attachment C) that is a "how to" guide to developing a second unit. Planning staff is looking to develop a Residential Manual and pre-approved plans sets.

**Community Outreach-** Staff reviewed the proposal with local architects and the Central Valley Chapter of the AIA to discuss the program implementation. Additionally, staff presented the program to the four Area Leadership groups to discuss the program and implementation. The primary neighborhood concern was about quality of design and

notification. Once a hired consultant has developed plan sets, staff will go back out the respective Areas Leadership groups to get their input and ideas.

**FINANCIAL CONSIDERATIONS:** This report is an informational report. Funding for FY 04-05 for the Infill Fee Reduction Ordinance will be considered as part of the City's overall budget process. The City Sponsored Infill House Plan program is estimated to entail consultant fees of approximately \$8,000 to \$10,000 per house plan. Staff proposes to fund the house plans through the Department's consultant budget and to pursue joint funding with redevelopment funds for the Oak Park Redevelopment Area.

**ENVIRONMENTAL CONSIDERATIONS:** The proposed project is exempt from environmental review pursuant to State CEQA Guidelines (CEQA Section 15061(b)(3)). The proposed amendment is covered by the general rule that CEQA applies only to projects that have the potential for causing a significant effect on the environment.

#### **POLICY CONSIDERATIONS:**

**General Plan Policy-** It is the policy of the City to promote infill development, rehabilitation, and reuse that contributes positively to the surrounding area and assists in meeting neighborhood and other City goals.

**Strategic Plan Implementation-** The recommended action conforms with the City of Sacramento Strategic Plan, specifically by adhering to the goal to enhance and preserve urban areas by supporting existing development (and supportive infrastructure) within existing developed areas, allowing for efficient use of existing facilities, features and neighborhoods.

**ESBD CONSIDERATIONS**: No goods or services are being purchased at this time.

Respectfully Submitted,

GARY L. STONEHOUSE

Planning Director

RECOMMENDATION APPROVED:

Mariel

ROBERT THOMAS
City Manager

Resolution to Direct Staff to Issue an RFP for the City Sponsored House Plans	Pg. 6
Exhibit 1: City Sponsored House Plan Project Description	
Resolution to Direct Staff to Amend the Infill Fee Reduction Ordinance	
Attachment A: Infill Fee Reduction Survey	
Attachment B: Target Infill Areas Map	
Attachment C: City of Santa Cruz Accessory Dwelling Unit Manual	
Attachment D: PowerPoint Presentation	

#### **RESOLUTION NO.**

ADOPTED BY THE SACRAMENTO CITY COUNCIL	
ON DATE OF	
RESOLUTION TO DIRECT STAFF TO ISSUE A REQUEST FOR PROPOSAL TO DEVELOP THE CITY SPONSORED HOUSE PLANS	
WHEREAS, the City Council of the City of Sacramento, on May 14, 2002, adopted to City of Sacramento Infill Strategy which established goals, policies, and programs promote quality infill development within the City of Sacramento; and	he to
WHEREAS, the City Sponsored House Plans program was identified as a pilot program to facilitate quality single-family residential infill projects;	am
WHEREAS, City staff has reviewed the concept with local architectural firms a neighborhood groups;	nd
NOW, THEREFORE BE IT RESOLVED by the City Council of the City of Sacramer that staff is directed to issue an Request For Proposal to develop the City Sponsor House Plans as outlined in exhibit 1.	ito ed
MAYOR	
ATTEST:	
CITY CLERK M03-194	
FOR CITY CLERK USE ONLY	
RESOLUTION NO	
ADOPTED:	

#### CITY SPONSORED HOUSE PLAN PROGRAM

The City Sponsored House Plan program was identified in the Infill Strategy as a way to streamline the development of infill housing. The City Council has approved a program to develop "model" or "pre-approved" house plans for infill lots to streamline the process for development of high quality single family homes in the City's older neighborhoods and redevelopment areas. The City would like to facilitate development of these vacant lots, at the same encourage quality residential development in the City. The goal is to provide options for property owners and prospective developers of these lots.

- This is a pilot program that will be reviewed periodically for its effectiveness.
- Plans will be developed to fit specific lot widths and design review areas.
- Plan sets will be developed with multiple elevations to provide variety.
- Plan sets will meet and exceed the current City standards for residential development, and will be designed to specific neighborhood design guidelines.
- The City Sponsored House Plans sets will be "pre-approved" through the design review process and the building permit process.
- Plan sets will be referenced at the development counters and available for purchase from designers or architects.

 FOR CITY CLERK USE ONLY	
RESOLUTION NO:	
DATE ADOPTED:	

#### **RESOLUTION NO.**

ADOPTED BY THE SACRAMENTO CITY COUNCIL

ON DATE OF
RESOLUTION TO DIRECT STAFF TO AMEND THE INFILL FEE REDUCTION ORDINANCE
WHEREAS, the City Council of the City of Sacramento, on May 14, 2002, adopted the City of Sacramento Infill Strategy which established goals, policies, and programs to promote quality infill development within the City of Sacramento; and
WHEREAS, the City of Sacramento, on December 10, 2002 adopted the Infill Fee Reduction Ordinance to reduce the development fees for residential infill development;
<b>WHEREAS</b> , the City of Sacramento Planning Staff has reduced fees of more than 75 nousing units in the City Targeted Infill Areas;
WHEREAS, the changes to the Infill Fee Reduction Ordinance will clarify the equirements, maximize benefit and make is easier to administer;
NOW, THEREFORE BE IT RESOLVED by the City Council of the City of Sacramento hat staff is directed to make technical changes to the Infill Fee Reduction Ordinance.
MAYOR
ATTEST:
CITY CLERK M03-195
FOR CITY CLERK USE ONLY
RESOLUTION NO
ADOPTED:



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CITY OF SACRAMENTO

CALIFORNIA

Planning Division

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February 4, 2004

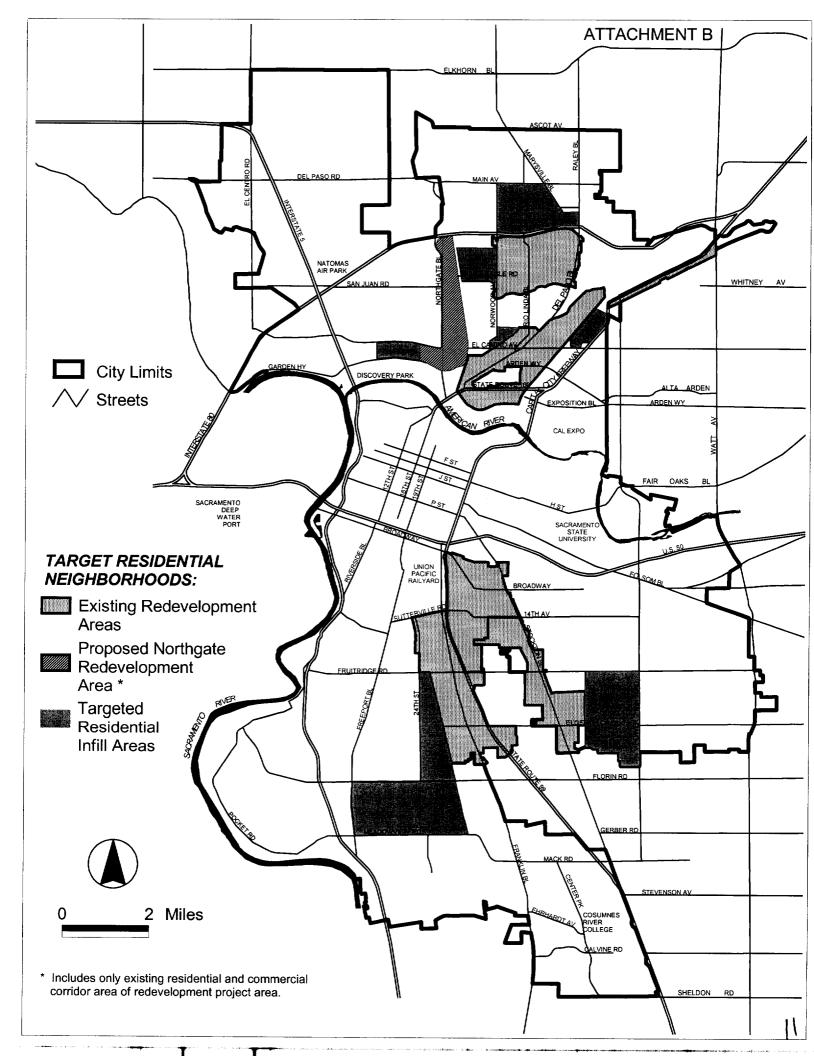
#### Dear

Thank you for participating in the City's Infill Fee Reduction Program. This was a pilot program to promote small infill projects in targeted residential neighborhoods. Due to the success of the program, we have exhausted City funding for this fiscal year. With limited City funds, we want to identify how best to achieve our goals and what changes might improve the program as the City considers how to fund the program in the upcoming fiscal year.

Please take a few minutes to answer these questions and return it in the enclosed envelope by **February 27, 2004.** 

■ Did you find the program	easy to use?			
What problems, if any, did	you encounter?			
Did this program factor int	o your decision on v	vhether or how to	develop? If so, h	now?
. •	•		·	

■ Would the continuation of the program lead you to pursue additional development? Please elaborate
■ In what neighborhood(s) would you consider this program most beneficial?
■ Please provide any other comments on this program and the overall development process.
Thank you for taking the time to complete this survey. Please return the survey in the attached envelope by <b>February 27, 2004</b> . Please contact Aaron Sussman at 808-7931 if you have any comments. We'll keep you posted on the status of the program.
Sincerely,
Lucinda Willcox Infill Coordinator



Attachment C

SANTA CRUZ, CALIFORNIA

GETTING STARTED Introduction to ADU development and how to start your project

DESIGNING YOUR ADU Neighborhood compatibility, planning your ADU and prototypical ADU designs

A PROJECT MANAGER Understanding the application process, building your ADU and renting your ADU BEING

MORE RESOURCES Glossary of terms, checklist and other useful information

Funded by the California Pollution Control Financing Authority Sustainable Communities Grant and Loan Program

# Foreword

endeavors to maintain a small town atmosphere, distinguished by a diverse community and encircled by the natural beauty of a greenbelt. By necessity, infill-housing opportunities are a critical part of the City's approach to pro-Although challenged by growth pressures associated with increasing enrollment at the University of California at of rental housing increases and with the added rental income, a homeowner might more easily afford their home. more rental housing as well as making home ownership more affordable. With each new ADU, the overall stock viding new affordable housing choices. Accessory Dwelling Units (ADUs) are one way for the City to provide Santa Cruz (UCSC) and the attractiveness of Santa Cruz's proximity to Silicon Valley, the City of Santa Cruz

Sustainable Communities Program, the City of Santa Cruz has implemented a program to help homeowners devel-Cruz community. This ADU Development Manual is part of that program. Information on other aspects of the proop ADUs that are sensitive to the surrounding neighborhood, contributing, rather than detracting, from the Santa However, the community has expressed concerns about the effects that this form of infill housing might have on the neighborhoods. So, with funding from the California Pollution Control Financing Authority (CPCFA) gram is also included in this Manual.

ook at the Prototypes, please refer to the Manual's companion volume, the ADU Development Program Prototype The purpose of the Manual is to assist homeowners with the process of developing an ADU. The Manual includes relevant zoning, design standards, building codes and showcases ADU Prototype designs. For a more in depth

# Accessory Dwelling Unit



# Introduction to ADU

Understanding the basics Development

Being a good neighbor

Compatibility

## Z How Do I Start?

10 Planning Your New

Planning an ADU pro-

Understanding your

needs

# 37 The Application

planning and building Moving through the permit process

# 42 Building Your ADU

Development basics

# A Renting Your ADU

Prototypical

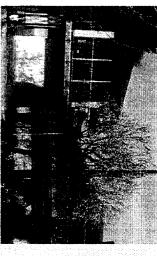
Landlord basics Designs and Designs for

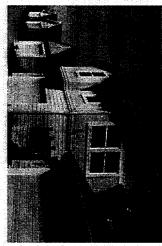
Design options

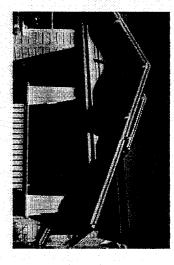
# 49 More Resources

City Department Contacts ADU Zoning Regulations Sample Lease Agreement ADU Plans Checklist ADU Permit Fees ADU Definitions Useful Web Sites **Building Codes** 

# 72 Acknowledgements







# Section One: Getting Started

grams are offered by the City to help you build an ADU on your property? build them? What governs their development? What technical and financial assistance proyou to do your homework. Section One helps you get started. What is an ADU? Who can Mapping out your process to design and develop an Accessory Dwelling Unit (ADU) requires

#### introduction to ADU Devolopment

When you look around your neighborhood, you may see that some of your neighbors have built an ADU on their property. Perhaps to supplement their mortgage payments, provide for elderly parents or allow their children to stay in Santa Cruz. Each had their own reason to build their ADU and each had to navigate the development process. You may be wondering what an ADU is, who can build them, and how to get started. Here we go.

### What is an ABU?

An Accessory Dwelling Unit (ADU) is an additional, self-contained housing unit that is secondary to the main residence. ADUs are sometimes referred to as "Granny units" or "Mother-In-Law units" since many ADUs were initially constructed to provide for family members.

ADUs can take many forms. In some cases, an ADU can be attached as an addition to the house or as a second story over a garage.

The garage itself may be converted to an ADU or the ADU may occupy a basement. An ADU can even be a section of the main house that has been separated from the main living space. And of course, an ADU can be a stand-alone unit like a small house or cottage. The City only requires that an ADU have a kitchen, bathroom, and place to sleep. Alleys provide excellent opportunities for ADU development with an access that is separate from the main house.

AB 1866 was signed by the Governor in 2003. The new law is intended to promote development of second units in existing and future single family lots. It makes review of Accessory Dwelling Units (ADUs) ministerial. That is, development of an ADU cannot be prohibited if it meets development standards.

### page 2

An ADU is different than a duplex in two ways. (1) Typically the two units that make up a duplex are relatively equal in size and one unit does not usually dominate the other on the lot. The City of Santa Cruz strictly limits the size of ADUs. (2) Both units in a duplex may be rented. For ADUs, the owner must reside either in the ADU or in the main house.

### Who Can Build an ADU in the City of Santa Gruz?

Any homeowner within the City of Santa Cruz boundaries who has a lot that is 5,000 square feet or more in area and is zoned for single-family dwellings may consider building an ADU. However, the configuration of the lot (especially the lot width), the amount of area covered by the main house, and other factors affect whether or not the ADU can actually be built on a lot.

In communities that are most-

ly bullt-out, ADUs provide a way to add needed housing utilizing existing infrastruc-

This older ADU over a garage demonstrates how they have provided a source of afford-

able housing in traditional

neighborhoods

No matter what kind of ADU is developed, the property owner must live at the same address as the ADU and only one ADU per single-family lot is allowed.

## What Be I Need to Knew to Start the Planning of an ADU?

In some ways, building an ADU requires the same thought as any investment. Take a look at your needs, your finances, and determine if an ADU makes sense for you at this time. You will also need to research the zoning requirements for an ADU to determine if an ADU will be allowed on your lot. If you decide that you want to pursue building an ADU, the following sections in this Manual will take you step by step through the process.

# How Do I Start?

Congratulations! If you are continuing to read this, chances are you are seriously considering building an ADU. After you've looked at your finances and have decided that building an ADU makes good economic sense, you need to look at the practicality of creating an ADU on your property. Over half of Santa Cruz land area is zoned for singlefamily housing. There are now an estimated 1,800 single family lots that could be eligible for ADUs. So what does this mean to you?

### Dotormining if Your Proporty Qualities for an ADU

property? Are you located in an area are a variety of other considerations. additional studies or mitigation. The your property is large enough, there may not prevent you from developeast 5,000 SF in size to be considor near a creek or waterway? Does Is your house or property a cultural the land have archeological value? or historic landmark? These issues with unstable soils or steep slopes ered eligible for an ADU. Even if Appendix for definition) on your In Santa Cruz, lots have to be at Do you have heritage trees (see ing an ADU, but would require understanding if these types of City can help you gain a better ssues affect your property.

ADUs are allowed only within residential zones. If you have any doubt, whether your property is in a zoning area that allows ADUs, call or visit the Planning Department for a final determination.

# **ADU Zoning Standards**

### and Design Standards <u>Getting to Know Your ADU Zoning</u>

standards for ADUs. These stanwill need to review the standards to work with the community's small dards are intended to make ADUs Santa Cruz has developed zoning ADU located on a R-1-5 parcel. City's standards for a one-story right provides a summary of the design your ADU. The table to the You and your design professional lots and compact neighborhoods included in the table as well. information for a two-story unit is Your parcel may be different. Some

## You Develop Your Alli <u> Hiring Design Professionals to Hoin</u>

may want to start from scratch and sion of what this means.) Or you bility. (See Section 2 for a discusplans. You will also need to modify or you may want to customize the specific conditions to accommodate your ADU, you will have sitetexts. If you use these prototypes for borhoods, and architectural conbe adapted for various sites, neigh-This Manual includes prototypes of the plans for neighborhood compati-ADUs and suggests how they can

Zoning Code for ADUS	Typical R1-5 Requirements'	Exceptions/Comments
Minimum lot size	5,000 SF	NO exceptions.
Maximum unit size for lot up to 7,499 SF	500 SF	7,500-9,999 SF lot can have 640 SF ADU. 10,000 SF+ lot can have 800 SF ADU.
Side yard setback, one story	3 feet	5 feet for 2 story ADU.
Side yard setbacks, one story, on a corner lot	<b>8</b> feet	8 feet for 2 story ADU.
Front yard setback	20 feet	ADU can built in front of the main house as long as required setback is maintained.
Rear yard setback, one story only	3 feet	20 feet for 2 story ADU without Administrative Use Permit.
Maximum height*	13 feet to mid roof**	22 feet to roof peak for 2 story ADU.
Minimum distance between habitable structures	10 feet	6 feet between habitable and non-habitable structures (sheds, garages, etc.)
Maximum rear yard coverage	30% of 20 foot setback	Not required if facing alley.
Parking spaces	1 space per ADU 2 spaces for house	Assumes 1 bedroom ADU and 3 bedroom house. More bedrooms need more spaces.
Covered parking	None	Building an ADU eliminates required covered parking for lot.***
Parking location	Up to 3 cars can be parked in tandem in driveway. Up front yard (maximum of 50% front yard may be paved).	Up to 3 cars can be parked in tandem in driveway. Up to 3 spaces allowed in front yard (maximum of 50% front yard may be paved).
ADU entrance, main windows and entry	May be oriented towards main h	May be oriented towards main house but not towards neighbor's property
ADU exterior	Must relate to main house in bui	Must relate to main house in building materials, roofline and other details.
Notes: *Typical requirements for an ADU located	in R-1-5	zone (check Zoning Code for your lot)

\*\* Maximum height is measured to midpoint of highest gable

\*\*\* This facilitates converting a garage into ADU



In historic neighborhoods, "carriage houses" along alleys were common methods for adding worker housing.

This single story cottage was built in the 1920's creating a second address out of the neighborhood alley.

design your own unit. In any case, you will probably need to work with a qualified designer, builder, or engineer to make sure your project meets your needs as well as the City's permitting requirements.

references and look at their previous experience. It is important that their It is also helpful if they have experiprocess. Often, design professionals anticipate the types of technical and ence in your community in the prosomething that is agreeable to you. suites you and your neighborhood, regulatory issues you will need to address. They can help you determine what you need, what design estimate costs, identify necessary design professional, ask them for technical studies, and administer with local experience can better designs and quality of work is When choosing an architect or ject review and development construction.

### ISKING Advantage of the City's ADU. Development Program

Santa Cruz has a progressive ADU program with both technical and

financial assistance. The State's California Pollution Control Financing Authority (CPCFA) Sustainable Communities Program has funded this Manual and the ADU Prototypes Plan Sets, a series of ADU design workshops on video tapes and the following additional assistance to homeowners:

Technical Assistance Grant:
For a limited time, the City will pay up to \$100 for one hour of a professional's time to help you solve particular design problems or adjust your ADU Prototype.
Apply for this assistance with your building permit.

ADU Loans: A joint City/Santa Cruz Community Credit Union loan program provides up to \$70,000 at 4.5% interest.
Affordable covenants are requisite. Apply at the Credit Union, call (831) 425-7708.

Wage Subsidy Program: The Community Action Board of Santa Cruz County's Women Venture Project will provide 50%

salary assistance for builders using graduates from their training program for the building trades. Call (831) 724-0206 for information.

The types and amounts of assistance will vary from year to year depending on available resources. If you have questions about these programs, the City of Santa Cruz Housing and Community Development Division can be reached at (831) 420-5110 and visited on-line at www.ci.santacruz.ca.us/pl/hcd. You can also visit the Planning Department at 809 Center Street.

### <u>Other Resources to Help You Get</u> Started

There are a variety of books and other resources that can be found on-line, at the Santa Cruz library, and bookstores. Books about small houses and cottages are popular as Americans and Californians discover opportunities in their own back yards for ADUs. The Appendix includes a list of ADU-related







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It includes methods for evaluating how to be a good neighbor, the best location for your Your ADU has to meet your needs, result in a livable home for the tenant, and be a compatible fit with your neighborhood. This section is about understanding how to plan your ADU ADU, and some examples of designs.

# **Neighborhood Compatibility**

Santa Cruz residential neighborhoods have a variety of architectural styles, block and lot patterns. To make sure your ADU is a good neighbor, you will want to talk to your neighbors, take a look around, and see how your ADU can best fit on your site and into your neighborhood.

## Tako a Look Around: Assessing Your Noishborhood

Santa Cruz evolved from a lumber industry town in the 1800's to a sec-

ond home, university and resort community in the late-1900's. The combination of climate, natural setting, social consciousness and lifestyle has resulted in a community that values its historic neighborhoods while embracing counter culture attitudes about design. The City's neighborhoods reflect these traditions.

As a result, Santa Cruz has a broad variety of single-family neighborhood, each with distinct characteristics. By understanding the unique

features of your neighborhood, you can plan an ADU to preserve or enhance some of these features such as yards, views, and landscape or architectural character.

Neighborhoods in central Santa Cruz have been designated as historic districts with special guidelines and review procedures to protect their character. Some neighborhoods, such as the Morrissey Avenue area on the Eastside, were planned prior to WWII and have traditional plan features such as

Have a look at the "Take a Look Around Your Neighborhood" checklist on the next page. It has a list of questions to consider and the potential implications for planning your ADU.

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# **Take a Look Around Checklist**

What questions do we need to ask before designing an ADU?	How does it effect your planning?
What is the predominant height of homes in your neighborhood? One or two stories?	If a neighborhood has predominantly one-story homes, then you probably would want to build a one story ADU.
Are homes set back the same distance from the street or are they staggered? Is there an established pattern to the house and lot patterns in your neighborhood?	Some neighborhoods have uniform setbacks and relationships to the streets. Other neighborhoods have irregular patterns. An ADU should fit into the pattern of setbacks for houses and garages.
What do most of the roofs in the neighborhood look like? What are the materials, colors and pitch?	Typically for best neighborhood fit, your roofline may mirror the main house's roof. In some neighborhoods, garages and ancillary structures have a different roof forms. You may chose to reflect these traditions instead.
Where do most of the homes have their garages? Are they detached or attached? Are they in front, back or on the side of the house?	ADUs are typically about the size of a large garage. Look at your neighborhood pattern for garage placement to reduce the impact on your neighborhood character.
Is there typically a narrow or wide space between homes?	If your ADU is in the backyard, consider how you will enter the ADU. If possible it should be from an alley. The goal is to minimize the impact that the ADU access way might have on neighbor's windows.
Is landscaping or fencing or a combination used to define lot lines?	Fencing or landscaping in a neighborhood establishes different degrees of privacy. You may want to screen your ADU with plant materials that are compatible with the neighborhood.
Do lots typically have only one structure or several (i.e. house, garage, sheds, etc.)?	A pattern with multiple structures would enhance the option of building a stand-alone ADU.
Is there one material or color that is predominantly used for the homes on your block?	Often the best option for exterior materials is to match the main house. However, if there is a dominant material or color in the neighborhood, that might be considered as well.
Are front yards nicely landscaped or is the most prominent feature paved parking areas?	Placement of parking is critical when thinking of neighborhood impact. If you are using your front driveway to accommodate more cars, think of how you might use landscaping to help reduce the visual impact on the street. Try to keep your front yard in keeping with your neighbors.
Does your neighborhood have heavy or light vegetation in the back yard areas?	Your ADU siting and design should respond to the pattern of landscape located in your neighborhood. The pattern of canopy trees and hedges is part of the neighborhood context.

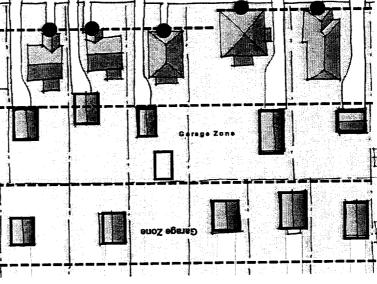
# **Santa Cruz Neighborhood Contexts**

Transitional Neighborhoods

Traditional Neighborhoods

### Uniterm Front Setback and Consistent Alley Samlage House

# Post War Neighborhoods



# Transitional Noighborhood Blocks

1

#### Features:

Features:

Traditional Neighborhood Blocks

Shallow lots, with or without alley

Carriage houses and garages at the back of the lot Original carriage houses small single bay buildings

- Pre-war grid blocks with pre- and post war housing
- Deep lots
- Garages located in rear yards

#### ADU Sites:

ADU Sites:

Place ADUs along alley frontage or rear property

Place ADUs within garage zone in rear yards

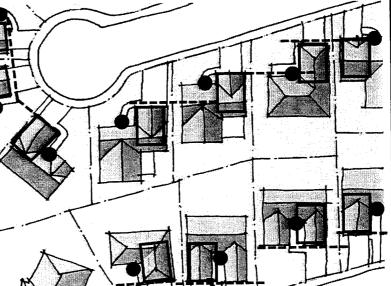
# Suburban Neighborhood Blocks

#### Features:

- Cul-de-sac blocks
- Small yards
- Parking in front of lot with 20' driveway parking

#### ADU Sites:

- Garage conversions
  Place ADUs in rear lot areas on bigger lots





This Spanish Revival house has converted the garage into a ADU. The corner lot has an expanded driveway with special brick pavers and landscaping to visually reduce the parking area in that yard.

gridded streets, narrow lots, porches, alleys, and garages placed in the rear of the lot. Post-war neighborhoods, such as the Westlake neighborhood, have developed with very suburban features including curving streets, wider lots, single story ranch-style homes, and attached garages with driveways facing streets. And then there are the remnants of summer cottages in the Seabright and Circles areas.

tion for additions built on to the rear evolved in response to the neighborments, floor plans and lot configuratures were built along an alley leavhoods, garages and secondary strucyard separations. In other neighbor-Whether a pre- or post war vintage, accommodated generations of addi-For example, there may be a tradiing backyards open. Because they Santa Cruz's neighborhoods have of the house thus protecting side hood pattern, these changes look tions found in the neighborhood, While reflecting zoning requireaccommodate change over time. many patterns have emerged to ions and secondary structures. and feel natural

transition. Some smaller lot subdivivacy, scale and architectural characneighborhoods are considered to be Foday, numerous Santa Cruz neighborhoods are showing new signs of In these areas, the questions of prisions and locations that offer views of the ocean are being pressured by the desire for larger homes. Neighareas or higher density housing are uture contexts for building ADUs. ter are a moving targets and make corpoods adjacent to commercial alking with your neighbors even affected by the changing scale of buildings. Both of these types of in transition, offering past and nore important

## Determining fit

Determining what type of ADU design will fit your block and yard requires understanding your neighborhood patterns and a more focused examination of your lot and those of your neighbors'. There are several elements that will determine the fit of your new unit. These include placement on the site, orientation, outdoor spaces, and architectural design.

The top issue discussed in the community workshops conducted during the preparation of the ADU manual was protecting the privacy of neighbors. The views of private and shared spaces, acoustical privacy, and entry routes used by tenants were identified as concerns. To respect your neighbor's privacy, you need to think about how your ADU is sited and designed. Whether attached, detached, one or twostory, each type of unit has its own set of issues.

The orientation of ADUs is an important privacy consideration.

This means you have to think about which way your unit faces and where it is placed on the site. The entry, porches, private or shared outdoor spaces, window placement and closeness to property lines should be considered when designing your unit. Depending on your site constraints and parking needs, you may also have a choice whether it is a single or two-story ADU.

Privacy between the main house and the ADU should also be part of your design plan. Besides views from

cy. The location of parking can also and which part is shared. These and parking location for the ADU. side yard spaces, entry pathways, tions including the use of rear and bility in terms of locating ADU and corner lots provide more flexidepartures and arrivals. Alley lots access the ADU can improve privacerns as well. Planning the site to devices. The way someone enters areas can be defined with landscapthe tenant's or home owner's use of the yard will be exclusively for an opportunity to plan which parts When designing your ADU, there is parking away from the owner's walk and the noises from after hour have an impact on where tenants areas or passing by private rooms to the ADU can cause privacy coning, gardens, paving and other windows, there are other consideralimit the routine use of private yard

Architectural design is another area of consideration. Santa Cruz has been particularly careful that new projects are architecturally compatible in historic and traditional neighborhoods. However, many post war

single family subdivisions and sites located adjacent to commercial or industrial areas provide opportunities to explore more contemporary design solutions for ADUs. Contemporary solutions can feature new energy saving technology or sustainable design, non-traditional indoor and outdoor spaces, or fanciful and sculptural roof and building forms.

As the owner, you will also need to consider the compatibility of the ADU design with your home. Your existing house may provide a palette of roof forms, window design, materials and colors, and other architectural features that can be replicated. This would create an esthetic and ownership connection between the main house and the ADU.

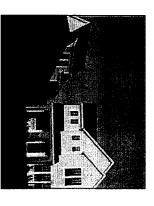
## Talk to Noighbors

Of course an important part of being a good neighbor is discussing your plans with adjacent property owners. This can help you better understand how your ADU can be designed to reduce the impact on their lifestyle. In some cases, your

neighbor may also be considering developing an ADU in the future and the coordination of siting and orientation can result in a better situation for both. Some issues you may want to discuss include privacy, views, solar access, parking location, and the timing and schedule for construction. Talking with your neighbor provides an opportunity to discuss the merits and tradeoffs of different design approaches.

Some potential talking points are listed below.

- ous topics will be visual privacy. This often includes views into houses and into private backyards. The location of the ADU, window placement and orientation of private and public rooms in ADUs could be topics of discussion in this regard.
- Solar Access. Access to the sun for gardens and yards can be an important concern of neighbors.
   Placing a two-story unit close to your northern property line can impact a neighbor's solar access.



This single family house has added an ADU as part of a new garage project. The ADU design reflects the design of the house and uses dormer windows to keep the building at a 1-1/2 story height.

Pages 11-13 have diagrams Illustrating various ADU options. The drawings show how detached, alley, and attached ADUs can be more compatible with your neighborhood and own home.

- Views. In some of Santa Cruz's neighborhoods, views of the ocean, woodlands and other scenic features are important assets that should be shared by neighbors. The placement of ADUs, particularly two-story buildings, should reflect an understanding that views are shared.
- Parking. Parking standards in Santa Cruz for homeowners building ADUs are very flexible. However, although the location of cars conforms to City codes, your parking spaces may still impact your neighbors.
- sch eduling the Work. In some cases, your neighbor may have young children or other needs that cause you to consider how you schedule construction of your ADU. You may want to discuss the schedule of your construction project, both in terms of start and finish dates and the times of day and days of the week that construction will take place.

Remember, your neighbor may be the next one to develop an ADU, and you would like the same opportunity to discuss their project.

# Planning Your New ADU

As you begin to plan your ADU, you will want to think about the criteria that will determine its size, floor plan, location on your site, and your budget. Besides your housing and economic needs, these types of decisions will be affected by the City's zoning and building codes. A summary of zoning code highlights is included in Section 1. The complete Zoning Code for ADUs is included in the Appendix.

# How Big? What will be included?

In Santa Cruz, ADUs are allowed to be a maximum of 500 SF on lots from 5,000 SF to 7,500 SF. For lots between 7,500 SF and 10,000 SF, ADUs may up to 640 SF. For lots in excess of 10,000 SF, an ADU may be up to 800 SF. Besides the zoning ordinance requirements, you will want to think about who will live there and what amenities or design features should be included.

challenged person or an elderly parneed additional storage space? Is it important that they have access to a or an elderly parent or grown child. person living in the ADU. Will they gives it greater flexibility by antici-You may want to build an ADU for naking a unit accessible for wheelnave different needs. For example, affordable units in Santa Cruz and laundry room? Do they get a deck income purposes or to make room ourself. Each ADU resident may ent. Think about the needs of the You may even build the ADU for chairs by locating it at grade and providing wider doors and bathpating the needs of a physically rooms adds to the diversity of or private outdoor space?

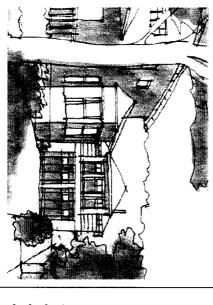
### Where On Your Property Will the Add Be Lecated?

In addition to the neighborhood context issues previously discussed, you may have personal reasons to locate an ADU on one part of the site instead of another. For example, if you are building the ADU for an elderly parent or extended family member, you may want to have an attached unit. If the ADU is rented, you may want to increase your pri-

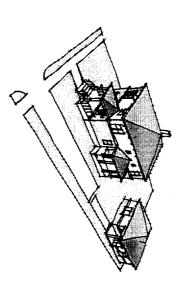
### Detached ADUs

compatible with the existing house? the neighborhood and architecturally How can detached ADUs be in scale with

- In what ways is the ADU architecturally other houses in the neighborhood? compatible with the primary structure and
- to the primary house? is the ADU subordinate in scale and size
- How is the ADU designed to reduce the impact on privacy of neighbors?
- Does the lowest side of the ADU roof face of the ADU from the adjacent property? adjacent properties to reduce the visibility
- alleys, the main house, or yard rather than Are ADU entries oriented towards rear the neighboring house or yard?
- Are ADU windows either oriented or glazed to ensure privacy for neighbors?

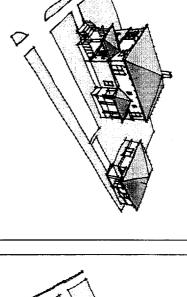


Sketch of Two Story ADU over Garage

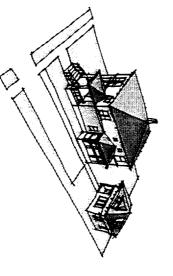


One Story Backyard Cottage

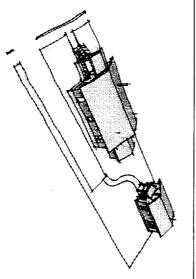
- 5' setbacks
- Uncovered parking in driveway



- Two Story ADU over Garage
- 5' side yard setback
- 20' rear yard setback
- Parking in garage and driveway

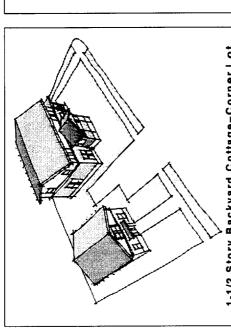


- 1-1/2 Story Backyard Cottage
- 5' side yard setback
- 20' rear yard setback
- Uncovered parking in driveway

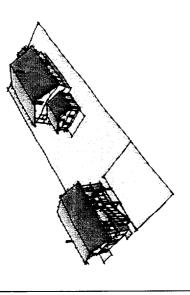


# One Story Backyard Cottage

- 5' setbacks
- Uncovered parking in driveway

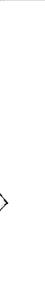


- 1-1/2 Story Backyard Cottage-Corner Lot
- 5' side yard setback
  - 20' rear yard setback
- Uncovered parking in driveway

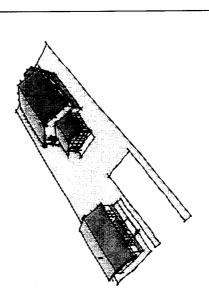


Two Story ADU over Garage-Alley

- Parking in alley garage and front driveway



- Private ADU yard space 5' side yard setback



One Story Backyard Cottage-Alley 5' side yard setback

One Story Backyard Cottage-Corner Lot

Uncovered parking in driveway

5' side yard setbacks

Uncovered parking in driveway

# ADUS on Alloys and Corner Lots

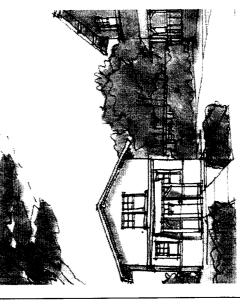
· Is the ADU built along the edge of the alley be compatible with the primary structure and neighborhood?

How can alley access and corner lot ADUs

Is an alley ADU designed to provide "eyesspace in neighborhoods?

to maintain the pattern of back yard open

- Does the ADU preserve existing trees in on-the-street" security?
- If the alley ADU is located over a garage, is the building set back far enough to back a rear yards and along alleys? car into the alley?
  - Is enhanced landscaping provided along the street or alley edge?

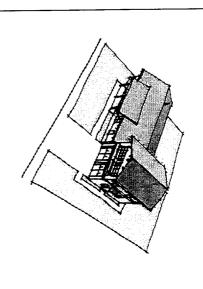


Sketch of 1-1/2 Story Backyard Cottage

### Attached ADUs

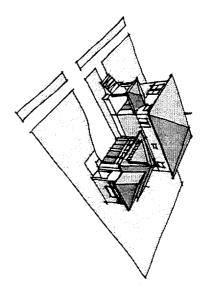
How can ADUs that are added to existing structures be designed to maintain the building scale, architectural character, and yard patterns found in the surrounding neighborhood?

- Is the primary residence containing the ADU of a compatible scale with nearby residences?
- Is the ADU addition visually subordinate to the original building? Do the massing, scale, and the location of an addition allow the original building to remain visually prominent?
- If the ADU addition is taller than the original building, is it set back from the primary facade?
- Is the ADU entrance visible from the street front? Does it maintain the appearance of a single-family home?
- Are the materials and windows of the ADU compatible with those in the original house?
- Is the ADU roof or attic addition in scale and compatible with the original structure?
- Are dormer or roof additions subordinate to, and set back from, the primary facade so the original roofline can be seen from the street?
- Does the ADU have yard setbacks, street orientation, use of front porches and other design elements found on your block?



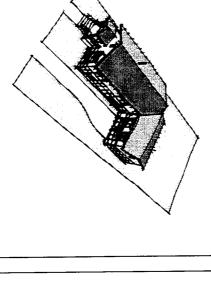
ADU and Garage Addition-Front

- 5' side yard setback
- 20' rear yard setback
- Parking in garage and driveway



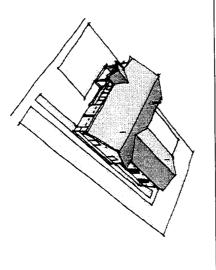
ADU and Garage Addition-Side

- 5' side yard setback
- 20' rear yard setback
- Parking in garage and driveway



ADU and Garage Addition-Rear

- 5' side yard setback
- 20' rear yard setback
- Parking in garage and driveway



One Story Backyard Addition

- 5' side yard setback
- 20' rear yard setback
- Parking in garage and driveway

This small converted garage located on a corner lot is now a back yard garden cottage.

vacy by locating the ADU farther away from your house.

In addition to privacy issues, there are physical design criteria that are important to you and unique to your site. You may be an avid gardener so orienting the location and design of your garden toward the sun is important. Your yard could have a heritage tree (see Appendix for definition) that has to be saved or a nice patio or deck that must be worked around. By considering all site plan variables at once you have a better opportunity to create the win-winwin design that satisfies you, your neighbors and the tenant.

# What Will Bo the Ultimate Cost?

As with any development project, you want to be able to anticipate the costs of your ADU project. There are several ways to look at these costs. If your ADU will be for added income, you will want to make sure the ADU rent covers the costs. Or you may want to increase the equity in your home as an investment. If you are building an ADU for other reasons, you have to weigh the benefits with your own

economic situation and family needs.

Regardless of your motivation, you want to be able to design an ADU that meets your budget. One of the common pitfalls of any development project is not anticipating costs or understanding the types of costs. So, what do we mean by costs?

There are "hard costs" and "soft costs". Hard costs include construction (materials and labor), site preparation, utilities, building, and landscaping. Soft costs include financing (second mortgage or construction loan), planning and building permits, development fees, utility hook up fees, and professional design and engineering services.

A good place to start to identify costs is from what you can easily find out. For example, you can find out what development fees you will need to pay to the City. Then, a contractor, architect or an estimating book can give you a range of construction costs on a square foot basis in Santa Cruz. This can start to

provide a ballpark number to use for planning. You should also check on types and amounts of financing that might be available to you before you start. The City's new ADU loan program is something to consider if you are willing to maintain an affordable unit for 15 or 20

For hard costs, you may be surprised that you do have some control over your destiny. Here are some things you, your contractor and/or architect might consider.

Lower Construction Costs.
Garage conversions can be an affordable way to utilize your existing structure to create an ADU. Single story at-grade units are also cost effective if you have room on your site. If you build an ADU above parking then you have to consider the cost of the garage. Two story units can save yard space and have smaller foundations and less roof area but tend to have higher structural costs and require the added cost of building stairs.

Example ADU Budget	udget	
Off Site Improvements	Water Service	3,500
Foundation	Grading/Excayating/Backfill/Compaction Concrete & Rebar, Anchoring	2,500 7,500
Framing	Studs, joists, rafters, sheathing, beams, headers, connectors	24,500
Plumbing	Rough Finish	2,500 900
Roofing	Asphalt shingle	3,500
Doors	Interior, exterior, shower encl.	3,500
Windows	Wood	4,500
Finishes		3,250 900
,	Countertops (laminate)	800 750
	Cabinets Ceramic Tile	1,200 1.200
	Painting (interior and exterior)	5,000
Metalwork	Piperail Guardrails (Int.) Gutters, downspouts	2,500 1,000
Mechanical	Tankless Water Heater Gas Fired Wall Heaters Garbage Disposal	750 800 200
Landscaping	Allowance	500
Total Preliminary Estimation of Construction Cost		\$76,000

This table shows an example construction budget for a 500 SF ADU. In addition to construction budgets, a home owner needs to consider other types of costs such as permit fees, insurance, professional design or engineering services, or financing costs. These can add up to over 30% of the construction cost. It is important to do the research on these other costs when planning your project.

See the Appendix for a list of other permits and fees.



This new single family house has added an ADU built above the garage. The garage and ADU are built to the rear of the site making them less conspicuous from the street.

- Parking. For any ADU type, you still need to consider the cost of parking. Is it covered in a garage? Do you need to expand your driveway or build a new one? Do you access the ADU from an alley?
- Access to Utilities. Planning your site to have easy access to utilities can save money by avoiding the necessity of digging long trenches.
- Utility Meters. Utility meters and connections can be a big initial cost. Providing separate water connections is expensive. The Fire Department will determine if your water service has enough pressure for fire sprinkler systems required by the City of Santa Cruz. PG&E will not install a separate gas or electric meter on the same parcel for you. Sharing them may save money but you will have to consider how you share the cost of utilities with your tenant.
- Materials. The choice of building materials is a cost item you have some control over.

However, you can see on the sample budget summary on page 15, that you might not save as much as you might think. Basic planning decisions can make a bigger difference in cost savings.

Labor. The cost of labor can be a big variable. Are you going to do part of the work yourself? Will you be your own contractor? Should you?

too.

Time. Regardless of the approach you take to budgeting for your ADU, a common item is the cost of time. The longer the project takes, the longer you go paying on your construction loan without rental income.

Sometimes trying to make the construction costs cheaper by doing it yourself and not hiring a contractor extends the construction period increasing the soft

Often people do not consider the life cycle costs of construction. These include resource consumption, such as the cost of energy and water, and maintenance. For example, you may choose to save money

by selecting a cheaper siding material or a 15-year roof rather than a 25-year roof. You may end up paying more in the long run with maintenance or replacement costs.

Making some initial investment in energy-efficient design and materials can make energy bills smaller

There is also an environmental cost of using certain materials because of toxic byproducts in the manufacturing process or problems with disposal at the end of the life of the building. Santa Cruz's progressive tradition brings a natural awareness and concern about these types of hidden or deferred costs to the planet. There is a list of "green" building materials on page 18.

### Prototypical Designs and Details for ADUs

One of the most exciting parts of developing your ADU is planning and designing it. As with any small home, you can design it, have an architect help you, or pick one out of a catalog. This section provides ADU Prototypes to help inform your efforts in selecting and modify-

ing the type of ADU that meets your needs. It also has some tips on energy conservation and accessibility.

The ADU Prototypes are all

two car structure of 400 SF. The garage conversion. The garage conunits with the exception of the designed as 500 SF one-bedroom choose. However, not every site is engineers to provide drawing sets City has worked with architects and version Prototypes reflect a typical and detailed to be compatible with need to be modified to suit your lot building your ADU if you so that can be used as the basis for ty handy way to get a project quick-But, the ADU Prototypes are a pretyour main house and neighborhood the same, so the Prototypes will City Planning counter. departments, and are available at the have been pre-reviewed by City many surprises. All the Prototypes ly through the process without too

Pages 19-36 provide brief written and graphic descriptions of the seven Prototypes. They include one and two-story ADUs, parking garages with ADUs above, alterna-

tive construction methods, and garage conversions.

# How to Choose a Prototyne

pretty good idea what type of ADU Between reading the "Take a Look site and needs. In either case, you good neighbor. You may choose to your neighbors, you should have a ing about your plan and talking to Around" checklist on page 6, think. car garage could be used for your struction options, construction perier the type of neighborhood you are objectives. You will need to considneed to make a clear list of your are drawn or modify one for your build one of the Prototypes as they will suit your needs and let you be a od, and whether your existing two disabled access, alternative conin, the location on your lot, need for

### How to Modify the Projetyno to Fit Your Hoods

After reviewing the Prototype you may find they would have to be modified to fit your situation or needs. A Prototype plan may have to respond to a special site planning or architectural context.

Planning reasons to modify a

Prototype could include:

- Changing its orientation and entry location;
- Flipping the plan for better access to utilities;
- Rotating a plan to change the location of private space or access to parking; or
- Rotating a plan for better solar orientation or to capture views.

Architectural design reasons to modify a Prototype could include:

- Changing the roof, window or siding types to match the existing house:
- Moving windows and doors to reflect privacy needs; or
- Accessorizing your ADU to suit your site and personality.

The Prototype plans attempt to anticipate a variety of contexts. They make the effort to be flexible within technical constraints of meeting building codes. There are some things that cannot be changed. Some of these include the amount of foundation and wall area that is needed for structural support, the minimum amount of window area

# **Using Green Building Materials**

considered to significantly reduce environmental impacts during measures the finished structure poses much less impact on the struction. Combined with State mandatory energy conservation manufacture, placement, lifetime use and post-lifecycle decon-The following is a list of just a few "Green Building" materials environment and utility needs.

following materials require generally less manufacturing and help process but also where materials are coming from. A local suppliof fossil fuels. Compared with their conventional counterparts the er/manufacturer can save considerable transport costs and use When ordering materials, consider not only the manufacturing to minimize environmental impacts:

# Getting Started/Site Selection:

Deconstruct/Recycle/Reuse Existing Structures Choose Least Disruptive Pad Location To: Consider Renovating Older Building

Minimize Grading / Land Disturbance

 Protect Eco/Archeologically Sensitive Areas. Work Around/Protect Existing Trees

· Use Solar Orientation for Maximum Gain Provide For Onsite Storm and Gray

Water Management

Locate Close to Public Transportation Hub

# Foundation / Under Floor:

CBA and/or ABQ Treated Wood (avoid arsenic) High Volume Fly Ash Concrete (Min. 15%) Permanent Forms / Insulated Foundations

Framing/Sheathing/Exterior Cover:

Fiber-Cement/Recycled Content Siding & Roofing Forest Stewardship Council Certified Lumber Light Gauge Steel Framing / Straw Bales Europly / Medium Density Fiberboard Structurally Insulated Panels (SIP's) Finger Jointed /Engineered Wood Agricultural Boards (wheat/straw) Recycled Content Decking Salvaged Timbers

# Interior Environment/Finishes:

Low or No VOC Adhesives/Solvents/Paints/Finishes Cellulose or Cotton Insulation vs. Fiberglass Cork and/or Bamboo Flooring vs. Oak/Vinyl Natural Fiber/Recycled Content Carpeting Recycled Ceramic Content Tiles Natural Linoleum vs. Oak/Vinyl Recycled Door/Window Trims Salvaged Wood Flooring

# Plumbing/Electrical/Mechanical

Solar Photovoltaic Electric Panels Tankless or Solar Water Heating High Efficiency Heating/Cooling Passive/Active Solar Heating Ultra High Efficiency Lighting **Ultra Low Flow Fixtures** Hot Water Circulation

gy efficiency or make your unit spe-You may desire to have better enercially equipped for disabled access. requirements for new construction. These are minimum requirements. In California, Title 24 prescribes energy efficiency and access Enorgy Consorvation and Accessibility required for emergency egress; ven-

The ADU Prototypes strive to take advantage of Santa Cruz's climate, solar access and use of materials with higher insulating values.

> energy efficiency requirements; and other minimum/maximum dimentilation, materials and design fea-Prototype plans in this section of tures required to meet minimum the ADU Manual illustrate some sions prescribed by codes. The potential design variations.

# Prototype: Detached ADU over Existing Garage

### Key Features:

- Preserves and reuses existing garage and driveway.
- Private deck and yard for ADU
- Dining and living room spaces have sliding windows that open to visually expand rooms to the outdoors.

  Provides for architectural variations
- Provides for architectural variations to match existing house or unique architectural expression.
- The plan can be rotated to accommodate utility connections or alley access.

# This Prototype demonstrates how an

ADU can be constructed over the top of an existing detached two-car garage located at the rear of the lot. Your existing garage may be built too close to the property line. Two-story ADUs have to be built at the minimum setback, which is 5' in Santa Cruz. This plan offsets the ADU over the garage providing the necessary side yard setback. The ADU and owner share the same driveway and split the backyard. The ADU has a private deck and small yard at the hack

#### Floor Plan

The one bedroom plan orients the public spaces (living, dining and kitchen spaces) towards the front driveway and private spaces (bath and bedroom) towards the rear. Dining and living spaces have large operable windows that expand the visual space of these

rooms to the outdoors. The plan includes tenant storage at the lower level adjacent to the deck.

### Context Issues

The site illustrated is in an older neighborhood that has experienced higher density apartment infill. Many of the backyard spaces have already been developed with garages or apartments. The ADUs outdoor spaces and windows are oriented into the back yard of the house. This protects the privacy of neighbors and tenants.

# Potential Variations

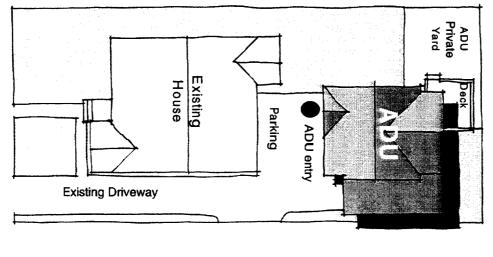
The plan is flexible and can be rotated and flipped to accommodate right, left or rear access to the garage. The alley access variation presents itself as though the alley is a traditional residential street with a porch and front yard. The porch can be deleted or expanded depending on the site. Architectural variations could include traditional styles or contemporary expressions. Key to either approach will be the consistency of the new ADU and the existing garage in terms of materials, windows, and proportions.

### "Green" Features

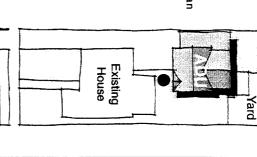
The plan also demonstrates opportunities to include "green" features such solar panels on the garage roof and rainwater collection and storage under the ADU deck.

#### Site Plan

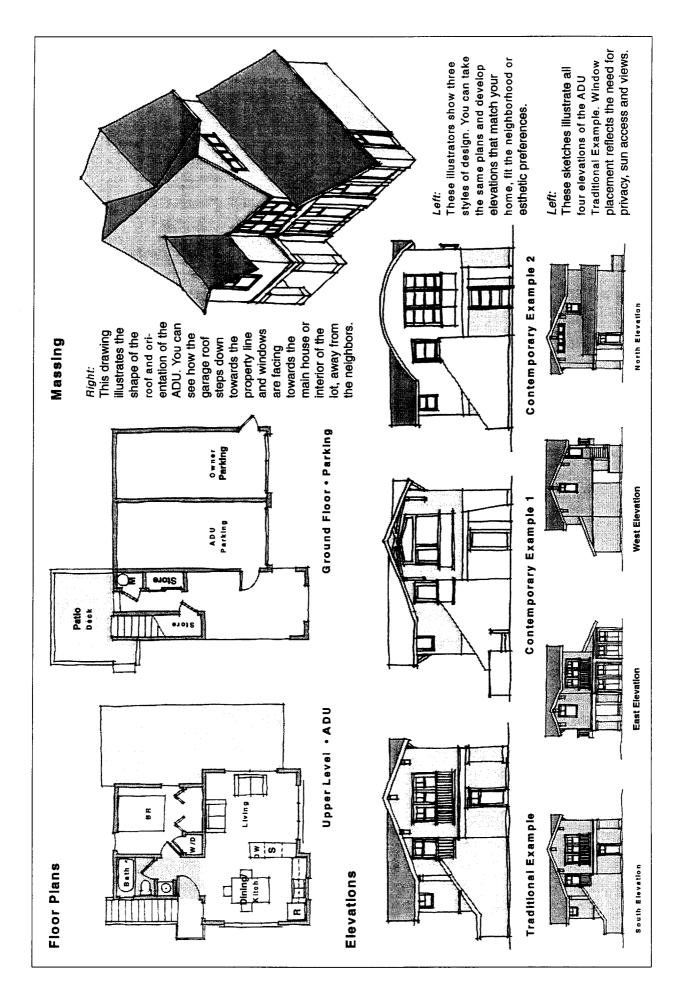
These illustrations show the overall site layout for the Prototype. Below is the "base case" option. To the right are variations of a reversed plan and rotated plan to address access from an alley.



Reverse Plan Option



Alley Access Option



# Prototype: Detached ADU over New Garage

### Key Features:

- Lower level has parking, workshop and studio space.
- Unit faces away from neighbors.
- Includes garage parking under the ADU.

  Private rear yard for ADU and shared
- patio.

  Provides for modern expression with
- open plan and high ceilings.

  Dining and living room spaces have sliding windows that visually expand
- The plan can be rotated to accommodate utility connections or alley access.

rooms to entry deck.

#### ite Plan

This Prototype demonstrates how an ADU can be constructed in a rear yard reusing an existing driveway. The building requires a five-foot side yard setback and a 20' rear yard setback. The rear setback is accessible via a rear garage door and can be used as a private yard for the ADU or an extended workspace for the workshop or studio.

#### Floor Plan

The one bedroom plan orients the public spaces (living, dining and kitchen spaces) towards the front driveway and private spaces (bath and bedroom) towards the rear. Dining and living spaces have large operable windows that expand the visual space of these rooms to the outdoors. The plan creates a lower level that is useable for both owners and tenants. A studio

space opens on to a patio space and there is a shop and laundry room.

### Context Issues

The site illustrated is in an older neighborhood with a mix of house sizes and styles. Most of the houses have parking located behind the house. Many of the backyard spaces have already been developed with garages or additions. The ADUs outdoor spaces and windows are oriented into the back yard of the house. This protects the privacy of neighbors and tenants.

# **Potential Variations**

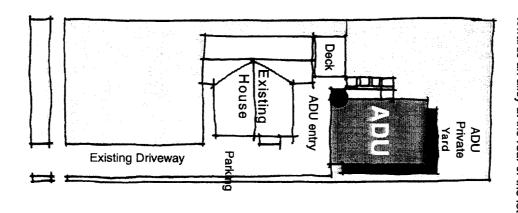
The plan is flexible and can be rotated and flipped to accommodate right, left or rear access to the garage. The alley access variation presents itself as though the alley is a traditional residential street with a porch and front yard. The porch can be deleted or expanded depending on the site. Architectural variations could include traditional styles or contemporary expressions. Key to either approach will be the consistency of new the ADU and the existing garage in terms of materials, windows, and proportions.

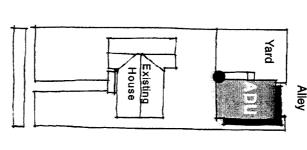
## "Green" Features

with its hood-shaped form. It has opportunities to include "green" features such solar panels on the roof (works best when roof is oriented south) and renewable and recycled materials.

#### Site Plan

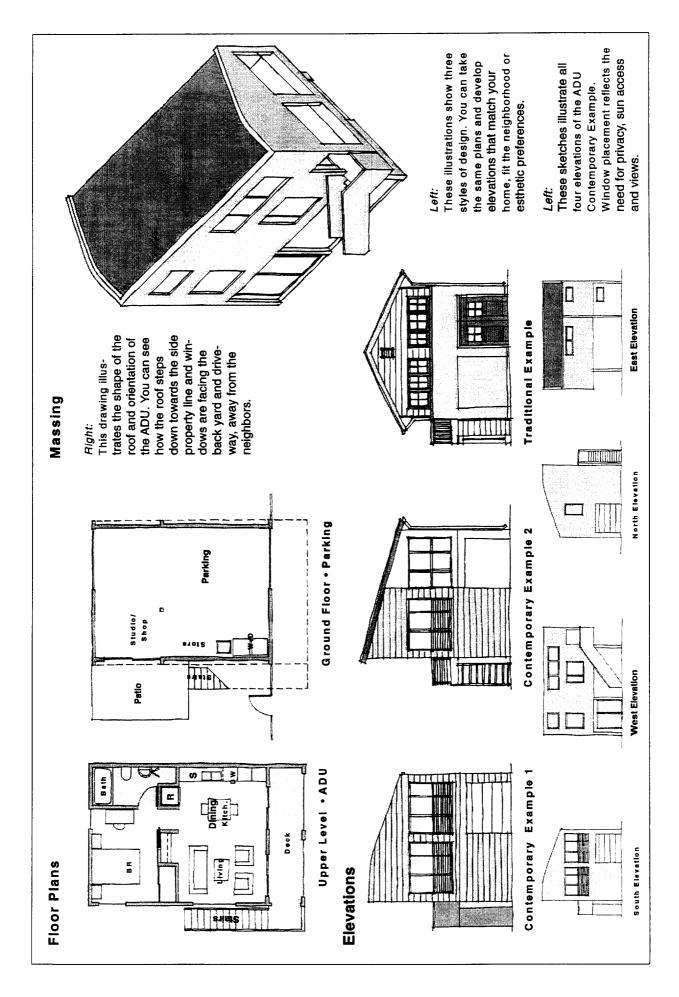
These illustrations show the overall site layout for the prototype. Below is the "base case" option. The ADU is located behind the existing house and provides access to the garage from the existing driveway. To the right is a variation. It shows the ADU rotated towards an alley at the rear of the lot.





Alley Access Option

Parking over a garage provides an efficient use of the site. The variation above moves the ADU to an alley and includes two parking spaces. The stair could face the yard or make it possible for the ADU to have access from the alley.



# Prototype: Detached 1-1/2 Story ADU

## Key Features:

- Small footprint fits easily in rear yards.
- 1-1/2 story height allows two living levels in a shorter building.
- patio. "Loft-style" provides vertical open

Private rear yard for ADU and shared

- Compact bathroom and kitchen layout.
- Easily adaptable for architectural styles and context.

#### Site Plan

This Prototype demonstrates how a two-story ADU can be constructed to limit the impact on the yard while maintaining a low profile. The ADU can be oriented towards views, private yards, away from neighbors or to shape a shared space. The siting of the ADU will require a 5' side yard setback and a 20' rear yard setback. The rear yard setback can be used as a private space for the ADU tenant.

### Floor Plan

The two-level ADU is organized symmetrically as four quadrants. The stair, bathroom and kitchen are on half the lower level. The other half has dining and living spaces with an open ceiling to the sleeping room above. Storage pantry and linen closet are tucked under the stair.

## Context Issues

The site illustrated is in a cul-de-sac on a private road along the edge of one of Santa Cruz's green belt open space areas. It is located with a view over the existing house and away from the neighbors. However, the flexible plan can be placed in a variety of rear yard and block locations.

# **Potential Variations**

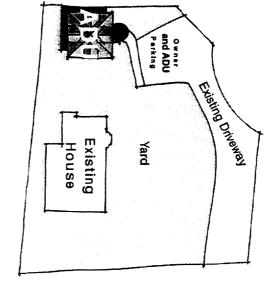
The plan is flexible and can be rotated and flipped to accommodate a variety of privacy, view and utility access situations. Larger porches, window types and roof styles can be added to the basic form of the ADU.

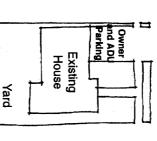
## "Green" Features

The plan provides vertical venting through upper level dormer windows. A smaller footprint reduces the amount of paving and related runoff. As with the other ADUs, it can accommodate a variety of recycled and renewable materials.

### Site Plan

The illustration on the left shows the overall site layout for the prototype. The illustrated site for the ADU is a cul-de-sac location allowing for a secluded setting. The alternative location on the right illustrates a more common rear yard setting where location and orientation are important for privacy considerations.





# Other Options

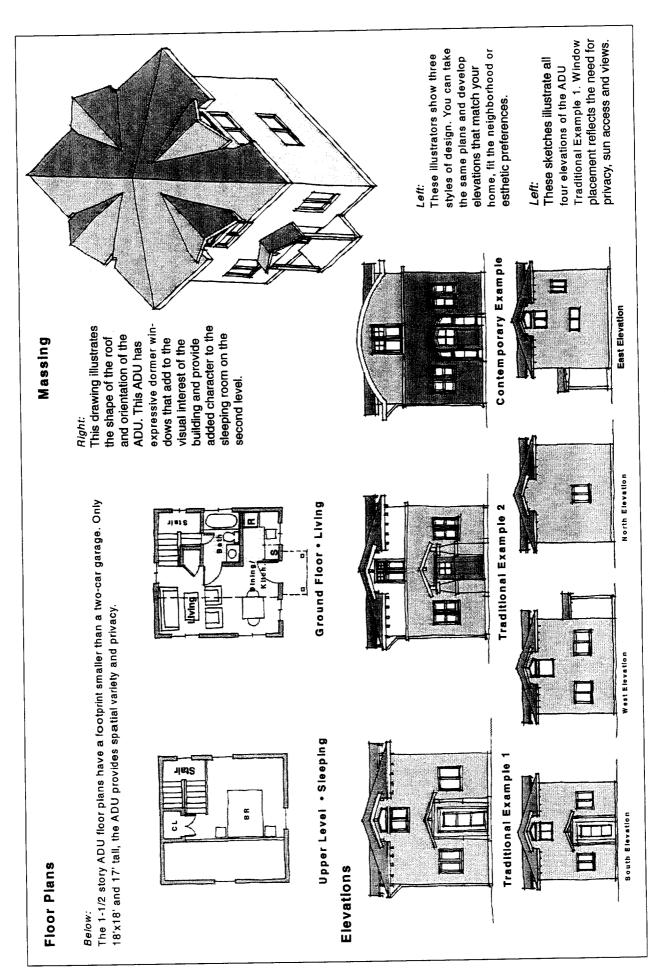
The 1-1/2 story ADU can be placed in a variety of locations. One consideration will be providing parking elsewhere on the lot. This could happen by expanding the surface parking. In alley locations, parking could be provided adjacent to the ADU. If there is not room to add a parking spot, then consider developing an ADU that incorporates parking under the ADU.

## Rear Yard Option

Private

Yard

The 1-1/2 story ADU has a small footprint and can easily fit in back yards. The placement of windows and orientation of the ADU should be carefully considered to protect the privacy of neighbors.



# Prototype: Detached Single Story ADU Facing Alley

## Key Features:

- Open floor plan that visually and physically incorporates outdoor spaces.
- Locates public spaces along alley edge.
- High ceilings and clerestory windows allow for sunlight and ventilation.
- Encourages landscaping design to become a visual part of each room.

#### Site Pian

This Prototype is a delightful urban contemporary alley house. It is airy and bright, because the ADU gets the most out of its site. Built five feet from the property line, the ADU presents the public spaces and entry towards the alley. Parking happens adjacent to the ADU with direct access from the alley. The ADU can be designed to orient towards the alley and give the yard to the owner, or be designed to use only part of the yard.

### Floor Plan

The one-level ADU gets the most out of the 28'x24' footprint by incorporating outdoor spaces. A private patio and tree well are enveloped by the plan. The kitchen, dining and living space are brightly sunlit spaces that flow into gardens and patio. The bedroom also orients towards the patio and has private access to the bathroom.

## Context issues

The site is located in an older neighborhood with a variety of architectural styles but is predominately a single story in character. The alley has the potential to become a secondary residential address as other lots develop ADUs creating a small street of alley houses. The single story height fits into the scale of the neighborhood and the alley orientation keeps the pattern of backyards in the block open and land-scaped.

## Potential Variations

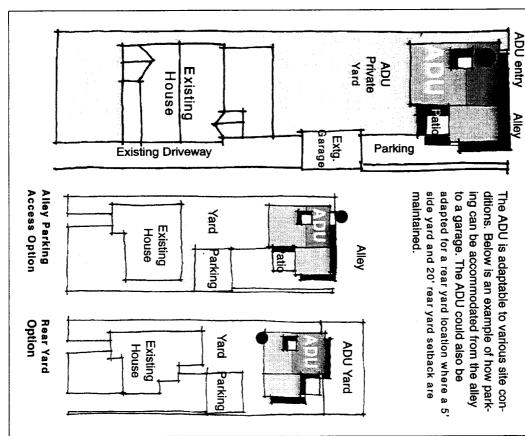
The plan is flexible and can be rotated and flipped to accommodate a variety of privacy, view and utility access situations. The ADU can accommodate alternative parking locations. Parking could be located in an existing or new garage or in the front park of the lot. The ADU could be adapted for rear yard locations.

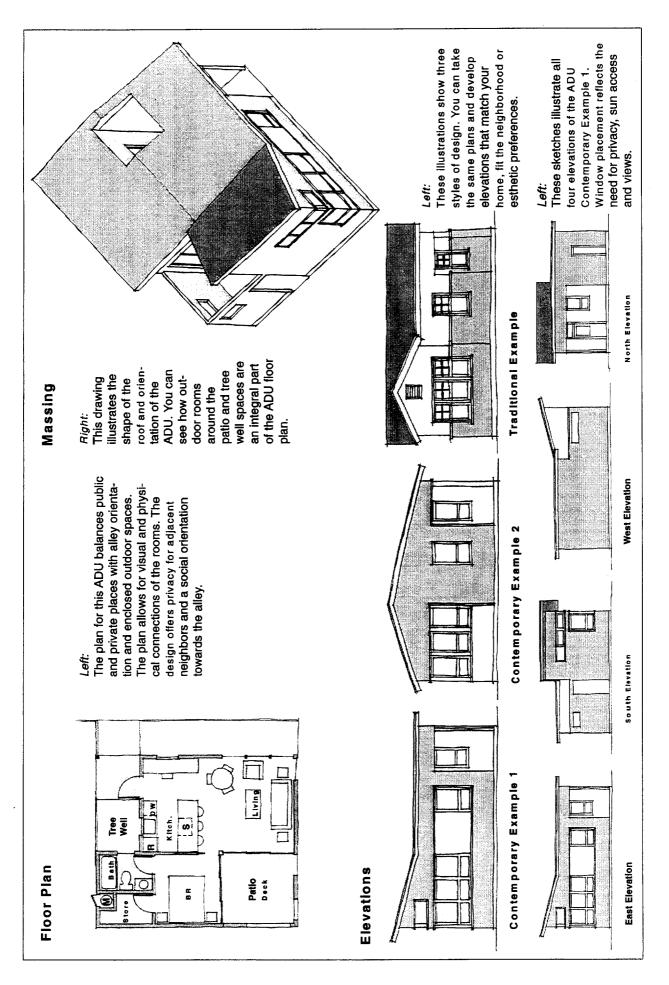
## "Green" Features

The plan provides for natural ventilation through clerestory windows and excellent sun lighting. As with the other ADUs, it can accommodate a variety of recycled and renewable materials.

### Site Plan

These illustrations show the overall site layout for the Prototype. The plan on the left shows the ADU with alley access and existing driveway and parking. On the right are variations to the site plan.





## Key Features:

- Meshes technology with a simple plan.
- Oriented around a private patio space.
- Dining and living room spaces have large operable doors that expand the rooms to the outdoors.
- Provides for a technological kit of parts that can improve the ADUs energy and environmental performance.
- The plan can be rotated to accommodate utility connections or different methods of alley access and yard patterns.

#### Site Plan

This Prototype demonstrates an innovative use for a building panel system to create a small alley house. The ADU is set back the depth of a parking space and provides a small front yard facing the alley. A walkway passes along the edge of the yard and enters into a private courtyard. Operable window-walls surround the courtyard. This allows the unit to spill into the courtyard space.

### Floor Plan

The one bedroom plan orients the dining and living spaces towards the courtyard with large operable windows that expand the visual space of these rooms to the outdoors. The bedroom also provides visual connection to the courtyard. Translucent panels allow light into the kitchen and bathroom but maintain privacy.

## Context Issues

The site illustrated is a traditional alley block with a variety of architectural styles. The ADU has an efficient footprint. The ADU, courtyard and parking cover only about 950 SF of the site making it a good low-impact neighbor. The panel system can deliver a fun and edgy building but may look out of place in traditional historic neighborhoods.

# **Potential Variations**

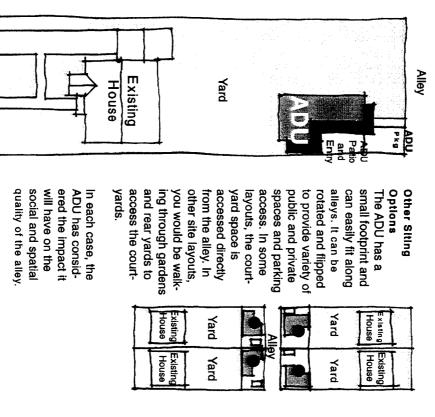
The construction technology is a commercial-grade system adapted for residential use. The non-structural panels come in a variety of sizes and colors. Translucent and transparent panels can be integrated into the design. Hung from a structural steel frame, the panels deliver high insulation values. The modular nature allows countless plan configurations. The plan as illustrated can be flipped and rotated creating different yard and spatial patterns.

## "Green" Features

The plan also demonstrates a high level of attention to energy efficiency and integration of green finish materials. The design can be adapted to include a sod roof, water garden using gray water, and solar panels. The daylighting on the ADU is another outstanding feature.

## Site Plan

The illustration on the left shows the ADU site plan. Located along an alley, the ADU uses a small corner of the lot allowing the owner to maintain use of the rear yard. The ADU has a five foot side yard setback. The ADU can be rotated and oriented in various ways as shown on the right.



# Prototype: Single Story Alternative Construction Method

## Key Features:

- Uses composite panel system that is fast to assemble.
- Provides generous sitting porch.
- Dining, bed and living rooms flow together.
- The plan can be rotated to accommodate utility connections or different methods access and yard patterns.

#### Site Plan

The ADU is designed to be located in a rear yard. The porch design encourages a social orientation of the ADU towards a shared yard or alley. Raised up about 12", the porch creates a private space that is reached by steps and ramp. The porch elevation is also best suited for southern exposure.

#### loor Plan

This prototype uses a four-foot grid as a basic organizational element. The panel system provides discipline to the plan making room proportions and window placement rational. The bedroom has a rolling door that opens it into the living and dining area. Windows paired in the corners provide views out, but away from neighbors.

## Context Issues

The site illustrated is in a traditional single-family neighborhood with a variety of traditional and post war houses. The block has evolved to include secondary structures that fill in the rear yards. Most yards have six-foot tall privacy fences. A single story ADU fits the 1 and 1-1/2 story neighborhood.

# Potential Variations

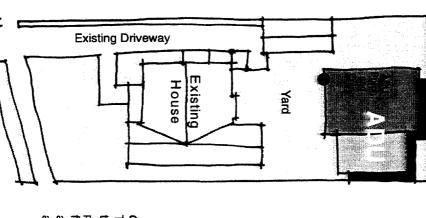
The construction technology reflects the emerging interest in prefabricated construction-trading off factory prices and quality for faster and less labor-intensive erection. The composite panels can be designed to incorporate a variety of performance and design features. The planning grid provides opportunities to develop layouts that are efficient and responsive to your site and window systems can be incorporated into the design.

## "Green" Features

The plan demonstrates a high level of attention to energy efficiency and integration of green finish materials. The design can be adapted to include solar panels.

### Site Plan

The plan for the site orients the ADU towards the shared rear and the south. Parking is accommodated in the existing driveway and carport.



# Alley

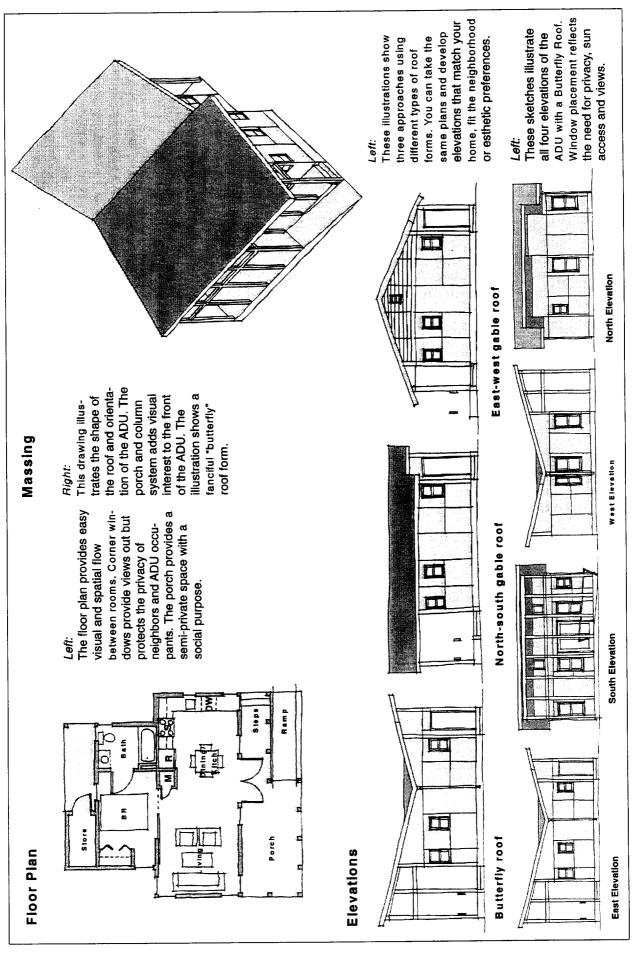
Yard

House

## Other Siting Options

The siting option above orients the ADU towards an alley with parking adjacent to it. The porch faces the alley providing access and social support for a street of alley houses.

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# Prototype Garage Conversion-Attached ADU in Front Yard

street frontage. garage conversion that has its own esting opportunity to provide an ADU an unusual plan but provides an interfeatures a garden path approach. It is house entry is back from the street and oriented towards a side yard. The This site includes an attached garage

with a gable roof and board and batten The house is a simple 1970's Ranch

> dow and awnings with an emphasis on sides of the garage with panels, windrive way is used for parking. privacy. The side yard is shallow and Architectural interest is added to the

> > Site Plan

#### Parking

Existing House

Yard

Owner and ADU **Farking** 

parked in tandem. parking spaces. One compact space is The driveway is converted into three

## **ADU** Orientation

with its own front yard and porch. The ADU is oriented towards the street

# Prototype Garage Conversion-Detached Side Yard ADU on Corner Lot

rear yard. The garage has a 5' side yard and 20' garage oriented towards a side yard This corner site includes a detached

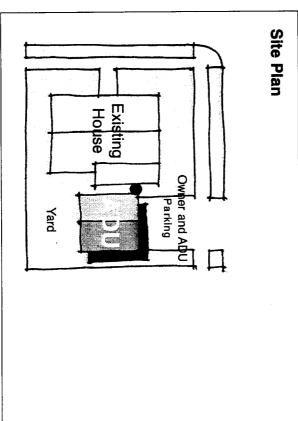
multi-light windows. ple details, a wainscot of plaster, and The house is a 1920's cottage with sim-

## **ADU Orientation**

and sleeping areas are at the back of to a private back patio. The bathroom planting strip and the unit opens out on ered entry. The driveway edge has a The ADU has a side porch with a cov-

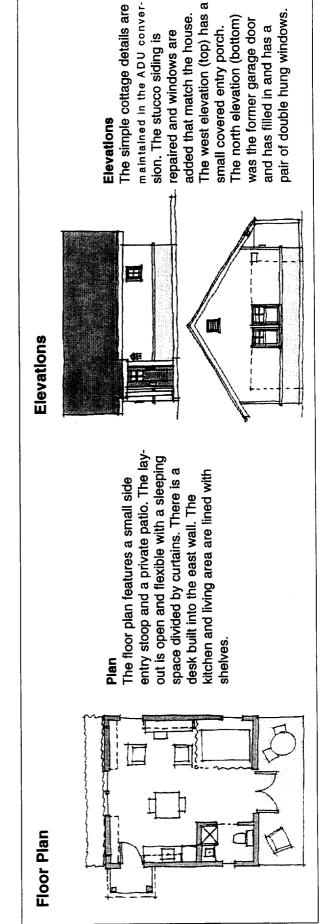
vide a patio-type esthetic. way is rebuilt with brick pavers to prointo three parking spaces. The drive-The driveway is widened and converted





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#### age. The east elevation (bottom) has the entry porch with els.The south elevation (top) has clerestory windows and of windows, doors and pana metal canopy and bench. industrial and modern inset canopies for outdoor stor-The plain Ranch house is used as a canvas for an Elevations **Elevations** divided by curtains. The kitchen and liv-The floor plan maintains an open and flexible layout with a sleeping space ing area are lined with shelves. Floor Plan



# Prototype Garage Conversion-Detached Rear Yard ADU

#### Site

This site includes a detached garage at the rear of the lot. The garage has a long driveway and an ample parking apron. The garage is set back 5' from the property line and has a 20' rear yard.

The house is a 1920's cottage with a hip roof. The garage has a pyramidal roof form.

bathroom and sleeping areas are at the back of the ADU.

#### arking

The driveway is long enough to provide three parking spaces. The driveway is rebuilt with brick pavers in front of the ADU to provide a patio-type esthetic.

## **ADU Orientation**

The ADU has a side porch with a covered entry. The driveway edge has a planting strip and the unit opens out onto a covered private back patio. The

# Prototype Garage Conversion-Attached ADU

#### S)

This site includes an attached garage facing the street. The garage has a 20' wide driveway and an ample parking apron. The garage is set back 5' from the property line and has more than a 20' rear yard.

The house is a 1970's Ranch with a gable roof. The house has board and batten siding with a brick wainscot. The garage and ADU will receive new energy efficient and similar siding treatment.

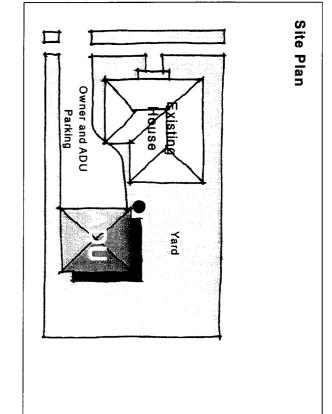
## **ADU Orientation**

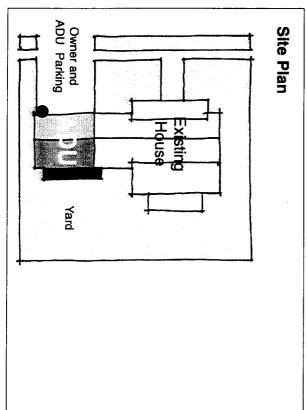
The ADU has a side porch entry. The driveway edge has a planting strip and

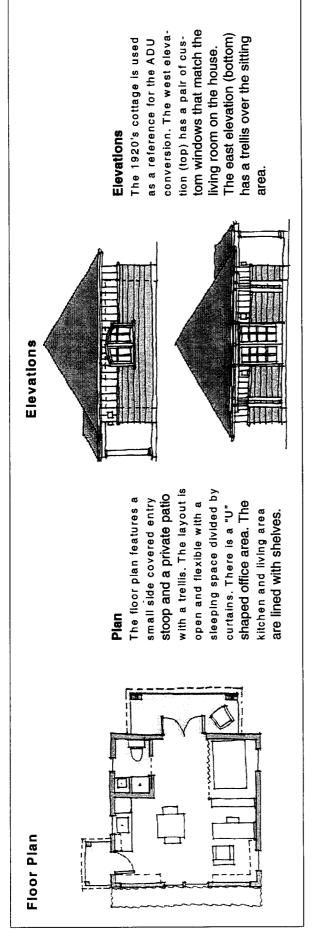
the unit opens out on to a covered private back patio. The bathroom and sleeping areas are at the back of the ADU.

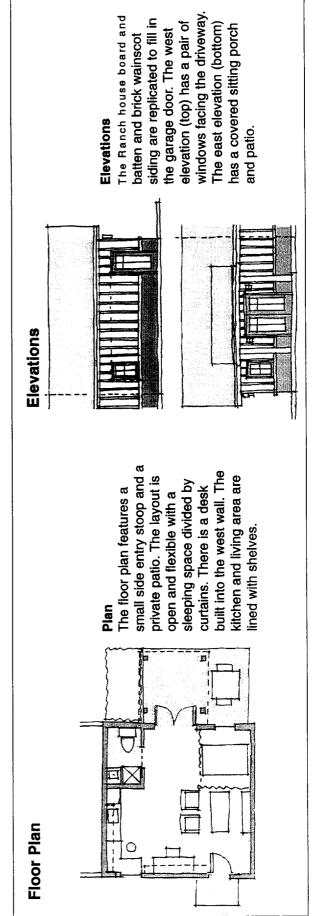
#### Parking

The driveway is widened to provide three parking spaces. The driveway is rebuilt with brick pavers in front of the ADU to provide a patio-type esthetic.









# Prototype Garage Conversion-Attached Rear Yard ADU

apron. The garage is set back 5' from at the rear of the house. There is a 12' the property line and has more than a wide drive and a 20' wide parking This site includes an attached garage 20' rear yard.

garage was added in the 1960's. The and aluminum windows. house and garage have stucco siding beach house with a gable roof. The The house is a 1950's vernacular

> sleeping areas are at the back of the private back patio. The bathroom and and the unit opens out on to a covered

> > Site Plan

#### Parking

shrubs to screen the cars. driveway edges are landscaped with to provide three parking spaces. The The driveway is wide and long enough

> Existing House

## **ADU Orientation**

ADU Parking Owner and

Yard

The ADU has a small front porch entry. The driveway edge has a planting strip

# Prototype Garage Conversion-Alley ADU

line and 5' from the alley. garage is set back 5' from the property This site includes a detached garage at the rear of the lot facing an alley. The

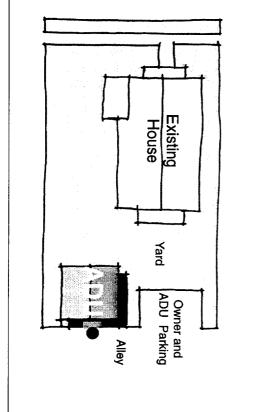
and garage have stucco siding and aluwas added in the 1960's. The house house with a gable roof. The garage minum windows. The house is a 1950's vernacular

back of the ADU.

to screen the cars. alley edges are landscaped with shrubs spaces are provided. The parking and Parking for the ADU and owner is provided along the edge of the alley. Three



### Site Plan

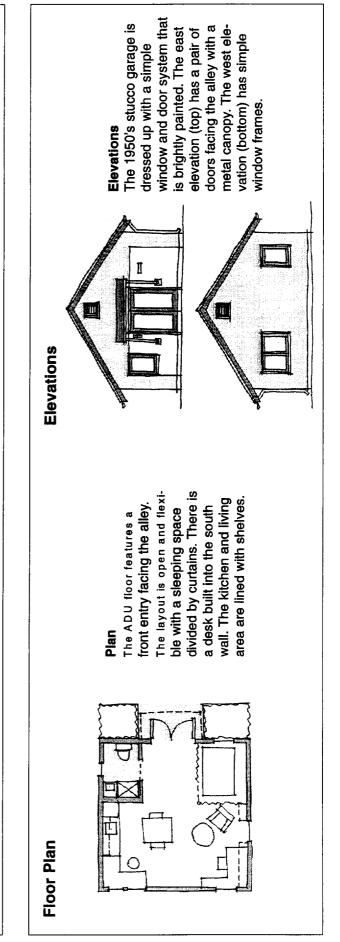


### page 35

alley and a covered porch. The bath-There is a 5' deep garden along the **ADU Orientation** 

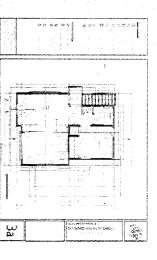
The ADU opens towards the alley.

#### The 1950's house is used as architectural insert. The west windows and door. The east rated metal canopy, stucco a plain frame for a modern elevation (top) has a perfometal and corrugated steel trellis over the sitting area. panels with painted metal elevation (bottom) has a Elevations Elevations curtains. There is a desk built driveway and a private patio front entry stoop facing the kitchen and living area are sleeping space divided by The floor plan features a at the rear. The layout is open and flexible with a into the south wall. The ined with shelves. Floor Plan









# Three: 8 e i = g Project anager

section walks you through how to get a planning and building permit, provides some obser-As the owner-builder of an ADU, you will need to navigate the development process. This vations about building your ADU, and offers suggestions on how to rent your ADU.

## The ADU Planning and Building Permit Process

Thanks to a new State law (AB 1866) passed in 2002, the ADU review process is simpler and shorter. In addition, the City of Santa Cruz is encouraging ADU development as a way to provide greatly needed additional housing, and has revised its ADU regulations to reflect this. This section outlines how to get planning and building permits for an ADU, and also discusses how to obtain a technical

assistance grant from the City which will help defray part of the cost of hiring a design or construction professional.

# cost of hiring a design or construction professional. First Stem, the City Planning Counter

After you have thought about what type of ADU you might want to build, it is time to head to City Hall. The Planning Counter is located in downtown Santa Cruz in the two-story annex building behind City Hall at 809 Center Street, Room #206. Counter hours are from 8 to 5, Monday through Thursday, and

from 8 to noon on Friday. You do not need an appointment. Just look for the "Zoning" sign, and a Planner will be there to help you. If you need to call the Planning
Department, the phone number is (831)-420-5100.

For your first visit, bring a rough sketch showing your property, the location and outline of all structures, and where you would like to build the ADU on your lot. To do this, you will need to measure the distances from the property lines to

tances between the structures. (This City's ADU Prototypes, it would be good to bring a copy of that set of s easy to do with a tape measure your lot and your main house. If and a sheet of graph paper.) It is each structure as well as the disalso helpful to bring pictures of you are going to use one of the plans as well.

The purpose of this first visit is to develop your ADU. The developthat will help determine how you regulations determine how large City's Zoning Ordinance. These revised from time-to-time, you

following building codes:

Cruz is governed by the

Construction of an ADU within the City of Santa

ADC Building Codes

and plans for an ADU on your property with the Planner on duty, he or When you first discuss your ideas

CA State Title 24 - energy

conservation require-

Municipal Code - residen-

tial fire sprinklers instal-

lation requirements

Title 19 of the Santa Cruz

adopted by Title 18 of the

Santa Cruz Municipal

and Electrical Codes as

Plumbing, Mechanical

2001 CA Building,

erned by Chapter 24.16 Part 2 of the ocated on your lot, what design and should always be sure to check with ment of ADUs in Santa Cruz is govfind out information about your site etc. A copy of these rules is located in the Appendix, but since they are your ADU can be, where it can be the Planning Counter for the most development standards will apply recent version.

or things which may be in conflict she will be looking for "red flags" with the ADU zoning regulations.

this point, you will also know if you report, if you are in an environmenally sensitive area that requires spe At the end of the first meeting, you should know what limitations your site has as well as understand what your range of options might be. At ADU entrance, and what off-street cial setbacks, how to orient your will need to do an archeological parking will be required.

# <u>Administrative Use Permit</u>

your proposed ADU. Administrative If the Planner tells you that you will standards that are applicable to your two-story ADU located in the backneed an Administrative Use Permit, yard setback or any ADU that does plan, floor plan, and elevations of not comply with the development you will have to prepare a formal Department that includes the site Use Permits are required for any submittal to the Planning lot.

impacts generated by your proposed Administrative Use Permits require the Zoning Administrator. The pubic hearing also provides an oppor-ADU (privacy, noise, solar access, parking, etc.) will be reviewed by a public hearing before the City's unity for your neighbors to com-Zoning Administrator. This is a meeting at which the potential ment on your ADU plans.

they can help you with that as well. heir knowledge and experience. If you are having difficulty deciding great resource. Take advantage of Remember that your Planner is a what plan might be best for you,

# Sack to the Brawing Board

of the City's requirements as well as ical constraints of your property and the requirements of the zoning code. what you can build within the physshould have a fairly clear picture of designer, architect or contractor and The next step is to refine your ADU concept to be sure that it meets all nave not already brought them on If you are going to work with a board, now is the time to do so. your own needs. By now you

When using an ADU Prototype design you may continue on your own with much less assistance from the professionals. Of course you will need to provide your own site plan. The ADU Prototype floor plans and the elevations are essentially ready for submittal, although in some cases, exterior material and rooflines will need to be modified to comply with the zoning code requirement that your ADU be compatible with your main house.

Each ADU Prototype provides a number of variations from which to choose. Depending on your choices, you may be able to make the modifications by writing simple notes on the ADU Prototype plan set.

During this design phase, you may want to talk to a Planner again. If you have questions, you can return to the Planning Counter as many times as you need. The Planners are there to help you.

# Next Stop, the City Building Counter

After you have developed your preliminary plans, or if required, have your Administrative Use Permit, it is time to head for the City Building

Counter. To do this, return to the Planning Department and look for the sign that says "Building". Once there, a Building Plan Checker will greet you. Like the Planning staff, they are there to assist you through the permit process and can be a great asset for you.

The purpose of your first trip to the Building Counter should be similar to that of your first visit to the Planning Counter – to gather information about how and what you can or cannot build on your property. For this, you will need to bring your preliminary plans which, at a minimum, includes the dimensioned site plan.

If you have developed a floor plan and elevations, bring those as well and you will have that much more information to share with the Plan Checker. And, if you are using an ADU Prototype, be sure to bring a copy for making notes about possible modifications. It is also helpful to bring the same site photos that you did for your first Planning Counter visit.

# fire Sprinklers and Fire Safety

The City requires a fire sprinkler system for all ADUs. Under current regulations, you can design and install the system yourself if you have the skills. Advice is available from the Fire Department, or you can hire a C-16 Fire Protection Contractor to do this. In any case, a fire sprinkler plan must be submitted to the Fire Department for review and approval. The Fire Department will also conduct required in-progress and final inspections of the fire sprinkler work.

Fire sprinkler systems will sometimes require the installation of new water service and meter to the ADU. In some cases, depending on capacity and flow rates, the existing water service to the main house on your property can be used. If your home is in an older neighborhood, this may not be possible. The Fire Department will be able to tell you if your existing water service is adequate for the new fire sprinkler system.

Smoke detectors are also required in ADUs. One smoke detector that operates on electrical power ("hard-wired") from the main electrical service is required in each sleeping room and on each level of your ADU. These detectors must also have a 12-volt battery back-up in case the power goes out and a fire occurs before it is restored.

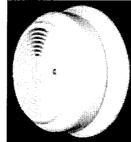
Sleeping rooms must have at least one exterior opening to allow you to escape in case of a fire, as well as to admit rescuers to enter the room. These openings must be a minimum of 5.7 SF in size, and be at least 20" wide by 24" high. The finished window sill height may be no more than 44" high.

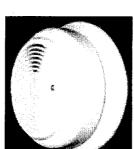
And, so that the Police or Fire Department can locate your ADU in case of an emergency, you must have the ADU's address placed on the building in such a manner that it is clearly visible and legible from the street. If your ADU is located behind the main house in the backyard, and can't be seen from the street, you might have to install a post or other type of marker in the front yard with the ADU address on it. The Fire Department can tell you the best location for ADU address.

Check with the Fire Department for more fire prevention and safety Information and tips.









The City will require appropriklers will be required for your ate fire safety design for new smoke detectors and sprin-Appropriate water pressure, ADU development.

soils or slopes. You will also be told special requirements related to your The Plan Checker will go over subwill let you know of any additional any special requirements regarding Permit as well as advise you about mittal requirements for a Building to contact other City Departments Works. Staff in those departments such as Fire, Water, and Public

Exchange or even the telephone yelcannot recommend a particular firm or individual, so you might want to 'essional services you may require, ask around to see if your friends or The Plan Checker will also advise you as to what other types of pro-However, be aware that the City co-workers can give you names. such as an electrical contractor, structural engineer or architect. Or, check with the Builders

# Sack to the Drawing Board Again

required plans and permits and a list After leaving the Building Counter, Your task now is to complete this of contacts in other Departments. you should have a checklist of

ment process. In order to apply for a checklist and set up necessary meetings with other City departments to with Planning, you are welcome to anytime during this plan developgather additional information. As return to the Building Counter at building permit, you will need drawings listed on page 41.

need other information on the plans. using non-traditional building tech-Structural engineering calculations niques or materials. Depending on check requirements, please see the will also be required for any ADU The Plan Checker will advise you. our particular site, you may also For a more complete list of plan Appendix.

If you are using an ADU Prototype, set to reflect any changes you have made to the prototype, and you will already been included on the plans. However as previously noted, you sheets in the ADU Prototype plan will need a plan for your specific site, you will need to adjust other most of required information has Conservation Plan. This last task also need to complete an Energy

since an Energy Conservation Plan was not done for the Prototypes. depends on the orientation of an ADU as well as other factors.

# Submitting Your Plans for a Bullding

24"x36" paper, but 11"x17" paper is an outside plan check consultant for prototypes and ADUs using convenwill return to the Building Counter. Once your plans are complete, you Checker has indicated that the City then only 7 sets are required. ADU being allowed for submittals using sets and your plans will be sent to construction, then you will need 9 reviewed internally by the City. If lypically, plans are submitted on will review your plans internally, your ADU uses non-conventional he ADU Prototypes. If the Plan ional construction are usually review.

Checker will determine the value of fees, including Planning, Building, At the Building Counter, you will footage and collect some of your your structure based on square be asked to fill out a Building Permit application. The Plan

and Energy Plan Check Fee fees, see page 58 of the App how close your final plan is reduced up to 50%, dependi Building Plan Check fee wii are using an ADU Prototype Prototype. For a list of 2003

on a reimbursable basis for a profesand submit it with your building you wish to receive this grant, you architect, engineer, or electrician. If sional consultation from a licensed Grant Program provides up to \$100 The City's Technical Assistance deducted from your building permit will need to bring in a paid invoice permit application. The \$100 will be from your consultant, fill out a form

> Project Description: License No.

Architect/Engineer/Draftsman Bus Lic No.:

Address: Work Comp

City Zip

Phone

Residentia

Nesidential Residential

Plan check takes about 10-15 work you will be contacted by your Plan hood. When this review is complete will be compatible in your neighbortions and to make sure your plan visiting your site to verify condi-Planning and Building staff will be tion to reviewing your submittal, ing days. During this time, in addi-

No.	Project Address	Assessor's Parcel No.:  Assessor's Parcel No.:  Address:  Address:	Data: Asset
SAMPL	BUILDING PERMIT	City of Santa Cruz Inspection Services Dept. of Planning and Community Development 809 Center Street, Room 206	

		DO NOT WRITE	BELOW THIS	DO NOT WRITEBELOW THIS LINE - STAFFUSE ONLY			
				Estimate	Final Cost/SF	/SF	Value
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lood Plain	. !	yes no	Rendered so				
coup Group		Cornst Type:	Non-hid si				
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ate Called				Contractor Bid			
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CANNAG				Planning Plan dieck			
ONIDING				Public Works Plan check			
NERGY				Dallong Pernsi			
SIBLE	-	] < 50% > □		Electical			
NORKS				Mechanical			
WATER		_ < 50% > _		Altery			
72 E		< 50% >		Plumbing			
ARKS&				Strong Motion			
fec	-			Fixe			
WATER				Parks & Rec			
	_			Water Conservation			
5 or more new n	sidential units, n	5 or more new residential units, notify Housing Division.		847		\$300	
100		٠		General Plan Maintenance			
ř				GRANI	GRANDTOTAL		

of your ADU project. It will be used by various City departpermit fees required. your project and the types of ments to review the scope of Information about the nature Application will require basic Permit Application. The This is a sample Building

## **Building Pormit** Required Drawings for

Addition Alteration/Repair New Construction Nature of Work:

- · Site Plan;
- · Floor Plan;
- Foundation Plan;
- Building Elevations;
- Framing Details;
- Plumbing/Electrical/ Mechanical Drawings;
- Engineering Details;
- Energy Conservation Plan; and
- Others as requested by Plan Checker.

TOTAL PERMIT PER

# **Contractor Agreement**

Be sure your contract Includes:

The contractor's name, address, and license number.

Approximate dates the work will begin and be substantially completed.

Description of the work, materials and equipment to be used or installed, and costs.

Schedule of payments (if the contract provides for a down payment, it may not exceed \$1,000 or 10 percent of the contract price.)

A description of what constitutes substantial commencement of work.

Notices about state lien laws and consequences of failure to start work.

Please note that other City
Departments will be reviewing your
plans as well and you will receive
written comments from each department. This includes not only
Planning and Building, but also
Fire, Water, Public Works, and
Parks & Recreation.

If you do not receive any comments, this means your plans have passed their review. it is rare that plans are approved by all Departments on the first round. Most likely, you will at least receive a letter regarding necessary changes or a marked up copy of your plans from the Building Plan Checker in addition to some comments from other Departments.

When you have completed all of the required changes, you will re-submit 3 sets of revised plans. This second plan check takes about five days. When this review is successfully completed, you will be notified by your Plan Checker to pick up your Building Permit. At this time, you will also be assessed any additional fees. Typically, total fees for a 500 SF ADU that does not

require an Administrative Use Permit will be about \$7,000.

# **Building your ADU**

Unless you are a contractor, architect or developer, you may not have gone through the process of building a house. As the owner, you will likely be working with a general contractor. This section provides a summary of how to select a contractor, what to include in your construction contract, and what happens during the inspection phase.

# Choosing a Contractor

For your ADU project you will want to hire a licensed and insured general building contractor. One of the best ways to select a licensed contractor is to get recommendations from friends who have had success in hiring a good contractor for their own projects.

You should ask two or three contractors to bid on your ADU. All bids should be based on the same set of plans and specifications. Contractors should bid on the same materials, appliances, carpeting, windows etc., and bids should also

include the contractor's profit and overhead and liability insurance.

Discuss the bids in detail with each contractor, and make sure you understand the reasons for any variations in price between one bid and the others. Watch out for any bid that is substantially lower than the others. A low bid may indicate that the contractor has made a mistake or is not including all the work.

Make sure to ask the contractor for their business address and telephone number, and verify them. A contractor who operates out of the back of a pickup truck with a cellular telephone may be difficult to find to complete a job or fix something that has gone wrong after the last bill is paid.

Ask the contractor for local references, and call them to see if they were satisfied with the contractor's work. If possible, go out and look at finished projects.

In addition to talking with other customers, you may want to obtain references from material suppliers,

subcontractors, and financial institutions to determine whether the contractor is financially responsible. For work over \$500, a valid California Contractor's License is required. Ask to see the contractor's license and some additional form of identification. The name on the license should be the same as the name of the contractor or business name under which the contractor is working. Call the Contractors State License Board's toll-free number, (800)-321-2752, to verify the information.

erty an extra level of protection if ance. You may want to ask the conask for the name of the contractor's of the certificate of insurance, or case of accidents. Ask to see a copy damage, and personal liability in workers' compensation, property contractor even if they are not things go wrong on your project. "additional insured" on his policy. tractor to have you named as an fy that the contractor has the insurinsurance carrier and agency to verr insured against claims covering You may choose to work with a This will afford you and your prop-Ask the contractor if the company is

insured but be aware that if the contractor does not have insurance and a worker is injured on your property, you will probably be the one billed for medical expenses.

# The Construction Contract

Make sure you have a written contract in place with your contractor and do not sign anything until you completely understand what you are signing. One of the best ways to stop problems before the job begins is with your contract. Get all oral promises in writing, and spell out exactly what the contractor will and will not do. If you intend to do some of the work yourself or hire another contractor to do it, this also should be written into the contract.

Be as specific as possible. Be sure the financial terms of the contract are clear. The contract should include the total price, when payments will be made and whether there is a cancellation penalty.

Make sure your contract includes everything you feel is important to the job, including complete cleanup and removal of debris and materials and special requests like saving

scrap lumber for firewood or protecting existing landscape features during construction. Also give instructions regarding pets, children or areas where materials may not be stored.

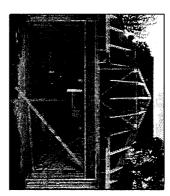
It is perfectly reasonable and legal for you to add or modify things in a contract offered to you by a contractor. You can do so by attaching a written "exhibit" or "addendum" to the contract, and referencing the attachment in the body of the contract

After the contract is signed and work has begun, your contractor may offer suggestions that will change your original ideas for the work. Always use a signed "change order" if you add or delete work, substitute materials or equipment, or change the completion date. It is very important to have all change orders signed by all parties to the contract, before the extra work is started.

### inspection:

Your ADU project will require a series of inspections to ensure that the project is being built according







Foundations, framing, electrical and plumbing, sheetrock and other stages of your project will need to be inspected.

# **Building Inspections**

The following list of building inspections will need to occur in the order shown below.

- Grading (if necessary due to site/slope conditions)
- 2. Foundation Forms
- 3. Floor Framing/ Underfloor insulation
- 4. Framing inspection and Rough Plumbing/
- 5. Wall and Ceiling Insulation
- 6. Lath/Plaster/Sheetrock
- 7. Stucco (if applicable)
- 8. Final

Some inspections might not be required depending on your project, and others not shown may be necessary depending on what kind of ADU you are building.

to plans you submitted for the permits. These inspections also verify that the contractor is complying with building, plumbing, mechanical and electrical codes.

You as the property owner are ultimately responsible for calling for building inspections. The contractor is most always the one to do so, but you should always check the building permit (which must be posted on site) to ensure that necessary inspections have been performed in the correct order.

The Planning Department may also conduct a final planning inspection, particularly in the case of an ADU that received an Administrative Use Permit. This inspection is usually to confirm that any special conditions imposed on the project have been fulfilled. Planners may place a hold on the Building Department's final inspection until this is done.

Other City departments such as Fire, Public Works or Water may also conduct their own inspections for the fire sprinklers, sidewalks and curbs (you will have to install these if they are not already in place).

Please check the Appendix for contact information for these departments.

# Renting your ADU

At some point, most of us have been renters, and may have dealt with good and bad landlords alike. If you intend to rent your new ADU, you will now be a landlord and there are many things you need to consider.

The landlord-tenant relationship is governed by many local, state and federal laws, and new landlords can often find themselves in a situation which could have been avoided through the application of common sense and tried and true procedures and tools. There is no one-step solution or packaged approach, but take a look at the information below before you rent your ADU and you will go a long way towards solving problems before they occur.

# Selecting a Tenant

Selecting the right tenant is THE most important step you will take. You may think you know someone or you may trust your intuition, but

a methodical selection process is the best way to find a tenant. After all, now that you have an ADU you will also have a new individual or household living with you on your property! Here are some steps you can take to ease the transition into your new job as a landlord:

applicants with a written copy of the background checks. You may charge rental application. Apply the criteria a reasonable fee to recover the costs and honest applicants as opposed to those who may cause you heartache of screening each individual on the ten, fair criteria that you will use to consistently to all applicants. Also, 1. Establish a Screening Process. and financial strain. Develop writ-The goal is to attract responsible application. Following are a few iudge all applicants. Provide all intend to do credit and criminal suggested screening points you let the applicant know that you criteria when you hand them a might consider: a. Require Submittal of a Complete Application.
Incomplete applications make it difficult to judge an applicant or check

applicants omit information on purpose in the hopes that a landlord will not check too deeply. State directly on the application that failure to provide a complete application being returned or denied.

# b. Require 2 pieces of ID.

This will help eliminate persons who may be hiding under an alias. Two pieces of fake ID showing the same personal information is harder to come by.

## c. Require a Rental History from Unbiased Sources.

Many people may only have rented from friends or relatives who may be inclined to give them an undeserved good reference. If applicants are unable to provide an unbiased rental history, you may elect to require a qualified co-signer on your lease who will be responsible for payment.

d. State That Provision of False Information is Grounds for Denial. If your applicants are not honest with you, you may turn them down -it is that simple.

# e. First Qualified Applicant Will be Accepted.

Take applications in order, noting the time and date. Start with the first application. If the first application meets your requirements, go no further—offer the ADU to the first applicant. This is the fairest policy you can set, and it helps make sure that you do not discriminate when choosing between two different, qualified applicants.

might have an explainable problem borderline applicants consistently with all aspects of managing rental more fair process for all parties. As on their credit report. Having a not turn down applicants who would to ensure, for example, that you do application process flexible can help vide larger deposits, more prepaid require borderline applicants to probe introduced by setting rules that regardless of who the applicant is housing, apply your policies for borderline applicants can result in a method that allows you to deal with be great tenants for you but who rent or a co-signor. Making your line cases. Some flexibility can also tured to allow exceptions in border-The preceding criteria can be struc-

# 2. Use a Written Rental Application.

You need to find out the history of the individual(s) you are considering as a tenant. A good application gives you access to verifiable information.

Do not reinvent the wheel. Contact a local legal publishing company, a rental housing association, or your own attorney for copies of appropriate forms. Make sure you have forms that were designed specifically for California and are up-to-date with any recent changes. You should collect information requested on the application for each adult (anyone who is 18 years of age or older) who intents to reside in the unit.

At the time potential tenants submit their application, it is a good idea to provide them with a copy of your rental agreement so that they can be familiar with what they will sign if they become your tenant. You should also hand out a copy of your tenant selection criteria as well as specific information or flyers about security deposits, smoke detector compliance, pet policy and other issues related to the rental of your

## Gredit Reporting Agencies

Equifax

P.O. Box 740241 Atlanta, GA 30374-0241

telephone: (800)-685-1111.
Website:
www.equifax.com

Experian (formerly TRW)

P.O. Box 2002 Allen, TX 75013

telephone: (888)-397-3742
website:
www.experian.com

TransUnion

P.O. Box 1000 Chester, PA 19022

telephone: (800)-916-8800 website: www.tuc.com

## Rental Application

the following information: not have to be limited to, should require, but does A rental application

- · First, middle and last
- Date of birth.
- out the picture ID. It is Asking to see their driver's license is a good a red flag if they hesitate to show it to you. opportunity to check Driver's license/I.D. number, and state.
- Social security number. phone number of past Name, address, and two landlords.
- employed, ask for copy of business license, tax returns, bank records, name, phone number, Credit and loan refercontact/supervisor's year. Income/salary, Income/employment or client references. history for the past address. If self
  - ences; auto payments, Bank references; bank department stores, credit cards, other
- address, phone number. name, account number, Criminal history/convic-

ADU. Much of this information will nate confusion or misunderstanding agreement, but it can help to elimiprobably be included in the rental at the earliest stage possible.

# 3. Run a Credit Check.

checks will reveal information about check can be obtained from any one Using the information submitted on agencies, and will be invaluable in to whom to rent your ADU. Credit helping you to make a decision on ment store cards, auto loans, mortgages, and credit cards), collection accounts, and court records (bankruptcies, judgments, satisfied judgyour potential tenant's installment and revolving credit lines (departwill be signing the lease. A credit of three different credit reporting credit check on each tenant who the rental application, request a ments, liens, satisfied liens, and

#### 4. Call the Applicant's References. Talk to previous landlords and conrented from that person before. Try most recent one the applicant lists. firm that your applicant actually to call a landlord who is not the

manager or supervisor instead of a employer to verify the information The most recent landlord may say nim. Also, make sure it is the landlord and not a friend posing as the bad tenant is actually a good one, ust so the landlord can be rid of on the application is correct. Be sure to talk with the applicant's landlord. Call the applicant's co-worker.

## 5. Visit the Applicant's Current Address.

ance may be informative; if the yard car parked on the lawn, or trash and this may not be right tenant for your brand new ADU. On the other hand, debris is piled up against the house, do not go inside the unit, its appear-A drive by visit will show you how the applicant is keeping up the outif the lawn is mowed, the landscapyour property should you decide to is cluttered, or there is an old rusty premises are generally presentable, s responsible and will take care of side of his rental unit. Even if you this is an indication that the tenant ng appears cared for and the ent to them

# 6. Make a Decision.

aws are designed to protect the way hat are unrelated to a person's qualapplicants are screened and to make sure that all qualified applicants feel discrimination on the basis of issues and fair. California and Federal fair for issues that relate to tenant lease nousing guidelines and civil rights Use a process that is simple, legal Nothing in these laws forbids you from setting screening guidelines equally invited to apply. The pursose of these laws in to prevent compliance and applying them fications to be a good tenant. equally to all applicants.

## 7. Execute a Written Lease With Your New Tenant.

agreement is character of the people who sign it. This is why the screenng process mentioned above is key and the decision as to which one to o a successful landlord/tenant relatype is appropriate in Santa Cruz, month or year-long leases. Either most important part of any rental ental agreements are month-to-The two most common types of ase is up to you. Of course, the

A written agreement is vital to protecting both the landlord's and the tenant's rights, and creates an even playing field from the start. Rental agreements or leases should be as comprehensive and as clear and concise as possible, and spell out all expectations and responsibilities of each party.

Following are some examples of what might be included in your ADU lease:

- only those people listed on the rental agreement are allowed to live at the property.
- No subleasing;
- No disturbing the neighbors;
- No illegal drug use, sale, growth, or manufacture on the property.

A sample lease agreement can be found in the Appendix. As with all written documents you may use in renting and managing your ADU, it is advisable to have them checked by your attorney, local property management association or real estate agent.

Finally, you should check with your insurance agent to make sure you

have adequate coverage for your new ADU. A well designed insurance program will protect you from losses caused by fire, storms, burglary, vandalism and personal injury and discrimination lawsuits.

For a more complete look at how to effectively manage your rental ADU, please see the Appendix for an online link to the City's "Landlord Training Manual" and the State of California's "Guide to Residential Tenants' and Landlords' Rights and Responsibilities".

# ADUS as Affordable Housing

You may consider placing your ADU in the City's Affordable Housing Program. This would require that you rent your ADU to low-income tenants and would also restrict the amount of rent you can charge. The City offers financial incentives in exchange.

Participation in this program in entirely voluntary. Here's how it works:

# iee Walver Program

Certain fees are eliminated is exchange for affordability restrictions on your ADU. Under this program, the requirement that your ADU be affordable is in effect for the life of the unit or until you opt to pay the waived fees at some point in the future. There are two levels of fee reductions:

The first level is a partial reduction if you agree to rent your unit to a tenant household that is at or below 60% of the area median income for Santa Cruz. In 2003, for a one-person household, this amount is \$31,800. Typical workers earning this level of income would include entry level teachers or nurses' aids.

The second level of fee waivers eliminates all of the Planning and Building fees. At this level, you must agree to rent only to a tenant household that is at or below 50% of the area median income. In 2003, the maximum income for a household of one is \$26,500. This income level might include seniors on fixed income, students who are working part-time or service industry workers (waiters, store clerks).

# SANTA CRUZ INCOME AND RENT RESTRICTIONS FOR 2003

## ADU Loan Program

The City has a loan program to help you build your ADU. Fifteen and twenty year loans are available, with fifteen and twenty year affordability requirements respectively.

Income restrictions for this program are at 80% of the area median income, which is \$42,400 for a oneperson household. New teachers and many professionals who are just starting out qualify at this level. The chart above shows the 2003 income and rent levels for a 1 or 2 person household. Annual adjustments are generally made in February of each year.

For all of the above programs, you are responsible for verifying your tenant's income. The tenant must

70	Unicabold Clas	Maximum Total	Maximim Bent
/ B H / 0/	0.000 THE TOTAL TOTAL	Income	
%08	1 Person	\$42,400	\$1,060
%08	2 Persons	\$48,450	\$1,211
%09	1 Person	\$31,800	\$795
%09	2 Persons	\$36,300	806\$
50%	1 Person	\$26,500	\$662
20%	2 Persons	\$30,300	\$758

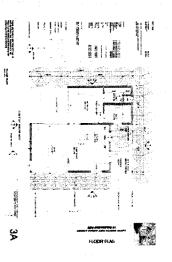
sign a form certifying their household income and you must request and keep records that include the form and backup information such as copies of pay stubs, income tax returns, etc.

The City will monitor your ADU for compliance on an annual basis and ask you to submit certifications as to who is living in your ADU, what

their income is and how much rent you are charging them. The affordability restrictions are recorded on the title to your property, and will extend to subsequent buyers of your property, unless you pay the waived fees or until the expiration of your ADU loan term.







# Appendix: More Resources

The Appendix contains additional resources for ADUs.

# **APPENDIX CONTENTS**

# **City Departments Contact Information**

Questions regarding your ADU can be answered by City staff. The following contact information is provided for your convenience.

# Department of Planning and Community Development

809 Center St., Rm. 206 Santa Cruz, CA 95060

Planning Counter: (831)-420-5416 Building Counter: (831)-420-5417

Website:www.ci.santa-cruz.ca.us/pl Email: cityplan@ci.santa-cruz.ca.us

# **Public Works Department**

809 Center Street, Room 201 Santa Cruz, California 95060 Phone: (831) 420-5160 Website:www.ci.santa-cruz.ca.us/pw

Email: citypw@ci.santa-cruz.ca.us

## Fire Department

230 Walnut Ave. Santa Cruz, CA 95060

Phone: (831)-420-5280

Website:www.ci.santa-cruz.ca.us/fd Email:cityfire@ci.santa-cruz.ca.us

## Water Department

809 Center Street

Administration: Room 102 Customer Service: Room 101

Santa Cruz, California 95060

Phone: (831)-420-5200

Website:www.ci.santa-cruz.ca.us/wt Email: citywtad@ci.santa-cruz.ca.us

# **Useful Web Sites**

The City's and other web resources can provide useful information for planning, designing, and leasing your ADU.

- City of Santa Cruz Accessory Dwelling Unit Development Program www.ci.santa-cruz.ca.us/hcd/ADU/adu.html
- City of Santa Cruz Landlord Training

Manuai www.ci.santa-

cruz.ca.us/pl/hcd/LandlordTrainingManual.pdf

State of California Guide to Residential Tenants' and Landlord's Rights and Responsibilities

www.dca.ca.gov/legal/landlordbook/index.html

# Green Building Information

- California Integrated Waste Management Board Green Building Web Site: www.ciwmb.ca.gov/GreenBuilding
- City of Austin, Texas Green Building
  Program
  www.ci.austin.tx.us/greenbuilder/ gbpmembers.htm
- City of San Jose Green Building Site Map www.ci.san-jose.ca.us/esd/gb-sitemap.htm
  - City of Santa Monica Sustainable City Program
- Environmental Building News: www.buildinggreen.com

www.santa-monica.ca.us/environment/policy

## **Building Codes**

In California, the model building codes prepared by the International Conference of Building Officials (ICBO), the International Association of Plumbing and Mechanical Officials (IAPMO), and the National Fire Protection Association (NFPA) are modified by the California Building Standards Commission to become the California Building Code. These codes are contained in Title 24 of the State Health and Safety Code.

If you are not familiar with the California Building Code, there are subtle changes from the model codes. You are required by state law to follow these changes. If you try to decipher the California code yourself from the text, you have to use the adoption matrix contained in each code to determine applicability. Plan Checkers/ Inspection staff can help with much of the information you need. Visit our counter, or write to the Department. Staff cannot perform individual structural or energy calculations to fit your particular situation, but they can give you guidance as to what you will need to do or what type of professional you will need to hire.

# **ADU Definitions**

There are a number of terms used when discussing planning, designing and developing ADUs. The following pages include some of the more important ones.

Accessory Dwelling Unit (ADU):
A dwelling unit accessory to a main single-family dwelling.

Administrative Use Permit:
Special planning permit required for ADUs of more than one story in height. Requires a public hearing.

Alley: A public or private way which affords secondary access to an abutting property.

Deed Restriction: A special condition or requirement pertaining to the property recorded in the public record. The restriction stays with the property unless and until removed by the permitting agency.

**Duplex:** A two-family dwelling or duplex designed or used exclusively as a residence, including two separate dwelling units.

Dwelling: A structure or portion thereof including one or more rooms designed or used as a residence by one family or housekeeping unit, with facilities for living, sleeping, eating and food preparation.

Easement: A limited right of one person or class of persons to use real property owned or occupied by another.

Family: An individual, or two or more persons living together and occupying a dwelling.

building contractor: A general building contractor is a contractor whose principal contracting business is in connection with any structure built, being built, or to be built, for the support, shelter and enclosure of persons, animals, chattels or moveable property of any kind requiring in its construction the use of at least two unrelated building trades or crafts, or to do or superintend the whole or any part thereof.

Green Building: Utilization of environmentally appropriate and

resource conserving materials and techniques.

Heritage Tree: Any tree, grove of trees, shrub or group of shrubs, growing on public or private property within the city of Santa Cruz which has a trunk with a circumference of 44" measured at 54" above grade, has a historical or horticultural significance, is a distinctive size or structure for its species, provides a valuable habitat, or is identified by the City Council as having a significant arboicultural value to the City.

Loft: A space directly beneath the roof structure raised above the main floor which opens into interior space.

Lot: A piece or parcel of land, occupied or intended to be occupied, by a permitted principal building or a group of such buildings and accessory buildings, together with required open spaces, and having frontage on a dedicated, accepted and improved public street.

On-Site Parking: A permanently surfaced area for vehicular parking, either within a structure or in the open, and connected to a public street, alley or other public way by a permanently surfaced driveway or access drive.

Permit: Written governmental permission issued by an authorized official, empowering the holder thereof to do some act not forbidden by law but not allowed without such authorization.

Property Line: A line of record that divides one lot from another.

Primary Residence: A building in which is conducted the principal use of the lot on which it is located. For residentially zoned lots, such a building would be a dwelling.

Public Hearing: A meeting in which testimony and arguments are presented publicly before a hearing body.

Setback: The distance by which any structure is required to be removed from a property line, street or alley, right-of-way line or the like.

Single-Family Dwelling: A building designed or used exclusively as a residence and including only one dwelling unit.

Zoning Ordinance: A municipally adopted set of laws and regulations governing uses of property located within a jurisdiction.

# **ADU Plans Checklist**

oped a number of Accessory be required to supply. is some basic data about your parnecessary to obtain a permit, there plans contain many of the elements application for an ADU must be taisetbacks, distance from adjoining sites including slope, property line building and zoning codes. staff for compliance with local have been pre-reviewed by City their own property. The Prototypes that homeowners may utilize on Dwelling Unit (ADU) Prototypes ticular site and project that you will Even though the ADU Prototype lored to fit your individual needs. buildings and soil conditions, each However, because of variations in The City of Santa Cruz has devel-

Construction drawings of your projects will be necessary for you to obtain a building permit. Some of this information has already been provided in the ADU Prototype plan sets. As the property owner, you may prepare plans for buildings for your own use or occupancy, including single-family dwellings of wood frame construction, not over two stories and a basement in height. An

architect or engineer, registered in the State of California, must prepare plans and specifications for any other project. For unusual or nonstandard designs, the Building Official may require plans and specifications to be prepared and designed by such an architect or engineer. Only complete construction drawings will be accepted for review.

Your completed application will be reviewed by the appropriate City agencies, who will also advise you by mail of any deficiencies in your plans. You will also be notified either by letter or telephone of the completion of processing and fees due. Any deficiencies noted must be corrected before the building permit will be issued.

One copy of the approved plans, with corrections and requirements noted, will be returned to you with your building permit. The plans and permit must be available on the job site at all times during construction. Information concerning inspection requirements will be given to you with your permit.

The City is employing a multi-distribution process for permit processing in which each reviewing department receives a copy of the plans at the same time. Generally, for new ADUs, this involves nine sets of plans, six of which are routed to each of the City reviewing departments, two to the plans examiner, and one control set. Two of the sets must be signed by you if you've modified them yourself, or by the architect or designer you may have hired to help you with any modifications.

engineer.) You should verify with all calculations are signed by the cial product information. (Be sure calculations, specifications or spean engineer to provide calculations Prototype design, you'll have to hire neering will be required. If you it's likely that no additional engiasserting that the alterations are safe have significantly altered a modified these designs significantly, Prototypes, and if you have not neering review on the ADU You'll need to supply three sets of from a structural point of view. The City has already done an engi-

the Building Counter exactly how many sets are needed before apply-

In addition, the County Assessor's Office requires an 11" X 17" fully delineated reduced floor and site plan or a full size set of any work requiring permits. Applications will not be accepted unless you have this item.

A checklist of needed information on your project in order to complete the permitting process follows.

# General Requirements

- □ Nine copies of plans, plus three sets of structural and energy calculations, brochures, etc.
  Indelible reproductions only, no originals.
  - originals. □ Good grade of single sheet plain
- white paper, (no tape) for plans.

  One extra set of 11" X 17"
  reduced size floor and site plan
  for the County Assessor.
- plans.

  ☐ Sign ature of designer (and stamp, if licensed) on first sheet of two sets of plans. All signatures must

No red ink or pencil marks on

be original and in ink, no copies or stamped signatures.

- Signature and stamp of engineer on calculations and/or engineered sheets of drawings. All signatures to be original and in ink, no copies.
- Minimum sheet size 11" X 17" if using City ADU Prototype; for all other designs, minimum 18"x24" sheets are required (engineering calculations and product/material specifications may be 8 1/2" X 11").

### Plot Pian

- □ Scale 1" = 10' or 20', 1/8" or 1/4"
   = 1' or other appropriate scale.
   □ Entire property shown with dimensions of boundaries, locations of existing and proposed buildings in relation to each other.
- ☐ North arrow.
- ☐ Topographic contours in vicinity of improvements (building, drive-way, street & sidewalk (you may use base 100') Contour interval normally 2' unless otherwise directed by Planning staff. ☐ Building setbacks from property

- ☐ Off street parking, driveways, walkways.
- ☐ All rights-of-way (streets and alleys) and easements adjacent to or on property.
- ☐ Proposed location of on-site sewer, lateral, clean-outs, etc. If connecting to the existing main dwelling drain verify there is sufficient fall from the ADU.
  - ☐ Indicate if curbside improvements are existing (sidewalk, gutter, etc.).
- ☐ Drainage system (see erosion control plan requirements).

## Elovations

- $\square$  Scale 1/4" = 1'- 0".
- ☐ Four elevations labeled North, South, East, West.
- ☐ Relative ground elevations in relation to ADU, including natural and finished grades.
  - ☐ Height of structure (plate lines and ridge).
- ☐ Demonstrate roofing material, exterior finish, height of chimney above combustible material, trim, gutters, downspouts, velocity dissipaters, handrails, guardrails,

lines, percent of lot coverage.

	rails.	Indicate hand rails and guard	skylights.	<ul> <li>Location of smoke alarm, fans,</li> </ul>	where required.	tains). Indicate tempered glass	ers and bath tubs (doors or cur-	☐ Splash protection type for show-	tile, hardwood, etc.	tops, vanities, etc. such as carpet,	☐ Indicate finish of floors, counter-	may be on a separate sheet).	door schedule is required, but	identifying symbols (window and	Label doors and windows with	Fully dimension all partitions.	Square footage of heated space.	floor.	rooms and partitions on each	☐ Dimensions and arrangement of	only on small distances.	feet and inches normally, inches	or centerline of partition. Use	dimension such as outside, inside	demonstrate termination of	Dimension lines must clearly	walls are unacceptable).	delineated (single lines indicating	☐ Width of walls and partitions	$\square$ Scale $1/4$ " = 1' - 0".	Floor Plans
☐ Indicate specialized circuits (i.e.;	breakers.	cuit interrupter (AFCI) type	are protected with arc-fault cir-	sleeping area (outlets and lights)	ampere circuits in bedroom or	☐ Identify that all 15 and 20	garages, kitchens, outdoors	tected outlets in bathrooms,	☐ Identify location of all GFI pro-	(w/rating), electrical appliances.	sub-panels (w/rating), service	lights, fans, smoke detectors,	☐ Location of all outlets, switches,	$\square$ Scale $1/4$ " = 1' - 0".	fleor plan)	Electrical Pian (May be included on		min.).	indicate stairway width (36"	☐ Call out rise and run of stairs,	board.	ed with 5/8-inch type X gypsum	applications must also be protect-	stair storage areas in two story	between garage and ADU. Under	indicate firewall protection	☐ If ADU is attached to a garage,	(units must be CEC approved).	Btu rating, make and model	and dryer types. If gas, call out	☐ Indicate water heater, furnace
ing.	☐ Size and material of DWV pip-	ing.	☐ Size and material of water pip-	meter to the ADU.	mally encountered from the main	to accommodate distances nor-	from the main meter to the ADU	Gas lines may need to be upsized	serving fuel burning appliances.	☐ Size and material of gas lines	from main dwelling.	☐ Location of gas meter or piping	and pressure reducers as needed.	☐ Location of back flow preventers	mate).	☐ Location of hose bibs (approxi-	☐ Location of all fixtures.	$\square$ Scale 1/4" = 1' - 0".	fleer a foundation plans)	Plumbing Plan (May be included on		amp sub-feed to the ADU.	the main dwelling with a 100-	minimum 200-amp service on	separate service for ADU or a	dwelling unit. Indicate either a	mum service of 100 amps to any	☐ State law now requires a mini-	disposal, etc.).	ed laundry, dishwasher/garbage	kitchen small appliance, dedicat-

- □ Layout of hydronic heating piping if applicable.
- ☐ Layout of fire sprinkler system (Note: all new residential construction must be fire sprinklered with a residential system approved by the Fire Department and Water Department).
- material, anchor bolts (include spacing), indicate minimum Fb for concrete (2000 psi min.).

  □ Location of crawl space vents, U/F access, heating duct layout as applicable.
- ☐ A soils report is required unless waived by the Building Official.

# Mechanical Plan (May be included Framing on floor & foundation plans)

- $\Box$  Scale 1/4" = 1' 0"
- ☐ Location, output BtuH, make and model of HVAC unit(s).
- ☐ Location of ductwork and floor registers, incl. size and material.
- ☐ Location, size and material of return air register and duct.
- ☐ Location of fire dampers and/or protection of fire wall penetration.
- ☐ Identify combustion air sources for fuel burning appliances.

## Foundation Plan

- $\square$  Scale: 1/4" = 1' 0".
- ☐ Layout of foundation walls, footings and slabs w/ dimensions.
  - □ Layout of piers, beams, trusses, joist direction, pilings, etc.
     □ Detail of footing/stem/slab

design, slab connection, sill

grade of all wood used (Note: On Complete typical cross section of dation plans to identify section) ☐ Indicate size, spacing, material, specialty types of framing such section lines on floor and founheights (show on section drawmembers. Call out species and detailing of the connections to ☐ Footing, foundation and finish species and grade of all wood each major framing type (use Crawl space, ceiling and attic grades in relation to framing. as structural insulated panels (SIPS), there will need to be Detail as necessary. sills and rafters). 

- Schedule").
- □ Exterior frame elevation detail along major wall.
- ☐ Lateral and seismic bracing details (basic engineering will be done for you in ADU Prototypes. You may need to provide additional review for modifications to fit your specific project).
- ☐ Roofing, roof sheathing and exterior wall materials.
- □ Insulation type and placement.
   □ Show positive cross-flow ventilation of under floor, attic, cathedral ceiling, flat roof areas.

#### Detalls

- ☐ Scale: suitable to fully explain the depicted detail.
- ☐ High strength connections (may also require special inspection).
  - ☐ Rated floor ceiling assemblies, party walls, property line walls.
- Connection of framing members including hanger, straps, etc.
- □ Nailing schedule for shear walls.
   □ Footing, pier, grade beam (including connections to fram
  - ing).
- ☐ For Decks: Framing layout, footing design, bracing, guardrails,

framing with size and spacing of members (show compliance with

☐ All floor, wall, ceiling & roof

UBC Table 25-Q "Nailing

	set. All signatures must be affixed before issuance of permit.	to be incorporated into the plan	Compliance forms are required	water and photovoltaic systems.	source devices such as solar hot	installation of renewable energy	Consideration should be given to	Commission (CEC).	by the California Energy	residential buildings as adopted	rent Title 24 standards for new	dential buildings shall be the cur-	☐ Basic energy standards for resi-	Energy Conservation Requirements		they're signed and identified).	☐ Engineering calculations (be sure	and handrail design.	☐ Cross section of stair framing	Handbook OK).	design – Masonry Design	able. If masonry fireplace, detail	manufacturer's brochure if avail-	place, include make, model and	using manufactured stove or fire-	☐ Wood stoves, fireplaces (Note: if
required for structures in flood plain.	etc.  □ Elevation certificates are	as other buildings, trees, hills,	is nothing to block the wind such	are generally located where there	wind) structures. These structures	exposure "C" and "D" (high	☐ Wind analysis is required on all	construction practice.	☐ Buildings of other than standard	☐ Buildings of alternate materials.	frames (those portions only).	components such as moment	☐ Buildings employing steel frame	ufacturer).	usually obtained from truss man-	required at time of submission-	☐ All trussed spans (calculations	☐ Any span exceeding 25 feet.	☐ Non-standard foundations.	connections.	☐ Large or high strength timber	including glu-lams.	☐ Large load bearing beams,	or carrying a surcharge.	☐ Retaining walls over 4 feet high	General Engineering Requirements
								tion plan.	General landscaping and irriga-	Water Retention requirements.	and compliance with State Storm	Management Practices (BMP)	☐ Demonstration of Best	1 to April 1).	ter grading operations (December	and special precautions for win-	☐ Sediment containment measures	disturbed slopes.	☐ Re-vegetation proposal for all	sures.	☐ Proposed run-off control mea-	drainage patterns.	☐ Details of existing and proposed	included on plot plan).	☐ Scale: same as plot plan (may be	Erosion Control Plan

The chart on the right summarizes the 2003 permit fees required for a single story 1 or 2 bedroom 500 SF ADU. The total fees will depend on the scope of your project. If you use an ADU Prototype, fees maybe reduced because the plans have been "pre-reviewed" by the City.

These fees are subject to periodic adjustments. Make sure you get a current fee schedule from the City.



P. L. A. N. N. I. N. G. D. E. P. A. R. T. M. E. N. T. Housing & Community Development Division 809 Carma Street, Room 206, Savita Cauz, CA. 95060 • 831/420-6259 • fax 831/420-6458

### ADU PERMIT FEES

Note: The following are typical fees for a 1 or 2 bedroom, 1 bath 1-story 500 sq.ft. ADU on a standard 5,000 sq.ft. lot, Fees current as of November 2003. Fees will increase for larger ADUs, and not all fees may apply to your project. Please see the qualifying notes below.

Fee Type		Cost
Building Plan Check		432.09
Building Permit		664.75
Electrical Permit		28.08
Mechanical Permit		36.55
Plumbing Permit		71.90
Strong Motion (seismic reinforcement)	ıt)	5.22
Energy Plan Check		110.00
Planning Plan Check		416.00
Administrative Use Permit	(see note 1)	1,033.00
General Plan Maintenance		281.90
Public Works Plan Check		100.00
Fire Plan Check		132.95
Water Plan Check		20.00
Water Connection		2,349.00
Sewer Connection		90006
Water Service Installation Permit	(see Note 2)	180.00
Water Meter Installation	(see Note 2)	267.00
Street Opening Permit	(see Note 2)	75.00
Building Inspector Training		3.00
Parks and Recreation		1,500.00
Schools	(see Note 3)	

Note 1: Not required for single story ADUs complying with the development standards applicable to the zoning district. This fee is required for all other ADU projects.

To the coming usernor. This for its required for an order fact projects.

Note 2: This fee charged only if a separate fire sprinkler service and meter are required by Fire Department.

Note 3: Not required for ADUs of 500 sq.ft. or less. The current rate is \$1.65 per sq.ft. for ADUs larger than 500 sq.ft.

## **ADU Zoning Regulations**

## TITLE 24 ZONING ORDINANCE OF THE CITY OF SANTA CRUZ CHAPTER 24.16 PART 2

### 24.16.100 Purpose.

opportunities that are compatible with single-family development. use which is consistent with the General Plan objectives and zoning regulations and which enhances housing contribute needed housing to the community's housing stock. Thus, it is found that accessory units are a residential proposed to be developed with single-family dwellings. Such accessory dwellings are allowed because they can The ordinance codified in this part provides for accessory dwelling units in certain areas and on lots developed or

(Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003). To ensure that accessory units will conform to General Plan policy the following regulations are established.

## 24.16.120 Locations Permitted.

Accessory dwelling units are permitted in the following zones on lots of 5000 square feet or more:

- 1. RS-5A, RS-10A
- 2. RS-1A, RS-2A
- 3. R-1-10
- 4. R-1-7
- 5. R-1-56.R-L, R-T(A), (B), and (D).

(Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003).

## 24.16.130 Permit Procedures.

Section 24.16.120 and subject to the development standards in Section 24.16.160 The following accessory dwelling units shall be principally permitted uses within the zoning districts specified in

- 1. Any accessory dwelling unit meeting the same development standards as permitted for the main building in the zoning district, whether attached or detached from the main dwelling
- Any single story accessory dwelling unit.

lic hearing before the zoning administrator, subject to the findings per Section 24.16.150 and the development the zoning districts specified in Section 24.16.120 and shall be permitted by administrative use permit at a pub-Any accessory dwelling unit not meeting the requirements above shall be conditionally permitted uses within

page 59

standards in Section 24.16.160.

(Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003).

# 24.16.150 Findings Required for Conditionally Permitted Accessory Dwelling Units.

Before approval or modified approval of an application for an accessory dwelling unit, the decision making body

- Exterior design of the accessory unit is compatible with the existing residence on the lot through architectural use of building forms, height, construction materials, colors, landscaping, and other methods that conform to acceptable construction practices.
- The exterior design is in harmony with, and maintains the scale of, the neighborhood.
- The accessory unit does not result in excessive noise, traffic or parking congestion.
- The property fronts on an adequate water main and sewer line each with the capacity to serve the additional
- The site plan provides adequate open space and landscaping that is useful for both the accessory dwelling unit and the primary residence. Open space and landscaping provides for privacy and screening of adjacent proper-
- The location and design of the accessory unit maintains a compatible relationship to adjacent properties and does not significantly impact the privacy, light, air, solar access or parking of adjacent properties.
- the privacy of the neighboring side or rear yard have been minimized. The design of the accessory unit shall relate to the design of the primary residence and shall not visually dominate it or the surrounding properties. major windows to the walls facing the primary residence, or to the alley if applicable. Windows that impact The one and one-half to two-story structure generally limits the major access stairs, decks, entry doors, and
- optional element of the General Plan, any area plan or specific plan or other city policy for physical development. If located in the Coastal Zone, a site plan shall also be consistent with policies of the Local Coastal The site plan shall be consistent with physical development policies of the General Plan, any required or
- that they maintain natural resources including heritage or significant trees and shrubs to the extent feasible and The orientation and location of buildings, structures, open spaces and other features of the site plan are such minimize alteration of natural land forms. Building profiles, location and orientation relate to natural land

6

∞.

10. The site plan is situated and designed to protect views along the ocean and of scenic coastal areas. Where

- appropriate and feasible, the site plan restores and enhances the visual quality of visually degraded areas
- (Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003). 11. The site plan incorporates water-conservation features where possible, including in the design of types of landscaping and in the design of water-using fixtures. In addition, water restricting shower heads and faucets are used, as well as water-saving toilets utilizing less than three gallons per flush.

## 24.16.160 Design and Development Standards

All accessory dwelling units must conform to the following standards

- Parking. One parking space shall be provided on-site for each studio and one bedroom accessory unit. Two parking spaces shall be provided on site for each two bedroom accessory unit. Parking for the accessory unit is
- 2 Unit Size. The floor area for accessory units shall not exceed five hundred square feet for lots between 5000 except for units which face an alley, as noted below. Accessory units that utilize alternative green construction of buildings occupy more than thirty percent of the required rear yard for the district in which it is located, and 7500 square feet. If a lot exceeds 7500 square feet, an accessory unit may be up to 640 square feet and, methods that cause the exterior wall thickness to be greater than normal shall have the unit square footage size for lots in excess of 10,000 square feet, a unit may be up to 800 square feet. In no case may any combination in addition to the required parking for the primary residence. (See Section 24.16.180 for parking incentives.) measured similar to the interior square footage of a traditional frame house.
- . س Existing Development on Lot. A single-family dwelling exists on the lot or will be constructed in conjunction with the accessory unit.
- 4. Number of Accessory Units Per Parcel. Only one accessory dwelling unit shall be allowed for each parcel
- S sideyard setbacks shall be the same as a main building in the zoning district. Accessory dwelling units are not Accessory units higher than one story shall provide side yard setbacks of five feet and rear yard setbacks of Uniform Building Code, and the distance between buildings on the same lot must be a minimum of 10 feet. story structures containing an accessory dwelling unit shall not be less than three feet in accordance with the Setbacks for Detached Accessory Dwelling Units. The side-yard and rear-yard setback for detached single eligible for variances to setbacks. ten feet. If any portion of an accessory dwelling unit is located in front of the main building, then the front and
- 6 Setbacks for Attached Accessory Dwelling Units. Attached accessory dwelling units shall meet the same setbacks as a main building in the zoning district.
- 7. Other Code Requirements. The accessory unit shall meet the requirements of the Uniform Building Code

- 8. Occupancy. The property owner must occupy either the primary or accessory dwelling.
  - 9. Building Height and Stories.
- a. A one story detached accessory dwelling unit shall be no more than thirteen feet in height.
- b. A one and one-half to two story detached accessory dwelling shall be no more than twenty-two feet in height measured to the roof peak.
- c. An attached accessory unit may occupy a first or second story of a main residence if it is designed as an integral part of the main residence and meets the setbacks required for the main residence.
- d. If the design of the main dwelling has special roof features that should be matched on the detached accessory unit, the maximum building height of the accessory dwelling unit may be exceeded to include such similar special roof features subject to review and approval of the Zoning Administrator.
- 10. Alley Orientation. When an accessory dwelling unit is adjacent to an alley, every effort shall be made to orient the accessory dwelling unit toward the alley with the front access door and windows facing the alley. Parking provided off the alley shall maintain a twenty-four foot back out which includes the alley. Fences shall be three feet six inches along the alley. However, higher fencing up to six feet can be considered in unusual design circumstances subject to review and approval of the Zoning Administrator.
  - 11. Design. The design of the accessory unit shall relate to the design of the primary residence by use of the similar exterior wall materials, window types, door and window trims, roofing materials and roof pitch.
    - 12. Large Home Design Permit. The square footage of an attached or detached accessory unit shall be counted with the square footage of the single family home in determining whether a large home design permit is
- Open Space and Landscaping: The site plan shall provide open space and landscaping that are useful for both the accessory dwelling unit and the primary residence. Landscaping shall provide for the privacy and screening of adjacent properties. 13.
- 14. The following standards apply to accessory dwelling units located outside the standard side and rear yard set-The entrance to the accessory unit shall face the interior of the lot unless the accessory unit is directly backs for the district.
  - Windows which face an adjoining residential property shall be designed to protect the privacy of neighbors; alternatively, fencing or landscaping shall be required to provide screening. accessible from an alley or a public street.
    - 15. A notice of application shall be sent to the immediately adjoining neighbors.
      - (Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003).

## 24.16.170 Deed Restrictions.

the present owner and stating that: recorder a declaration of restrictions containing a reference to the deed under which the property was acquired by Before obtaining a building permit for an accessory dwelling unit the property owner shall file with the county

- 1. The accessory unit shall not be sold separately.
- 2. The unit is restricted to the approved size.
- The use permit for the accessory unit shall be in effect only so long as either the main residence, or the accessory unit, is occupied by the owner of record as the principal residence.
- The above declarations are binding upon any successor in ownership of the property; lack of compliance shall be cause for code enforcement and/or revoking the conditional use permit.
- 5. The deed restrictions shall lapse upon removal of the accessory unit. (Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003).

## 26.16.180 Zoning Incentives.

The following incentives are to encourage construction of accessory dwelling units

- Affordability Requirements for Fee Waivers. Accessory units proposed to be rented at affordable rents as fees in the amount previously waived as a result of affordability requirements, subject to an annual CPI Ordinance. Existing accessory dwelling units shall be relieved of the affordability condition upon payment of established by the city, may have development fees waived per Part 4 of Chapter 24.16 of the Zoning increase commencing with the date of application for Building Permit.
- 2 Covered Parking. The covered parking requirement for the primary residence shall not apply if an accessory dwelling unit is provided.
- Ų. Front or Exterior Yard Parking. Three parking spaces may be provided in the front or exterior yard setback of application. Not more than 50% of the front yard width shall be allowed to be parking area. impervious surfaces devoted to the parking area shall be no greater than the existing driveway surfaces at time under this incentive with the parking design subject to approval of the Zoning Administrator. The maximum
- Tandem Parking. For a parcel with a permitted accessory dwelling unit, required parking spaces for the primaconsists of one car behind the other. No more than three total cars in tandem may be counted towards meeting ry residence and the accessory dwelling unit may be provided in tandem on a driveway. A tandem arrangement the parking requirement.
- 'n Alley Presence. If an accessory dwelling unit faces an alley as noted in the design standards in this chapter, the

Developments involving residential units affordable to low or very-low income households may apply for a waiv-Planning application and planning plan check fees for projects that are one hundred percent affordable to low A fee waiver supplemental application shall be submitted at the time an application for a project with affordable Park land and open space dedication in-lieu fee for units affordable to very low income households. Sewer and water connection fees for units affordable to low and very low income households. Building permit and plan check fees for units affordable to very-low income households. Parking deficiency fee for units affordable to very-low income households. 6. Fire fees for those units affordable to very-low income households.

24.16.310 Procedure for Waiver of Fees.

(Ord. 93-51 § 6, 1993).

units is submitted to the city.

(Ord. 93-51 § 6, 1993)

limitations on rear yard coverage as specified in Section 24.16.160 (2) and/or Section 24.12.140 (5) do not

(Ord. 2003-17 § 2 (part), 2003; Ord. 2003-16 § 2 (part), 2003).

24.16.300 Units Eligible for Fee Waivers.

er of the following development fees:

and very-low income households.

## Sample Residential Lease Agreement

sional prior to selecting a lease form to use. owner and tenant. This sample lease is not intended to serve as a legal binding document, nor does it presume to be compre-The following sample lease is intended only to serve as an example of what might be included on a lease between a property hensive or all inclusive. The reader is advised to contact an attorney, property management association or real estate profes-

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S	

THIS LEASE is entered into on	, 20 by and between, hereafter referred to as "Landlord",
	to as "Tenant".
he single-family dwelling unit located at _	, Santa Cruz, CA hereafter calle
S. "	•

NOW, THEREFORE, the parties hereto agree as follows:

1.00 Recitals. The foregoing recitals are part of this Agreement.

.00. Term. This lease shall be for a term of one year commencing at 12:01 A.M. on	g at 12:01	A.M. o		]   	_, 20	(the
Commencement Date"), and ending at 12:01 A.M. on	_, 20 un	iless so	oner te	ermina	ted ui	nder the provisions of
his lease.						•
	•	s	•	•	•	,
On Don't House to send to the Hand to the	-		•		•	•

(\$) as the first month's rent.	at any other place designated by Landlord in a written notice served on Tenant. Landlord acknowledges receipt of the sum of	first day of each month, commencing on, 20, at, Santa Cruz, CA 95oi	(\$(\$	3.00 Rent. Tenant agrees to pay to Landlord as rent for the use and occupancy of the leased premises the sum of
,	receipt of the sum o	a Cruz, CA 95 o	nce on or before the	sum of

## 4.00 Late Charges & Interest on Unpaid Rent.

- note secured by any encumbrance covering the premise. processing and accounting charges, and late charges that may be imposed on Landlord by the terms of any encumbrance and by this lease, the exact amount of such costs being difficult and impracticable to fix. Such costs include, without limitation, (a) Tenant acknowledges that late payment by Tenant to Landlord of rent will cause Landlord to incur costs not contemplated
- or sum until paid. The parties agree that said late charges and interest represents a fair and reasonable estimate of the costs and one half percent (1 1/2%) per month, or the maximum rate of interest allowed by law, on the unpaid balance of said rent an additional sum of (b) Therefore, if any installment of rent due from Tenant is not received by Landlord when due, Tenant shall pay to Landlord Dollars and no cents (\$\_ \_.00) as a late charge, and interest at the rate of one

that Landlord will incur by reason of late payment by Tenant. The acceptance by Landlord of any late charge shall not constitute a waiver of Tenant's default with respect to the overdue amount, nor prevent Landlord from exercising any of the other rights and remedies available to Landlord under this Agreement or as provided by law.

5.00 Security Deposit. On execution of this lease, Landlord acknowledges Tenant's previous deposit of

\_.00) as a security deposit. Landlord will hold this securiof the leased premises after surrender by Tenant. Landlord agrees to hold the security deposit for Tenant, free from the claim of any creditor of Landlord. Landlord will return to Tenant the full amount of the deposit within two weeks after Tenant has itemized written statement of the amount of the security deposit received, the charges made by Landlord against the security ty deposit for the faithful performance by Tenant of Tenant's obligations under this lease and for the cleaning and repairing vacated the leased premises, less any amounts that are reasonably necessary to remedy any defaults in the payment of rent by Tenant, to repair damages to the leased premises caused by Tenant or Tenant's guests other than ordinary wear and tear, and to clean the leased premises. At the time Landlord returns the deposit to Tenant, Landlord will furnish Tenant with an deposit, and the disposition made or to be made of the security deposit. Dollars and no cents (\$\_

interest for the previous calendar year or portion of the calendar year, in the form of either a draft payment or a credit against simple interest per year on all security deposits in excess of \$50.00 paid by Tenant to Landlord. The minimum rate of simple of the principal amount of the security deposit held by Landlord, plus the amount of any previous interest earned but not disdisburse interest to Tenant by January 1st, or credit Tenant's rent, Tenant's interest shall, on a daily basis, accrue on the sum 6.00 Interest on Security Deposit. In accordance with Section 21.02.040 of the Santa Cruz Municipal Code, for every tenant whose tenancy is continuing, Landlord shall, without demand, pay Tenant each year, no later than January 1st, Tenant's the Tenant's rent. Landlord shall choose between the two methods of payment. "Tenant's interest" shall mean an amount of Landlord shall pay all interest due no later than three weeks after Tenant has vacated the premises. Should Landlord fail to interest, earned and payable under this section, shall be established from time to time by resolution of the Santa Cruz City Council based on the recommendation of the Santa Cruz County treasurer-tax collector. Upon termination of the tenancy, bursed or credited.

7.00 Utilities. Tenant agrees to pay all charges for all utilities, including electricity, gas, water, sewage, garbage disposal, and telephones, used in or on the leased premises during the term of this lease. Tenant shall make payments for these utilities directly to the utility companies.

execute this lease. It is expressly understood that this lease is between Landlord and each Tenant signatory individually and referred to in this lease as "Tenant" will occupy the leased premises. The persons collectively referred to as "Tenant" shall severally. In the event of default by any one signatory, the remaining signatory shall be responsible for timely payment of 8.00 Occupancy of Leased Premises. Except as otherwise provided in this paragraph, only those persons collectively

shall be considered a "guest" for these purposes if he or she occupies the leased premises for a total of fewer than 30 calendar days in a year. No other person shall be permitted to occupy the leased premises except with the prior written approval rent and all other provisions of this lease. Tenant may have up to 2 guests on the leased premises at any one time. A person

of any insurance policy covering the house or any part of it or any of its contents. Finally, Tenant shall not put the leased increase the existing rate of or affect any fire or other insurance on the house or any of its contents, or cause a cancellation or about the leased premises, or commit any waste in or on the leased premises. Further, Tenant shall not do or permit any used for any improper, unlawful, or objectionable purpose. Tenant shall not cause, maintain, or permit any nuisance in, on, es. Tenant shall not do or permit anything to be done in or about the leased premises that will in any way obstruct or interpremises to any use that violates local zoning ordinances or any other law applicable to the leased premises. thing to be done in or about the leased premises or bring or keep anything in the leased premises that will in any way fere with the rights of occupants of neighboring buildings or injure or annoy them or use or allow the leased premises to be Tenant must obtain Landlord's prior written consent before keeping pets (or permitting pets to be kept) on the leased premis-9.00 Use of Leased Premises. Tenant agrees that the leased premises are to be used exclusively as a single-family residence

leased premises are as follows: 10.00 Condition of Leased Premises. The rights and responsibilities of Landlord and Tenant relating to the condition of the

- remedy, at Tenant's own cost and expense, any deteriorations of or injuries to the leased premises occasioned by Tenant's and shall comply with all laws, ordinances, and regulations pertaining to the condition of the leased premises. Tenant shall lack of ordinary care. (a) Landlord will, at Landlord's own cost and expense, put and maintain the leased premises in a safe and sanitary condition
- condition and good working order, except as noted by Tenant on the "Inspection Sheet" attached as Exhibit "A" and hereby nishings, fixtures, appliances, and other personal property subject to this Agreement and agrees that they are in satisfactory incorporated and made part of this Agreement. (b) Tenant acknowledges that Tenant has inspected the leased premises prior to executing this Agreement, including all fur-

of the leased premises by Tenant: 11.00 Alterations and Repairs by Tenant. The parties agree that the following provisions govern all alterations and repairs

will at Landlord's option become the Landlord's property on the expiration or earlier termination of this lease, provided, made to the leased premises by Tenant after that consent has been given, and any fixtures installed as a part of that work, (a) Tenant shall make no alterations to the leased premises without the prior written consent of Landlord. Any alteration however, that Landlord shall have the right to require Tenant to remove any fixtures at Tenant's cost on termination of this

(b) Tenant shall notify Landlord of any dilapidations or other defective conditions on the leased premises that require repairs. If Landlord fails to repair or arrange for the repair of the condition within a reasonable time (not exceeding 30 days), Tenant may make the repairs or arrange for them to be made and deduct the cost of the repairs, provided Tenant does not deduct more than the equivalent of one month's rent.

12.00 Entry by Landlord. Landlord may enter the leased premises only under the following circumstances:

- (a) In case of emergency;
- (b) To make necessary or agreed repairs, decorations, alterations, or improvements; supply necessary or agreed services; or exhibit the leased premises to prospective or actual purchasers, mortgagees, tenants, workers, or contractors;
  - (c) If Tenant abandons or surrenders the leased premises;
- (d) Pursuant to court order.

business hours unless an emergency exists, Tenant has abandoned or surrendered the leased premises, or Tenant consents, at Landlord will give Tenant at least 24 hours notice of Landlord's intent to enter unless an emergency exists, Tenant has abandoned or surrendered the leased premises, or it is impracticable to do so. Further, Landlord will enter only during normal he time of an entry that is not during normal business hours, to the entry.

13.00 Locks and Keys. Tenant may not change or add any lock to the leased premises without obtaining Landlord's prior written consent and without providing Landlord with a key to the changed or added lock. 14.00 Assignment and Subletting. Tenant may not assign this lease or sublet all or any portion of the leased premises, withto be consent by Landlord to any subsequent assignment or subletting. Any assignment or subletting without Landlord's prior out the prior written consent of Landlord. The consent of Landlord to any one assignment or subletting shall not be deemed written consent shall be void and shall, at Landlord's option, terminate this lease.

and demands that Tenant cure the default (if a cure is possible). If Tenant does not cure the default within the three days, or if a cure is not possible, this lease will be terminated. Termination of this lease for a breach by Tenant will not occur unless 15.00 Default by Tenant. Landlord and Tenant agree that every condition, covenant, and provision of this lease is material and reasonable. Any breach by Tenant of a condition, covenant, or provision of this lease will constitute a material breach. For any material breach by Tenant, Landlord may provide Tenant with a written three-day notice that describes the breach the events described in this paragraph occur.

address for purposes of this paragraph by giving written notice of the change to the other party in the manner provided in	service, when deposited in the United States mail, first-class postage prepaid, addressed to Tenant atSt., Santa Cruz, CA 95, or to Landlord at	mitted by this lease or by law to be served on or given to either party to this lease by the other party shall be in writing and shall be deemed to be served when personally delivered to the party to whom the notice is directed or, in lieu of personal	17.00 Notices. Except as otherwise expressly provided by law, any and all notices or other communications required or per-	to manage the leased premises is His or her mailing address and phone number is	er. The owner of the leased premises is
ange their rovided in	,	writing and f personal	luired or per-	ber is	Owner's usual

continuing waiver or a waiver of any subsequent breach by Tenant either of the same or of another provision of this lease. edge of the breach, will not be deemed to be a waiver of Landlord's right to enforce any provision of this lease. Landlord's acceptance of rent following a breach by Tenant of any provision of this lease, with or without Landlord's knowl-18.00 Waiver. The waiver by Landlord of any breach by Tenant of any of the provisions of this lease shall not constitute a this paragraph

granted, the reasonable attorneys' fees, costs, and expenses incurred in the action or proceeding by the prevailing party. 19.00 Attorneys' Fees. If any legal action or proceeding arising out of or relating to this lease is brought by either party to this lease, the prevailing party shall be entitled to receive from the other party, in addition to any other relief that may be

construed as a consent by Landlord to any assignment of this lease or any interest in it by Tenant. administrators, successors, and assigns of Landlord and Tenant; provided, however, that nothing in this paragraph shall be 20.00 Binding on Heirs and Successors. This lease shall be binding on and shall inure to the benefit of the heirs, executors,

21.00 Time of Essence. Time is expressly declared to be of the essence in this lease.

and conditions of this Agreement. Any statement, promise, or representation made to or by any party, or made to or by an undertakings of the parties. Any statement, representation, promise, or undertaking made prior to or contemporaneously with is no other agreement between the parties respecting the subject matter of this Agreement or the rights, duties, promises, and concerning the matters covered by this Agreement and the rights granted and duties undertaken as between the parties. There 22.00 Sole and Only Agreement. This instrument is the full, complete, sole, final, and exclusive agreement of the parties the execution of this Agreement shall be void and of no effect, or to be held to have merged or been superseded by the terms

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employee, attorney, servant, agent or representative of any party, respecting the matters set forth in this Agreement shall not
be valid or binding as to these parties unless it is specifically contained in this Agreement. Any oral representation, modifica-
tion, or change concerning this Agreement, or the terms or conditions of this Agreement, shall be of no force and effect,
except for a subsequent modification being reduced to writing and signed by the parties to this Agreement.

Executed on \_\_\_\_\_, 20\_\_, at Santa Cruz, Santa Cruz County, California.

By: LANDLORD

By: TENANT Exhibit "A" Inspection Checklist







## Acknowledgements

The ADU Manual and Prototype designs are the result of a partnership between the City of Prototype designs were presented and discussed at five community workshops that were Santa Cruz, the California Pollution Control Financing Authority, and the community. taped and edited for broadcast on Santa Cruz's community access channel.

### City Council

Councilmember Cynthia Mathews Councilmember Tim Fitzmaurice Councilmember Mark Primack Councilmember Mike Rotkin Vice Mayor Scott Kennedy Councilmember Ed Porter Mayor Emily Reilly

### Planning Commission

Myrna Britton, Chair Kaitilin Gaffney Deanna Purnell Diane Louie David Foster Scott Daly

Gene Arner, Planning and Community Carol Berg, Housing and Community Richard Stubendorff, Chief Building Norm Daly, Housing Programs Mary Alsip, Associate Planner Development Manager Development Director Coordinator

William Schultz

## **ADU Protetype Architects**

**Boone/Low Architects and Planners** David Baker + Partners, Architects Mark Primack Architect Eve Reynolds Architect Peterson Architects CCS Architecture SixEight Design

### **ADV Protetype Enginee**

Mesiti-Miller Engineering, Inc.

### **Funding Assistance**

California Pollution Control Financing Sustainable Communities Grant Authority rogram

ADV Manual and Workshop Facilitation

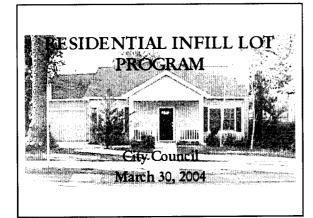
Bruce A. Race, FAIA, AICP

Assistance

RACESTUDIO

**Community Tolovision of Santa Cruz** 

Peter McGettigan



### Overview

- Approximately 5,000 vacant lots in the City, two thirds are smaller than 10,000 sq/ft
- Considerable financial challenges in developing in infill areas.
- Two Programs: Infill Fee Reduction Ordinance and the City Sponsored Infill House Plans as programs.
- Update the Infill Fee Reduction Ordinance.
- · Proposal for City Sponsored Infill House Plans

### Infill Fee Reduction Ordinance

- The Infill Fee Reduction Ordinance was adopted by Council on December 10, 2002.
- Purpose: To reduce development and impact fees for small residential projects.
- Project must be located in a Targeted Infill Neighborhood.
- Projects that qualify for the program may receive up to \$5,000 to be applied towards development and impact fees

### Infill Fee Reduction Ordinance

- To date staff has approved 76 fee reductions.
- Funding for FY03/04 has been exhausted.
- · Infill Survey:
  - · Widely accepted by local infill developers
  - · Easy to understand
  - · Catalyst for development
  - · Subdivision of property

### Program Examples



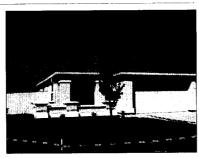
RESIDENCE IN OAK PARK

### Program Examples



RESIDENCE IN DEL PASO HEIGHTS

### Program Examples



RESIDENCE IN GARDENLAND

### **Program Examples**



RESIDENCE IN OAK PARK

### Infill Fee Reduction Ordinance

### PROPOSED TECHNICAL CHANGES:

- Require units to connect to public sewer and water.
- Clarify that accessory units do not qualify for the program.
- Develop a flat fee reduction amount.
- Provide reductions only to lots without prior uses or who have split lots.

### City Sponsored Infill House Plans

- · Program identified in the Infill Strategy.
- Streamline the development of high quality single family infill housing.
- Pilot program that will be reviewed periodically.
- Reviewed concept with architects and neighborhood groups.
- Reviewed new Santa Cruz program with preapproved accessory units.

### City Sponsored Infill House Plans

### THE CURRENT PROCESS:

- Design review takes 3 to 6 seeks to complete at the staff level.
- · Reviewed buy PAC's and RAC's.
- The building permit process can take an additional 2 to 3 weeks.
- · Often needed for substantial revisions.

### City Sponsored Infill House Plans

### PROJECT COMPONENTS:

- Plans will be developed to specified lot widths and design review areas.
- Multiple elevations similar to "model homes".
- · Meet and exceed City Standards.
- Plan sets will be referenced at the development counters.
- The plans will be available for purchase from designers or architects as a set.
- · "Pre-approved" through the City permitting process.

### City Sponsored Infill House Plans

### COMMUNITY OUTREACH AND NOTICING:

- The plan sets will be presented to the RAC's, PAC's and Area Leadership Groups before formal adoption.
- Code amendment will eliminate noticing requirements for "pre-approved" projects.
  - Expedite the process for development of "pre-approved" homes.

### City Sponsored Infill House Plans

### NEXT STEPS:

- · Issue an RFP
- · Hire a Consultant team to develop plans.
- · Community Outreach.
- Seek formal adoption from DRPB or Planning Commission.

Aaron Sussman Planning Department (916) 808-7931

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